## Computational Geometry • Lecture Range Searching

# Tamara Mchedlidze • Darren Strash <br> 18.11.2015 



## Geometry in Databases

In a personnel database, the employees of a company are anonymized and their monthly income and birth year are saved. We now want to perform a search: which employees have an income between 2,000 and 3,000 Euro and were born between 1960 and 1980?

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## Geometric Interpretation:

Entries are points: (birth year, income level) and the query is an axis-parallel rectangle

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This problem can easily be generalized to $d$ dimensions.

## Orthogonal Range Queries

Given: $n$ points in $\mathbb{R}^{d}$
Output: A data structure that efficiently answers queries of the form $\left[a_{1}, b_{1}\right] \times \cdots \times\left[a_{d}, b_{d}\right]$

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## Answer:

Points in the leaves between the search paths, (i.e.,
$\{7,8,12,15,17,21,33,41\})$

## 1dRangeQuery

FindSplitNode( $\left.T, x, x^{\prime}\right)$
$v \leftarrow \operatorname{root}(T)$
while $v$ not a leaf and ( $x^{\prime} \leq x_{v}$ or $x>x_{v}$ ) do if $x^{\prime} \leq x_{v}$ then $v \leftarrow \operatorname{lc}(v)$ else $v \leftarrow \mathrm{rc}(v)$
return $v$
1dRangeQuery $\left(T, x, x^{\prime}\right)$
$v_{\text {split }} \leftarrow$ FindSplitNode $\left(T, x, x^{\prime}\right)$
if $v_{\text {split }}$ is leaf then report $v_{\text {split }}$ else
$v \leftarrow \operatorname{lc}\left(v_{\text {split }}\right)$ while $v$ not a leaf do if $x \leq x_{v}$ then

ReportSubtree $(\mathrm{rc}(v)) ; v \leftarrow \operatorname{lc}(v)$ else $v \leftarrow \mathrm{rc}(v)$

$$
\text { report } v
$$

// analog. for $x^{\prime}$ and $\operatorname{rc}\left(v_{\text {split }}\right)$


## 1dRangeQuery

FindSplitNode $\left(T, x, x^{\prime}\right)$
$v \leftarrow \operatorname{root}(T)$
while $v$ not a leaf and $\left(x^{\prime} \leq x_{v}\right.$ or $\left.x>x_{v}\right)$ do if $x^{\prime} \leq x_{v}$ then $v \leftarrow \operatorname{lc}(v)$ else $v \leftarrow \mathrm{rc}(v)$

## return $v$

1dRangeQuery $\left(T, x, x^{\prime}\right)$


## Analysis of 1dRangeQuery

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report $v$
// analog. for $x^{\prime}$ and $\operatorname{rc}\left(v_{\text {split }}\right)$


Theorem 1: A set of $n$ points in $\mathbb{R}$ can preprocessed in $O(n \log n)$ time and stored in $O(n)$ space so that we can answer range queries in $O(k+\log n)$ time, where $k$ is the number of reported points.

## Orthogonal Range Queries for $d=2$

Given: Set $P$ of $n$ points in $\mathbb{R}^{2}$
Goal: A data structure to efficiently answer range queries of the form $R=\left[x, x^{\prime}\right] \times\left[y, y^{\prime}\right]$

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## Ideas for generalizing the 1d case?

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## Solutions:

- one search tree, alternate search for $x$ and $y$ coordinates $\rightarrow k d$-Tree
- primary search tree on $x$-coordinates, several secondary search trees on $y$-coordinates
$\rightarrow$ Range Tree

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## Solutions:

- one search tree, alternate search for $x$ and $y$ coordinates $\rightarrow k d$-Tree
- primary search tree on $x$-coordinates, several secondary search trees on $y$-coordinates
$\rightarrow$ Range Tree
Temporary assumption: general position, that is no two points have the same $x$ - or $y$-coordinates


## $k d$-Trees: Example



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if $|P|=1$ then
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## $k d$-Trees: Construction

BuildKdTree $(P$, depth $)$
if $|P|=1$ then
$\mid \quad$ return leaf with a point in $P$
else
if depth even then point $\lceil|P| / 2\rceil$
divide $P$ vertically f
$\ell: x=x_{\text {median }(P)}$ in
$P_{1}$ (Points left of or on $\ell$ ) and $P_{2}=P \backslash P_{1}$
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BuildKdTree( $P$, depth)


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## Analysis of $k d$-Tree Construction

Lemma 1: A $k d$-tree for $n$ points in $\mathbb{R}^{2}$ can be constructed in $O(n \log n)$ time, using $O(n)$ space.

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T(n)= \begin{cases}O(1) & \text { if } n=1 \\ O(n)+2 T(\lceil n / 2\rceil) & \text { otherwise }\end{cases}
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## Range Queries in a $k d$-Tree



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SearchKdTree $(v, R)$
if $v$ leaf then
report point $p$ in $v$ when $p \in R$ else
if region $(\operatorname{lc}(v)) \subseteq R$ then ReportSubtree (Ic $(v)$ )
else
if region $(\operatorname{Ic}(v)) \cap R \neq \emptyset$ then SearchKdTree $(\operatorname{lc}(v), R)$
if region $(\mathrm{rc}(v)) \subseteq R$ then
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report point $p$ in $v$ when $p \in R$ else

$$
\text { if region }(\operatorname{Ic}(v)) \subseteq R \text { then }
$$

ReportSubtree $(\operatorname{Ic}(v))$
else
if region $(\operatorname{lc}(v)) \cap R \neq \emptyset$ then SearchKdTree $(\operatorname{lc}(v), R)$
if region $(\mathrm{rc}(v)) \subseteq R$ then
ReportSubtree(rc $(v)$ ) else
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## Range Queries in a $k d$-Tree



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## Analysis of Queries in $k d$-Trees

Lemma 2: A range query with an axis-aligned rectangle $R$ in a $k d$-tree on $n$ points may use $O(\sqrt{n}+k)$ time, where $k$ is the number of reported points.

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## Proof sketch:

- Calls to ReportSubtree take $O(k)$ time in total
- Still missing:

Number of remaining nodes visited
$\rightarrow$ Exercise

Orthogonal Range Queries for $d=2$
Given: Set $P$ of $n$ points in $\mathbb{R}^{2}$
Goal: A data structure to efficiently answer range queries of the form $R=\left[x, x^{\prime}\right] \times\left[y, y^{\prime}\right]$

## Ideas for generalizing the 1d case?

## Solutions:

- one search tree, alternate search for $x$ and $y$ coordinates $\rightarrow k d$-Tree
- primary search tree on $x$-coordinates, several secondary search trees on $y$-coordinates
$\rightarrow$ Range Tree
Temporary assumption: general position, that is no two points have the same $x$ - or $y$-coordinates


## Range Trees

Idea: Use 1-dimensional search trees on two levels:

- a 1d search tree $T_{x}$ on $x$-coordinates



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- a 1d search tree $T_{x}$ on $x$-coordinates
- in each node $v$ of $T_{x}$ a 1d search tree $T_{y}(v)$ stores the canonical subset $P(v)$ on $y$-coordinates



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- a 1d search tree $T_{x}$ on $x$-coordinates
- in each node $v$ of $T_{x}$ a 1d search tree $T_{y}(v)$ stores the canonical subset $P(v)$ on $y$-coordinates
- compute the points by $x$-query in $T_{x}$ and subsequent $y$-queries in the auxiliary structures $T_{y}$ for the subtrees in $T_{x}$



## Range Trees: Construction

BuildRangeTree $(P)$
if $|P|=1$ then
Create leaf $v$ for the point in $P$
else
Split $P$ at $x_{\text {median }}$ into $P_{1}=\left\{p \in P \mid p_{x} \leq x_{\text {median }}\right\}, P_{2}=P \backslash P_{1}$
$v_{\text {left }} \leftarrow$ BuildRangeTree $\left(P_{1}\right)$
$v_{\text {right }} \leftarrow$ BuildRangeTree $\left(P_{2}\right)$
Create node $v$ with pivot $x_{\text {median }}$ and children $v_{\text {left }}$ and $v_{\text {right }}$
$T_{y}(v) \leftarrow$ binary search tree for $P$ w.r.t $y$-coordinates
return $v$

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Problem: How much space and runtime does BuildRangeTree use?
Lemma 3: A Range Tree for $n$ points in $\mathbb{R}^{2}$ uses $O(n \log n)$ space and can be constructed in $O(n \log n)$ time.

## Range Queries in a Range Tree

Reminder:
1dRangeQuery $\left(T, x, x^{\prime}\right)$
$v_{\text {split }} \leftarrow$ FindSplitNode $\left(T, x, x^{\prime}\right)$
if $v_{\text {split }}$ is leaf then report $v_{\text {split }}$
else
$v \leftarrow \operatorname{lc}\left(v_{\text {split }}\right)$
while $v$ not leaf do
if $x \leq x_{v}$ then
ReportSubtree(rc $(v)$ )
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Lemma 4: A range query in a Range Tree takes $O\left(\log ^{2} n+k\right)$ time, where $k$ is the number of reported points.

## Range Queries with Fractional Cascading

Observation: Range queries in a Range Tree perform $O(\log n)$
1 d queries, each taking $O\left(\log n+k_{v}\right)$ time. The query interval $\left[y, y^{\prime}\right]$ is always the same!

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Example: Two sets $B \subseteq A \subseteq \mathbb{R}$ in sorted arrays

$$
A \begin{array}{|l|l|l|l|l|l|l|l|l|l|l|l|}
\hline 3 & 10 & 19 & 23 & 30 & 37 & 59 & 62 & 70 & 80 & 100 & 105 \\
\hline
\end{array}
$$

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

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$$

Can we do better than two binary searches?

| 10 | 19 | 30 | 62 | 70 | 80 | 100 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

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Example: Two sets $B \subseteq A \subseteq \mathbb{R}$ in sorted arrays

| A | 3 | 10 | 19 | $23 \quad 30$ | 37 | 59 | 6270 | 80 | 100 | 105 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  | link $a \in A$ with smallest $b \geq a$ in $B$ |
|  | $B$ | B 10 | $10 \quad 19$ | 19 | 30 | 62 | 70 | 80 | 100 |  |  |

Search interval $[20,65]$
Pointer yields starting point for second search in $O(1)$ time

## Speed-up with Fractional Cascading

- In Range Trees we have $P(\operatorname{lc}(v)) \subseteq P(v)$ and $P(\operatorname{rc}(v)) \subseteq P(v)$ as the canonical sets.



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Theorem 2: A Layered Range Tree on $n$ points in $\mathbb{R}^{2}$ can be constructed in $O(n \log n)$ time and space. Range queries take $O(\log n+k)$ time, where $k$ is the number of reported points.

## Arbitrary Point Sets

So far: Points in general position, where no two points have the same $x$ - or $y$-coordinate

Idea: Instead of $\mathbb{R}$, use pairs of numbers $(a \mid b)$ with total order $\leftrightarrow$ lexicographic order

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unique coord.

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& \text { Rectangle } R=\left[x, x^{\prime}\right] \times\left[y, y^{\prime}\right] \quad \text { unique coord. } \\
& \quad \downarrow \\
& \hat{R}=\left[(x \mid-\infty),\left(x^{\prime} \mid+\infty\right)\right] \times\left[(y \mid-\infty),\left(y^{\prime} \mid+\infty\right)\right]
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Then:

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Then: $p \in R \Leftrightarrow \hat{p} \in \hat{R}$

## Summary

Given: Set $P$ of $n$ points in $\mathbb{R}^{2}$
Construct: Data structures with efficient range queries of the form $R=\left[x, x^{\prime}\right] \times\left[y, y^{\prime}\right]$
$\rightarrow$ We have seen two alternatives

|  | $k d$-Tree | Range Tree |
| ---: | ---: | ---: |
| Preprocessing |  |  |
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- Range Trees can be built recursively: the auxiliary search tree on the first coordinate is a $(d-1)$-dimensional Range Tree. The construction and space takes $O\left(n \log ^{d-1} n\right)$ time; a query takes $O\left(\log ^{d} n+k\right)$ time, and with fractional cascading, $O\left(\log ^{d-1} n+k\right)$ time.


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Is it possible to query for other objects (e.g., polygons) with these data structures?

Yes, we can transform any polygon into a point in $4 d$ space (exercise) or we can use windowing queries (comes in a later lecture).

## Dynamic Range Queries

Question: Can we adapt these data structures for dynamic point sets?

- Inserting points
- Removing points


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1) Divided kd-trees [van Kreveld, Overmars '91] support updates in $O(\log n)$ time, but the query time is $O(\sqrt{n \log n}+k)$
2) Augmented dynamic range trees [Mehlhorn, Näher '90] support updates in $O(\log n \log \log n)$ time and queries in
$O(\log n \log \log n+k)$ time
