



# 1. Vorlesung

23.04.2025

Def: A graph  $G=(V,E)$  consists of  $V \neq \emptyset$  finite set of vertices and  $E$  a set of edges with  $E \subseteq \{ \{u,v\} \mid u,v \in V, u \neq v \} = \binom{V}{2}$   
(undirected, simple, no loops, no parallel edges)

Notation:  $\{u,v\} = uv$ . We have  $uv = vu$ .

Notation:  $V(G)$  denote the vertex set of  $G$ ,  $E(G)$  the edge set.

Important graphs:

- complete graphs:  $n \geq 1$ ;  $K_n = (\{1, \dots, n\}, \binom{[n]}{2})$
- complete bipartite graph:  $n, m \geq 1$ ;  $K_{n,m} = (\{a_1, \dots, a_n\} \cup \{b_1, \dots, b_m\}, \{a_i b_j \mid i \in [n], j \in [m]\})$
- cycle:  $n \geq 3$ ;  $C_n = ([n], \{ \{i, i+1\} \mid i \in [n-1] \} \cup \{n, 1\})$
- path:  $n \geq 1$ ;  $P_n = ([n], \{ \{i, i+1\} \mid i \in [n-1] \})$  ( $P_n = C_n - 1n$  ( $n \geq 3$ ))
- empty graph:  $n \geq 1$ ;  $E_n = ([n], \emptyset)$

Def: For a graph  $G=(V,E)$  and a vertex subset  $A \subseteq V$ , the induced subgraph  $G_A$  is defined as  $V(G_A) = A$ ,  $E(G_A) = \{ uv \in E(G) \mid u, v \in A \}$   $\forall A$  can be an empty set.

Notation:  $G_A \subseteq G$

## The 4 important parameters

Def: Let  $G=(V,E)$  graph  $A \subseteq V$ .

- $A$  is clique, if  $G_A$  is a complete graph
- $A$  is an independent set if  $G_A$  is an empty graph (i-set)
- $\omega(G) = \max \{ |A| \mid A \subseteq V(G) \text{ is a clique} \}$  clique number
- $\alpha(G) = \max \{ |A| \mid A \subseteq V(G) \text{ is an i-set} \}$  independence number

Notation: disjoint union of sets:  $A+B = A \cup B$  with  $A \cap B = \emptyset$

Def: A partition into parts of a set  $V$  is  $V_1 + \dots + V_t = V$  with  $t \geq 1$ .

- $V_1 + \dots + V_t$  is a coloring if  $V_i$  is a i-set  $\forall i \in [t]$ .
- $V_1 + \dots + V_t$  is a clique cover if  $V_i$  is a clique  $\forall i \in [t]$ .
- $\chi(G) = \min \{ t : \exists \text{ coloring } V_1 + \dots + V_t \text{ of } G \}$  chromatic number
- $\kappa(G) = \min \{ t : \exists \text{ clique cover } V_1 + \dots + V_t \text{ of } G \}$  clique cover number

Note: every graph contains small cliques.

Note: a single vertex is both a clique and i-set, so  $1 \leq \omega(G), \alpha(G) \leq |V|$

Note: There is always a clique cover and a coloring for every graph, by taking  $|V_i|=1 \forall i \in [t]$ .

Here:  $t=|V|$   
so,  $1 \leq \chi(G), \kappa(G) \leq |V|$

	$\omega(G)$	$\alpha(G)$	$\chi(G)$	$\kappa(G)$
$K_n$	$n$	$1$	$n$	$1$
$K_{n,m}$	$2$	$\max\{n, m\}$	$2$	$\max\{n, m\}$
$C_n$	$\begin{cases} 3, n=3 \\ 2, n \geq 4 \end{cases}$	$\lfloor \frac{n}{2} \rfloor$	$\begin{cases} 2, \text{even} \\ 3, \text{odd} \end{cases}$	$\begin{cases} \lceil \frac{n}{2} \rceil, n \geq 4 \\ 1, n=3 \end{cases}$
$P_n$	$\begin{cases} 2, n \geq 2 \\ 1, n=1 \end{cases}$	$\lfloor \frac{n}{2} \rfloor$	$\begin{cases} 1, n=1 \\ 2, n \geq 2 \end{cases}$	$\lceil \frac{n}{2} \rceil$
$E_n$	$1$	$n$	$1$	$n$

conclude

Note:  $G$  is bipartite  $\Leftrightarrow \chi(G) = 2 \Leftrightarrow 2$ -colorable

## 2. Vorlesung

28.04.2025

Observation: For every graph  $G$  we have

- $\chi(G) \geq \omega(G)$
- $\kappa(G) \geq \alpha(G)$

Proof:

If  $I \subseteq V_G$  is independent and  $C \subseteq V_G$  is a clique then  $|I \cap C| \leq 1$ .

Hence for any coloring  $V_1 + \dots + V_t = V_G$  and any clique  $C$ , we have  $|C \cap V_i| \leq 1$  for  $\forall i \in [t]$ . If  $|C| = \omega(G)$  then  $t \geq |C|$ . Thus  $\chi(G) \geq \omega(G)$ .

For any clique cover  $V_1 + \dots + V_t = V_G$  and any i-set  $I$ , we have  $|I \cap V_i| = 1, \forall i \in [t]$ . If  $|I| = \alpha(G)$  then  $t \geq |I|$ . Thus  $\kappa(G) \geq \alpha(G)$ .



# Main Question of 1GT: When is $\chi(G) = \omega(G)$ and $\kappa(G) = \alpha(G)$ .

basic answer: For  $\omega(G) = \chi(G)$   
let  $G$  be a graph. Construct  $G'$  by adding a clique  
of size  $\chi(G)$  next to  $G$

Extension of the question: Equality should also hold for every induced subgraph.:

$$(P1) \forall A \subseteq V_G: \chi(G_A) = \omega(G_A)$$

$$(P2) \forall A \subseteq V_G: \kappa(G_A) = \alpha(G_A)$$

Proposition hold:

$$K_n: P1, P2$$

$$E_n: P1, P2$$

$$K_{n,m}: P1, P2$$

$$P_n: P1, P2$$

$\emptyset$  graphs only hold P1, P2 if they are even

Observation: If  $G, H$  are vertex disjoint:

$$\alpha(G+H) = \alpha(G) + \alpha(H)$$

$$\kappa(G+H) = \kappa(G) + \kappa(H)$$

Def: A graph  $G$  is called **perfect** if  $G$  has P1 and P2.

See: Every graph with  $|V| \leq 4$  is perfect.

$\rightarrow C_5$  is the smallest graph that is not perfect.

Def: For a graph  $G=(V,E)$  the **complement** of  $G$  is  
the graph  $\bar{G}=(V, \bar{E})$ , with  $\bar{E}=E^c-E$

Relation:

$G$  | Clique coloring  $V_1, \dots, V_k = V$  | P1 for  $G$  is P2 for  $\bar{G}$   
 $\omega(G) = \alpha(\bar{G})$  |  $\kappa(G) = \chi(\bar{G})$  | and the other way around  
i-set | clique cover  $V_1, \dots, V_k = V$  | P2 for  $\bar{G}$  is P1 for  $G$

Def:  $G$  is **perfect**, if P1 for  $G$  and  $\bar{G}$  or P2 for  $G$  and  $\bar{G}$

**Theorem: (Weak Perfect Graph Theorem WPGT)**

For every graph  $G$  it holds:  $G$  has (P1)  $\Leftrightarrow G$  has (P2)

Warning:  $\forall A \subseteq V_G: \chi(G_A) = \omega(G_A) \Leftrightarrow \kappa(G_A) = \alpha(G_A)$  is not true,  
because P1, P2 could break on different subsets

To prove WPGT we consider

$$(PS): \forall A \subseteq V_G: \omega(G_A) \cdot \alpha(G_A) \geq |A|$$

See: Always satisfied for  $|V| \leq 4$ , (PS) does not hold for  $C_5$

We will prove that

$$\begin{aligned} G \text{ has (P1)} &\Leftrightarrow G \text{ has (PS)} \\ \text{with } \cap & \\ G \text{ has (P2)} &\Leftrightarrow G \text{ has (PS)} \end{aligned}$$

Def: For a graph  $G=(V,E)$  and  $h: V \rightarrow \mathbb{N}$ . We define  $G \circ h$  as the graph on  
vertex set  $V(G \circ h) = \bigcup_{v \in V} \{v^1, \dots, v^{h(v)}\}$  and edge set  $E(G \circ h) = \{u^i v^j \mid uv \in E \text{ } \forall i \in [h(u)], j \in [h(v)]\}$   
called **vertex replication / repetition** of  $G$ .

Def: Let  $\mathbf{1}$  be  $(1) \in \mathbb{N}^V$  ( $h(v)=1 \forall v \in V$ ), graph  $G \circ \mathbf{1} = (V, E)$  is  $[1] \times V$ ,  $e_i = \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix}$ , with 1 on  $i$ th coordinate. Vectors  $v \in V$  define

$G \circ v$  as  $G \circ h$  with  $h(v) = \begin{cases} x & xv \in E \\ z & xv \notin E \end{cases}$ ,  $h_i = 1 + e_i$ , with  $v$  on  $i$ th coordinate. and

$G - v$  as  $G \circ h$  with  $h_i = 1 - e_i$ , with  $v$  on the  $i$ th coordinate.

These are called **elementary operations**

Observation: Every  $G \circ h$  can be done from  $G$  by a sequence of elementary operations.  
[proof: in exercise]

**Lemma 2.6** For  $G$  and  $H = G \circ h$  we have:

$$(i) (P1) \text{ for } G \Rightarrow (P1) \text{ for } H$$

$$(ii) (P2) \text{ for } G \Rightarrow (P2) \text{ for } H$$

Proof:

(i) wlog  $H = G \circ v$  or  $H = G - v$

Case:  $H = G - v$  then  $H = G_{(v)}$ , hence (P1) for  $G \Rightarrow$  (P1) for  $H$ .

Case:  $H = G \circ v$   $v \in V_G \rightarrow v^1, v^2 \in V_H$ . We have  $H - v^1 \in G$  and  $H - v^2 \in G$ . Take any  $A \subseteq V_H$ .

If  $|A \cap \{v^1, v^2\}| \geq 2$ , then  $A \subseteq V_G$ . Hence  $\chi(H) = \chi(G_A) = \omega(G_A) = \omega(H_A)$

Let  $v_1, v_2 \in A$ . Consider  $A' = A - v^1 \subseteq V_G$  by (P1) for  $G$   $\chi(H) \in \chi(G_{A'}) = \omega(G_{A'}) = \omega(H_{A'}) \stackrel{\text{induction}}{\leq} \omega(H_A) \leq \chi(H)$ . So, (P1) holds for  $H$ .

# 3. Vorlesung

5.5.2025

(ii) Let  $G$  have (P2).  
Without loss of generality, let  $H = G[x]$ . Let  $x_1, x_2$  be the two copies of  $x$  in  $H$ .  
Wlog.  $A$  contains  $x_1, x_2$ . Let  $A = A' \cup \{x_1, x_2\} \subseteq V_G$ .

Since (P2) holds for  $G$  it follows  $\chi(G_A) = \alpha(G_A) \Rightarrow V_1 + \dots + V_t$  clique cover of  $G_A = H_A$  with  $t = \alpha(H_A)$ .  
Every  $i$ -set  $I$  of  $|I| = t$ .

Case 1  $\exists I \subseteq A$  iset of  $H_A$ ,  $|I| = t$ ,  $x \in I$

then  $I \cup x$  is an  $i$ -set in  $H_A' \Rightarrow \alpha(H_A') \geq t+1$ .

$V_1 + \dots + V_t + \{x\}$  is a clique cover of  $H_A'$ .  $\Rightarrow \chi(H_A') \leq t+1 \leq \alpha(H_A')$

Case 2:  $\forall I \subseteq A$  iset in  $H_A$ ,  $|I| = t$ ,  $x \notin I$

Let  $C = V_A - x \Rightarrow H_{A-C}$  has  $\alpha(H_{A-C}) \leq t-1$

Since (P2) holds for  $G \Rightarrow \exists$  clique cover  $V_1' + \dots + V_{t-1}'$  of  $G_{A-C} = H_{A-C}$  with at most  $t-1$  cliques. Then  
 $V_1' + \dots + V_{t-1}' + \underbrace{C+x}_{\text{clique}}$  is a clique cover of  $H_A' \Rightarrow \chi(H_A') \leq t \leq \alpha(H_A')$  small graphs

## Lemma 2.7

If  $H = G \circ h$  then:  $\left. \begin{array}{l} \text{(P2) } \forall \text{ ind. subgraph } G_S \\ \text{(P3) for } G \end{array} \right\} \Rightarrow \text{(P3) for } H \quad (\forall A \subseteq V_G, A \neq V_G \Rightarrow \chi(G_A) = \alpha(G_A))$

Proof:

Assume for the sake of contradiction that (P3) does not hold for  $H$ .

Without loss of generality:  $\forall A \subseteq V_H, A \neq V_H: \omega(H_A) \alpha(H_A) \geq |A|$  (otherwise we can take a smaller  $H$ ).  
but  $\omega(H) \alpha(H) < |V_H|$ . Let  $\omega(H) = w$ ,  $\alpha(H) = a$ .

Some vertices of  $G$  has  $h(x) = h \geq 2 \leadsto$  in  $H: S = \{s_1, \dots, s_h\}$ . Consider  $H - s_h$  has (P3).

$\Rightarrow |V_H| - 1 \leq \omega(H - s_h) \alpha(H - s_h) \leq \omega(H) \alpha(H) = wa < |V_H| - 1 \Rightarrow wa = |V_H| - 1, \alpha(H - s_h) = a, \omega(H - s_h) = w$

It follows:  $\alpha(H - S) = a$  because  $\alpha(H) = a = \alpha(H - s_h)$ .

$H - S$  is obtained from  $G - s$  by vertex multiplication.  $G - s$  has (P2) and by Lemma 2.6,  $H - S$  has (P2).

$\leadsto$  We find a clique cover  $V_1 + \dots + V_a$  of  $H - S$ .  $|V_i - S| = |V_H| - h = wa - (h-1)$ . We also observe  $|S| = h \leq a = \alpha(H)$  since  $S$  is an  $i$ -set in  $H$ .

$\Rightarrow$  at most  $h-1$  of  $V_1, \dots, V_a$  have size  $w$ . wlog.  $|V_1| = \dots = |V_{a-(h-1)}| = w$

Let  $X = V_1 + \dots + V_{a-(h-1)} + s_1$ . So  $|X| = (a - (h-1))w + 1$ .  $\omega(H_X) \leq \omega(H) = w$ .

Since (P3) holds for  $H_X \Rightarrow \alpha(H_X) \geq \frac{|X|}{w} = \frac{(a - (h-1))w + 1}{w} = a - (h-1) + \frac{1}{w} = a - (h-1) + 1 = a - (h-1) + 1$ .  $\Rightarrow \exists I$  iset in  $H_X$ ,  $|I| = a - (h-1) + 1$   
 $s_1 \in I$  (because we have  $a - (h-1)$  independent cliques)

$\leadsto I \cup \{s_1, \dots, s_h\}$  is an  $i$ -set in  $H$ .  $\Rightarrow \alpha(H) \geq a - (h-1) + h = a - h + 1 + h = a + 1 = \alpha(H) + 1 \downarrow$

## Proof of WPGT:

Let  $G$  be a graph. We prove (P1)  $\Leftrightarrow$  (P2)  $\Leftrightarrow$  (P3) for  $G$  by induction on  $|V(G)|$ .

Base case:  $|V(G)| = 1$  ✓

Inductive Step:

(P1)  $\Rightarrow$  (P3)

Say (P1) holds for  $G$ . Let  $A \subseteq V(G)$ . If  $A \neq V_G$  then (P1) holds for  $G_A$ . By induction (P3) holds for  $G_A$  i.e.  $\omega(G_A) \alpha(G_A) \geq |A|$ .

Wlog.  $A = V_G$ . We need to show that  $\omega(G) \alpha(G) \geq |V_G|$ .

Since (P1) holds  $\Rightarrow \exists$  coloring  $V_1, \dots, V_t = V_G$  with  $t = \omega(G)$ . By definition of a coloring we have  $\forall i: |V_i| \leq \alpha(G)$ .  
 $\Rightarrow \omega(G) \alpha(G) \geq |V(G)|$

(P3)  $\Rightarrow$  (P1)

Let (P3) hold for  $G$ . To show (P1) to show  $\chi(G) = \omega(G)$  because the subgraphs are coloring induction.  
 Consider all cliques of size  $\omega(G)$ .

Case 1:  $\exists I$  iset in  $G$  s.t.  $\forall C$  clique,  $|C| = \omega(G) : I \cap C \neq \emptyset$ .

Consider  $G - I$ . We observe  $\omega(G - I) \leq \omega(G) - 1$  <sup>By IH.</sup> (P1) holds for  $G - I$ . i.e.  $\exists$  coloring  $V_1, \dots, V_{t-1} = V_{G-I}$  with  $t = \omega(G - I) \leq \omega(G) - 1$ . Then:  $V_1, \dots, V_{t-1}, I$  is a coloring of  $G \Rightarrow \chi(G) \leq t + 1 \leq \omega(G) - 1 + 1 = \omega(G)$ .

Case 2:  $\forall I$  iset  $\exists C(I)$  clique,  $|C(I)| = \omega(G)$ ,  $C(I) \cap I = \emptyset$ .

## 4. Vorlesung

12.05.2025

Let  $V = \{I \in V_G : I \text{ iset}\}$ . Choose for every  $I$  a disjoint clique and count for every vertex how often they appear in such a clique:  $h(v) = |\{I \in V : C(I) \ni v\}|$ . Consider  $H = G \circ h$ .

(P2) holds for  $G$ ,  $\forall A \subseteq V_G$  (by induction), (P3) holds for  $G$ . with Lemma 2.7 we have (P3) for  $H$ . Thus:

$$\omega(H) \alpha(H) \geq |V_H| = |V|, \text{ say } X = |V_H|$$

$$\cdot |X| = \sum_{v \in V(G)} h(v) = \omega(G) |V|$$

$$\cdot \omega(H) = \omega(G)$$

$$\cdot \alpha(H) = \max_{I \in V} \left( \sum_{v \in I} h(v) \right) = \max_{I \in V} \left( \sum_{I' \in V} \overbrace{|C(I') \cap I|}^{0 \text{ or } 1} \right) \leq |V| - 1, \text{ because } I \cap C(I) = \emptyset$$

$\hookrightarrow \omega(G) (|V| - 1) \geq \alpha(H) \omega(G) \geq \omega(H) \alpha(H) \geq |X| \geq \omega(G) |V| \rightarrow$  Contradiction to the assumption that  $C(I)$  exists for all  $I$ .

$\hookrightarrow$  Case II does not happen.

(P2)  $\Leftrightarrow$  (P3)

(P2) for  $G \Leftrightarrow$  (P1) for  $\bar{G} \Leftrightarrow$  (P3) for  $\bar{G} \Leftrightarrow$  (P3) for  $G$

Equivalent:

- (P1) for  $G$
- (P2) for  $G$
- (P3) for  $G$
- $G$  perfect
- $\bar{G}$  perfect

Non perfect graphs:

Complete characterisation

- odd cycle  $C_t$ ,  $t \geq 5$
- complements of odd  $C_t$ ,  $t \geq 5$
- graph with induced odd  $C_t$ ,  $\bar{C}_t$ ,  $t \geq 5$



Theorem: (Strong Perfect Graph Theorem SPST)

For every graph  $G$  it is equivalent:

- $C_t, \bar{C}_t$  for  $t \geq 5$  odd no induced subgraph of  $G$
- $G$  is perfect

Def:  $S = \{S(v) : v \in V\}$  collection of sets is an intersection representation of  $G = (V, E)$  if  
 $uv \in E \Leftrightarrow S(u) \cap S(v) \neq \emptyset$

•  $G$  is an interval graph if  $G$  has an intersection representation with intervals of  $\mathbb{R}$ , i.e.  $I = \{I(v) \subseteq \mathbb{R} : I(v) = [l_v, r_v] \subseteq \mathbb{R}\}$ .

Def: For a graph  $G$  integer  $t \geq 4$  a  $t$ -hole in  $G$  is an induced subgraph  $G_1 \cong C_t$ .  
 A  $t$ -anti-hole in  $G$  is an induced subgraph  $G_1 \cong \bar{C}_t$ .

(SPST:  $\mathcal{G}$  perfect  $\Leftrightarrow \mathcal{G}$  has no odd hole nor anti-hole).

## Lemma

If  $\mathcal{G}$  is an interval graph  $\Rightarrow$  no  $t$ -hole in  $\mathcal{G}$ .  $t \geq 4$

Proof:

Let  $\mathcal{I}$  be an interval representation of  $\mathcal{G}$ . Assume for the sake of contradiction that there is a  $t$ -hole  $C_t = [v_1, \dots, v_t]$ ,  $t \geq 4$ .

We have that:  $\begin{matrix} \overbrace{I(v_1)} \\ \underbrace{I(v_{t-1})} \end{matrix} \begin{matrix} \overbrace{I(v_2)} \\ \underbrace{I(v_{t-2})} \end{matrix} \dots \begin{matrix} \overbrace{I(v_{t-1})} \\ \underbrace{I(v_t)} \end{matrix}$   $\rightarrow I(v_{i-1}), I(v_{i+1})$  cover distinct endpoints of  $I(v_i)$ .  $\Rightarrow I(v_1) \cap I(v_t) = \emptyset \Rightarrow v_1, v_t \notin E \mathcal{G}$

## Lemma

$\mathcal{G}$  interval graph  $\Rightarrow \mathcal{G}$  perfect

Proof:

We use SPST.

There is no odd  $t$ -hole, since prev lemma.

There is no odd anti-hole:

$\cdot \mathcal{G}_s = \overline{\mathcal{G}_s} \rightarrow$  previous lemma

$\cdot \overline{\mathcal{G}_t}$   $t \geq 7$ : We find an induced  $t$ -hole in  $\overline{\mathcal{G}_t}$  and with the previous lemma they don't appear.

What we showed:  $\mathcal{G}$  interval graph  $\Rightarrow \mathcal{G}$  has no holes  $\Rightarrow \mathcal{G}$  is perfect.

# 5. Vorlesung

## 3. Chapter: Chordal Graphs

16.05.2025

Def.:  $\mathcal{G} = (V, E)$  is **chordal** if  $\mathcal{G}$  has no  $t$ -hole,  $t \geq 4$

Equivalently, every cycle,  $t \geq 4$  in  $\mathcal{G}$  (subgraph, not induced) has a **chord** i.e. edge  $uv$  with  $u, v$  not consecutive on cycle.

$\rightarrow$  Adding an edge may turn an chordal graph in a non-chordal graph and vice versa.

## Examples

- complete graphs
- paths, empty graphs, **trees**
- interval graphs

**trees**  $\rightarrow$  but thick

- clique and co-clique
- trees have leaves

Neighborhood

Def.:  $\mathcal{G} = (V, E)$  graph. A vertex  $v \in V$  is **simplicial** if  $\text{Adj}(v) = \{u \in V \mid uv \in E\}$  is a clique.

**Goal:** Every chordal  $\mathcal{G}$  has at least one simplicial vertex

## Lemma

$v$  simplicial in  $\mathcal{G} \Rightarrow \mathcal{G}$  is perfect

$\mathcal{G} - v$  perfect

Proof:

Verify (P1):  $\forall A \subseteq V_{\mathcal{G}} \quad \chi(\mathcal{G}_A) = \omega(\mathcal{G}_A)$

• consider any fixed  $A \subseteq V_{\mathcal{G}}$

Case  $v \notin A$ :

$\rightarrow$  then  $A \subseteq V_{\mathcal{G}-v}$  and  $\chi(\mathcal{G}_A) = \omega(\mathcal{G}_A)$  as  $\mathcal{G}-v$  is perfect (has P1)

Case  $v \in A$ :

Consider  $A' = A - v \subseteq V_{\mathcal{G}-v} \Rightarrow \chi(\mathcal{G}_{A'}) = \omega(\mathcal{G}_{A'})$ . Then we have a coloring  $A' = V_1 + \dots + V_t$ ,  $t = \omega(\mathcal{G}_{A'})$ .

Case 1:

$| \text{Adj}(v) \cap A' | < t = \omega(\mathcal{G}_{A'})$ . Then  $\exists i: V_i \cap (\text{Adj}(v) \cap A') \rightarrow V_i = V_i + \overline{v}$  extends the coloring

$\chi(\mathcal{G}_A) \leq \chi(\mathcal{G}_{A'}) = \omega(\mathcal{G}_{A'}) \leq \omega(\mathcal{G}_A) \leq \chi(\mathcal{G}_A)$

## Case 2

$|Adj(v) \cap A| \geq t = \omega(S_A)$ . Since  $Adj(v) \cap A$  is a clique:  $|Adj(v) \cap A| = t = \omega(S_A)$ .

$(Adj(v) \cap A) \cup \{v\}$  is a clique in  $G_A$  of size  $t+1$ . Then  $\omega(G_A) \geq t+1 = \omega(S_A) + 1 = \chi(G_A) + 1 \geq \chi(G_A) + \omega(S_A)$ .

Coloring  $V_1, \dots, V_t + \{v\}$  on  $t+1$  colors

**Observation:**  $G$  chordal  $\Rightarrow \forall A \subseteq V_G$  is chordal in particular  $G-v$  is chordal  $\forall v \in V_G$

**Def:**  $G=(V,E)$   $|V|=n$ . A **perfect elimination scheme (PES)** of  $G$  is a vertex ordering  $G=[v_1, \dots, v_n]$  s.t.  $v_i$  is simplicial in  $G_{[v_1, \dots, v_i]}$   $\forall i \in [n]$

**From lemma:** graph with PES's are perfect

**Notation convention** for vertex orderings  $G=[v_1, \dots, v_n]$



$v_i$  is left/before  $v_j$   
 $v_j$  is right/after  $v_i$

!  $G$  PES  $\Leftrightarrow$  every right neighborhood is a clique

**Def:**  $G=(V,E)$  graph  $S \subseteq V$  is a **separator** if  $G-S$  is disconnected, i.e.  $G$  has at least two connected components.  
If  $a, b$  non-adjacent vertices in  $G$ ,  $S$  is an  **$a, b$ -separator** if  $a$  and  $b$  are in different components of  $G-S$ .

**Goal:** Find a separator  $S$  that is a clique in chordal  $G$  unless  $G$  is complete

$A \subseteq S$  is not a separator

**Lemma 3.4**  $G$  chordal,  $a, b \in V$   $a, b \notin E$ ,  $a, b$ .  $S \subseteq V_G$  is an inclusion-minimal  $a, b$ -separator. Then:  $S$  is a clique.

**Proof:**

If  $|S| \leq 1$  then  $S$  is a clique.

So  $|S| \geq 2$ ; take  $x, y \in S$ ,  $x \neq y$ . We show that  $xy \in E$ .

We have that  $S-x$  is not an  $a, b$ -separator. Let  $G_a, G_b$  the components with  $a \in A = V(G_a)$ ,  $b \in B = V(G_b)$ .

We have that  $x$  has an edge to  $A$  and an edge to  $B$  (and so does  $y$ ).

$\rightarrow$  We have a cycle  $C = [x, a_1, \dots, a_k, y, b_1, \dots, b_l, x]$ . Take  $C$  to be a shortest such cycle.  $C$  has at least 4 vertices. Since  $G$  chordal  $\Rightarrow C$  has a chord  $e$ .

Where is  $e$ ?

- $e = a_i a_j$ : no as  $C$  is shortest
- $e = b_i b_j$ : no as  $C$  is shortest
- $e = a_i b_j$ : no because  $S$  is an  $a, b$ -separator
- $e = x a_i / y a_i$ : no as  $C$  is shortest
- $e = x b_i / y b_i$ : no as  $C$  is shortest
- $e = xy$ : yes

# 6. Vorlesung

19.05.2025

**Lemma 3.6**  $G$  chordal. Then:

- $G$  has a simplicial vertex
- If  $G \neq K_n$  then  $G$  has two simplicial vertices

**Proof:**

By induction on  $|V(G)| = n$

**ind:**  $G = K_1$  ✓

**ind:** Case  $G \neq K_n$ : any vertex is simplicial

so let  $G \neq K_n$ .

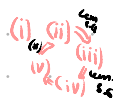
Let  $a, b \in V_G$ ,  $a, b \notin E$ . Let  $S$  be an inclusion-minimal  $a, b$ -separator. Component  $G_a$  contains  $a$  and  $G_b$  contains  $b$  of  $G-S$ .

Apply induction on  $G_a$  and  $G_b$

In each we have we get either all vertices are simplicial or there are two non-simplicial vertices in  $\mathcal{G}_{s+1}$  (or  $\mathcal{G}_{s+2}$ ).  
In either case:  $\exists$  simplicial vertex  $v$  in  $A(B)$ . Then  $v$  is simplicial in  $\mathcal{G}_{s+5}$  ( $\mathcal{G}_{s+6}$ ) and also in  $\mathcal{G}$ .  
•  $v, u_B \notin E$ .

## Summary

- (i)  $G$  is chordal i.e. every cycle length  $\geq 4$  has a chord
- (ii) every induced cycle is a triangle (no  $t$ -hole)
- (iii) every inclusion minimal separator is a clique
- (iv) every induced subgraph is an undirected graph
- (v) There is an PES (perfect elimination scheme)



Proof of (\*)

We have  $\mathcal{G}$  PES  $\mathcal{G}$ ,  $\mathcal{C}$  cycle of length  $2k$ . Let  $v$  be the leftmost vertex of  $\mathcal{C}$  in  $\mathcal{G}$ . Say  $v = \mathcal{G}(i)$ . We have  $x, y \in \text{Adj}(v) \cap \{\mathcal{G}(i), \dots, \mathcal{G}(n)\}$ . By Definition of PES,  $x, y \in \mathcal{E}$ . Thus  $\mathcal{C}$  has a chord.

"(w), (v) lead to a recognition algorithm with runtime  $O(n^4)$  time to find simplified mat. =  $O(n^4)$

### Algorithm: (LexBFS)

Input: undirected graph  $G = (V, E)$

Output: vertex ordering, or

- assign each vertex label  $\phi$

for i = n to 1 do

Choose a vertex  $v$  with no assigned number (or with lexicographically largest label)

$$\sigma(1) = v.$$

for every vertex  $w \in Adj(v)$  with no assigned number in  $Q$ ,  
 append  $i$  to  $label(w)$ .

### Viewpoint 1:

- labels at vertices
  - strings over an alphabet  $\{1, \dots, p\}$
  - lexicographic  $1 < \dots < p$
  - labels:  $a_1, \dots, a_n$
  - labels:  $p_1, \dots, p_k$
- $$a = a_1 \dots a_n < p_1 \dots p_k$$
- $$\begin{cases} a_i < p_i & \text{if } i \leq n \\ a_i = p_i & \text{if } i > n \end{cases}$$

### Viewpoint 2:

- Q: queue of all not-numbered vertices
- $v \leftarrow \text{first}(Q)$
- Elements of  $V \setminus C$  of same label sorted by inf
- $\text{adj}(v)$  splits each set  $X$  in  $Q$  into
  - $\text{adj}(v) \cap X$  and the others

### Plan:

- Given  $G$   
 • Run LP RPS  $\rightarrow$  obtain unhardening  $G$   
 • prove that  $G$  RPS  $\leftrightarrow$  scheduled  
 • set of  $G$  is RPS in min time  
 • implement LP RPS in min time

→ Recognition algorithm

- $G \leftarrow \text{Lex BFS}(g)$
- $\text{Int}(G)$  for PES
- $Y_2 \rightarrow g$  chordal,  $U_2 \rightarrow g$  not chordal

**Lemma:**  $G \leftarrow \text{lex}(\text{BFS})$  then:  $\forall a, b, c \in V_G$  it holds:

L3  $\left\{ \begin{array}{l} a <_G b, b <_G c \\ ac \in E_g, bc \notin E_g \end{array} \right\} \exists d \in U_g: c <_G d, ad \notin E_g, bd \in E_g$



## 7. Vorlesung

28.05.2025

Proof:-

Consider  $a \quad b \quad c$  i.e.  $a \leq b \leq c$  and  $a \in E, b \in E$ .

When  $c$  is processed by Lex BFS:

1)  $\text{label}(a) = \text{label}(b)$

Then afterwards  $\text{label}(a) \neq \text{label}(b)$  and this will still hold when  $b$  is processed. This contradicts choice of  $b$  (have to be 2)

2)  $\text{label}(a) \neq \text{label}(b)$

2)  $\text{label}(a) \neq \text{label}(b)$   
Then  $\text{label}(b) \succ \text{label}(a)$ . Consider the step when the first time  $\text{label}(a) \neq \text{label}(b)$ . This is when processing vertex  $c$ ,  $c \in d$ . It follows  $b \in \text{Adj}(c)$  but  $a \notin \text{Adj}(c)$ .

**Theorem 3.9**  $G$  is chordal  $\Leftrightarrow$  Lex BFS outputs PES.

Proof:

Clear, since only chordal graphs have PES.

$\Rightarrow$  Prove by contraposition, i.e.,  $G$  is not a PES but Lex BFS result  $\Rightarrow G$  is not chordal.   
 If  $G$  is not a PES then  $\exists x_0, x_1, x_2 \in V, x_0 x_1 \in E, x_1 x_2 \in E, x_0 x_2 \notin E$ . Consider  $x_2$  be the rightmost of such vertices.

By (L3)  $\exists x_3: x_2 x_3 \in E, x_3 x_0 \notin E$  and  $x_3 x_1 \in E$ , again pick  $x_4$  as the rightmost such vertex.

- 1)  $x_2 x_3 \in E$  Then we have that  $x_0 x_1 x_2 x_3$  induce a  $C_4$ . Hence  $G$  is not chordal.
- 2)  $x_2 x_3 \notin E$  Then  $\exists x_4$  with  $x_3 x_4 \in E, x_4 x_1 \notin E, x_4 x_2 \in E$ , by (L3). Choose  $x_4$  again be the rightmost of such vertex.
  - If  $x_0 x_4 \in E$  Contradicts the choice of  $x_2$  as rightmost.  $\nabla$  Thus  $x_0 x_4 \notin E$ .
  - 1)  $x_3 x_4 \in E$ : Induced  $C_5 \Rightarrow G$  is not chordal.
  - 2)  $x_3 x_4 \notin E$ : Then  $G[x_0, \dots, x_4] = P_5$  with endpoints  $x_3, x_4$ .
    - $\rightarrow \exists x_5$  with  $x_4 x_5 \in E, x_5 x_3 \notin E$ , by (L3).
    - If  $x_0 x_5 \in E \rightarrow$  contradicts the choice of  $x_2$ .  $\nabla$  So  $x_0 x_5 \notin E$ .
    - If  $x_1 x_5 \in E \rightarrow$  Contradicts the choice of  $x_3$ .  $\nabla$  So  $x_1 x_5 \notin E$ .
    - 1)  $x_4 x_5 \in E \rightarrow$  Induced  $C_6 \Rightarrow G$  not chordal.
    - 2)  $x_4 x_5 \notin E \rightarrow$  Induced  $P_6$  and the argument continues.
      - Vorletzter Knoten: contradicts  $x_3$ .
      - alle anderen von rechts nach links: contradicts  $x_2$ .

## Lex BFS in $O(|V| + |E|)$

**Q:** queue of sets

First(Q), as a doubly linked list.

**S:** set of vertices as list but non-empty  $\nabla$   
 • Flag, whether it's split already.

**W:**  $S(w)$  set  $S$  with  $w \in S$

List: Fixed list of sets that need cleanup.

[Pseudocode auf Website]  $\rightarrow$  The update step is in  $O(|Adj(u)| + |First|)$

$\rightarrow$  Lex BFS total:  $O(\sum_{u \in V} |Adj(u)| + |V|) = O(|E| + |V|)$   $s(|Adj(u)|)$

## Test whether given vertex ordering $G$ is PES:

- naive approach:
- test all triples for  $\overline{u-v-w} \rightarrow O(n^3)$ .
  - test right neighborhood of each vertex  $\rightarrow \sum_u |Adj(u)|^2 = O(n^3)$ .

Idea:  $v$  tells it's leftmost right neighbor set of vertices that should be pairwise adjacent.

## 7. Verlaenger

02.06.2025

**Theorem:** Algorithm 3 (test if  $G$  is PES) is correct

proof: We prove: Algo 3 returns true  $\Leftrightarrow G$  is PES of  $G$  equivalently one can show: Algo 3 returns false  $\Leftrightarrow G$  is not an PES.

$\Rightarrow$  (Algo 3 returns false  $\Rightarrow G$  not an PES)

It exists a vertex  $u$  with  $A(u) - Adj(u) \neq \emptyset$ . Say we  $A(u) - Adj(u)$ . Who put  $w \in A(u) \rightarrow$  some vertex  $v$  earlier.  
 $\Rightarrow u$  leftmost in  $X_v, w \in X_v - u \Rightarrow \overline{u-v-w} \Rightarrow G$  not PES.

$\Leftarrow$

Assume  $G$  is not PES. Take  $\overline{v-u-w}$  with  $v, u$  closest together.

Claim:  $u$  is the leftmost right neighbor of  $v$ .

If  $a \in X_v, v < a < u$

- If  $au \in E$ , then we have a contradiction of the choice of  $u$ .  $\nabla$
- If  $au \notin E$ , then  $va$  is a better triple.  $\nabla$
- If  $aw \in E$  then  $aw$  is a better triple.  $\nabla$

So  $u$  is leftmost in  $X_v$ .

The algorithm puts  $w$  into  $A(u)$ . Later, when processing  $u$ , we have  $w \in A(u) - Adj(u) \Rightarrow$  Algo returns false.

**Theorem** Algorithm 3 can be done in  $O(V+E)$ .

proof:

- for loop over each vertex  $v$  once.
- lines 2-7 possible in  $O(|Adj(v)|) \rightarrow$  line 7: append  $x$ -cos to  $A(u)$  without checking for duplicate
- Check line 8-10:
  - $A(u) - Adj(u) \neq \emptyset?$  in  $O(|A(u)| + |Adj(u)|)$
  - $\hookrightarrow$  Using Algorithm 4.

$$\left. \begin{array}{l} \text{total } O(\sum |Adj(v)|) = O(V+E) \end{array} \right\}$$

$$\left. \begin{array}{l} \text{total } O(\sum |A(u)|) = O(\sum |Adj(v)|) = O(V+E) \end{array} \right\}$$

We can recognize in linear time whether  $G$  is chordal

We compute  $\chi(G), \omega(G), \alpha(G), k(G)$  for chordal graph  $(G)$  using a PES  $\phi$

- $\rightarrow$  Algo 5:  $\omega(G) \& \chi(G)$  with clique  $C$  and coloring  $\phi$  (optimal)
- $\rightarrow$  Algo 6:  $\alpha(G) \& k(G)$  with optimal  $i$ -set  $U$ , clique cover  $\psi$ .

Convention for Algo 5:

coloring  $V_1, \dots, V_k = V, V_i$   $i$ -set  $\Leftrightarrow \phi: V \rightarrow [k] \quad \phi(v) = i \Leftrightarrow v \in V_i, \text{ if } \phi(v) = 0$  then  $v$  is uncolored.

Algo 5, Lines: first fit coloring.

**Theorem** Algorithm 5 computes a clique  $C$ , a coloring  $\phi$  with  $|C| = \omega(G)$ , and  $\max(\phi(v)) = \chi(G)$ .

proof:

$C$  is a clique

$C$  is of the form  $X_v + \{v\}$ . As  $\phi$  is a PES,  $X_v$  is a clique  $\rightarrow C = X_v + \{v\}$  is a clique. We also have  $|C| \leq \omega(G)$ .

$\phi$  is a coloring

We set color  $\phi(v)$  once and never change,  $\phi(v) \geq 1$ .

Let  $uv \in E_G$ . Wlog.  $u \in X_v$ . It follows that we choose  $\phi(v)$  to be different from  $\phi(u)$ .

$$\Rightarrow \chi(G) \leq \max_v(\phi(v)).$$

$C$  and  $\phi$  are optimal

For every  $v$ :  $\phi(v) \leq |X_v| + 1$ , hence  $\chi(G) \leq \max_v(\phi(v)) \leq \max_v(|X_v| + 1) = |C| \leq \omega(G) \leq \chi(G)$ .

for every graph.

$\rightarrow$  equality everywhere  $\Rightarrow |C| = \omega(G), \max(\phi(v)) = \chi(G)$ .

**Theorem** Algo 5 can be done in  $O(V+E)$ .

proof:

- The for-loop iteration for vertices  $v$  takes  $O(|Adj(v)|)$ .
- lines similar to Algorithm 4 in  $O(V)$   $\rightarrow O(V+E)$ .

**Theorem** Algorithm 6 computes  $i$ -set  $U$ , clique cover  $\psi$  with  $|U| = \alpha(G)$ , and  $\max(\psi(v)) = k(G)$ .

proof:

$U$  is an  $i$ -set

Invariant:  $u \in U, v \in \omega, \psi(v) = 0 \Rightarrow uv \in E_G$ , equivalently  $u \in U, v \in \omega, uv \in E_G \Rightarrow \psi(v) \neq 0$

This invariant holds because  $v \in X_u$  gets assigned  $\psi(v) \leftarrow |U| + 1$

$$\Rightarrow |U| \leq \alpha(G).$$

$\psi$  is a clique cover

Given Set  $\psi(v) \leftarrow |U| \quad \forall v \in \omega + X_u \quad \{v\} + X_u$  is a clique, since  $\phi$  PES.



$|U|$  is never assigned again.  
 $\rightarrow$  final  $\psi: \{u \mid \psi(u)=1\} \subseteq E \cup V \cup X_v$  thus  $\psi$  is a clique.

$$K(\psi) = \max(\psi(u))$$

$U$  and  $\psi$  are optimal

$x(g) \leq \max(\psi(u)) = \{U\} \leq \alpha(g) \leq \kappa(g)$  Thus the inequalities have held with equality.

**Theorem:** Algo 6 is done in  $O(|V| + |E|)$ .

proof sketch

Outer loop in  $O(|W|)$ , inner loop in  $O(|A(g)|)$

## 8. Vorlesung

23.06.2025

Idea:

- underlying tree  $T = (V, E_T)$
- vertex  $g$  corresponds to  $T_v$  of  $T$  (subtree)
- edge  $e = uv$  corresponds to intersecting (non-disjoint) subtrees  $(uv \in E_g \Leftrightarrow T_u \cap T_v \neq \emptyset)$

Plan:

chordal graphs = intersection graphs of subtrees of a tree.

Remark: interval graphs are interval graphs of subtrees of a path

main ingredient: **Helly Property**

Def: a family  $\{A_i\}_{i \in I}$  of sets has the **Helly property** if  $\forall J \subseteq I: \bigcap_{i \in J} A_i \neq \emptyset \Rightarrow \bigcap_{i \in I} A_i \neq \emptyset$ . This means:  $\exists x \in A_i \forall i \in I$

**Proposition 3.13**  $T$  tree  $\Rightarrow \{T_i \subseteq T \mid T_i \text{ subtree}\}_{i \in I}$  has the Helly property

**Theorem 3.14**

For every graph  $g = (V, E)$  the following are equivalent:

- $g$  is chordal
- $\exists$  tree  $T = (V, E_T), \{T_v \in I \mid v \in V, T_v \text{ subtree}\}$  such that  $uv \in E \Leftrightarrow T_u \cap T_v \neq \emptyset$
- $\exists$  tree  $T = (V, E_T)$  such that  $V_i = \{x \in V \mid x \text{ inclusion maximal cliques in } g\}$  and  $\forall v \in V, K_v = \{x \in V_i \mid v \in x\}$  induces a subtree.

proof

(ii)  $\Rightarrow$  (i):

Let  $g$  be an intersection graph of subtrees of tree  $T$ .

Let  $C = \{v_1, \dots, v_k\} \ k \geq 4$  be a cycle in  $g$ .  $T_1 = T_{v_1} \cup T_{v_2}$  is a subtree of  $T$ .  $T_2 = T_{v_2} \cup T_{v_3}$  is a subtree of  $T$ .  $T_3 = T_{v_3} \cup \dots \cup T_{v_k}$  is a subtree of  $T$ .

To use the Helly property, we check that  $T_1, T_2, T_3$  are always pairwise non-disjoint.

$T_1 \cap T_2 \neq \emptyset$ , since  $v_2 \in E_g$

$T_2 \cap T_3 \neq \emptyset$ , since  $v_3 \in E_g$

$T_1 \cap T_3 \neq \emptyset$ , since  $v_1, v_k \in E_g$

$\Rightarrow$  by Helly property (and prop 3.13)  $\exists x \in V_i: x \in T_1, x \in T_2, x \in T_3$

case 1:  $x \in T_{v_1}$

$\hookrightarrow$  then  $v_1$  is adjacent to  $v_j, j \in \{3, \dots, k\}$  with  $x \in T_{v_j} \in T_3 \rightarrow v_1, v_j$  is a chord in  $g$ .

case 2:  $x \in T_{v_2}$

$\hookrightarrow$  then is a chord  $v_1, v_2$  in  $g$ .

(i)  $\Rightarrow$  (ii)

Let  $g = (V, E)$  be chordal. Then we find tree  $T$  by induction on  $|V|$ . Let  $K(g) = \{x \in V \mid x \text{ inclusion maximal clique in } g\}$

Base:  $|V| = 1: V_i = K(g)$  is one vertex.

Step 1  $|V_S| \geq 2$ : Let  $v$  be simplicial in  $G$ . We do induction on  $G-v$ . By induction we get  $T'$  tree of  $K(G-v)$ .

Case 1:  $\text{Adj}(v) \in K(G-v)$ :  $\text{Adj}(v) + v \in K(G)$  and  $K(G-v) - \text{Adj}(v) = K(G) - (\text{Adj}(v) + v)$  (using induction, maximal set contains their ancestors to edges)  
 relabel  $\text{Adj}(v)$  of  $T'$  to  $\text{Adj}(v) + v$  in  $T$ . We observe that (iii) holds as  $\forall u \in V$   $k_u$  did not change and  $k_v = \text{Adj}(v) + v$ .

Case 2:  $\text{Adj}(v) \notin K(G-v)$

Let  $X \in K(G-v) \setminus \text{Adj}(v) \in X$ . In  $T'$  there is a vertex for  $X$ . We add a new vertex  $\text{Adj}(v) + v$  adjacent only to  $X$  in  $T$ .  
 (iii) holds as  $\forall u \in V$   $k_u = u$ .

(iii)  $\Rightarrow$  (ii) Let  $T = (V_T, E_T)$  be tree with the properties by (iii). Take  $T_v$  as the subtree induced by  $k_v$  in  $T$ . Take  $uv \in E_G$ .  
 Then  $\exists X \in K(G)$  with  $v, w \in X$  and  $X \in k_v, X \in k_w \Leftrightarrow \exists X \subseteq V_T: X = T_v \cap T_w \Leftrightarrow T_v \cap T_w \neq \emptyset$ .

## 4. Comparability graphs

Idea/Def:

- vertices are element
  - edges are a "better-than" relation  $\rightarrow$  directed edge  $(u, v)$   $u \rightarrow v$  " $v$  is better than  $u$ "  $u < v$
  - binary relation  $< \subseteq V_G \times V_G$ 
    - 1. irreflexive:  $v \not< v \quad \forall v \in V_G$
    - 2. transitive:  $\forall u, v, w: \text{if } u < v \text{ and } v < w \Rightarrow u < w$
- is called a strict partial order

## 3. Vorlesung

25.06.2025

Notation for chapter 4:

- only directed edges
- graph  $G = (V, E)$ ,  $V$  finite,  $E \subseteq \{(u, v) : u \in V, v \in V, u \neq v\}$   $u \rightarrow v$   $(u, v)$
- shorthand notation:  $uv$  for  $(u, v)$  i.e.  $uv \in E$
- $G$  is undirected  $\forall u \neq v: uv \in E \Leftrightarrow vu \in E$

[if not other specified, the underlying graph is undirected]

Def.: an orientation of  $G = (V, E)$  is  $F \subseteq E$  s.t.  $\forall uv \in E: uv \in F \Leftrightarrow vu \notin F$

Def.: For a subset  $F \subseteq E$  of a graph  $G$ , define  $F^{-1} = \{uv : vu \in F\}$  as the reversal of  $F$   
 $\tilde{F} = F \cup F^{-1} = \{uv : uv \in F \vee vu \in F\}$  is the (symmetric) closure of  $F$ .

Def.: Let  $G = (V, E)$  be a undirected,  $F \subseteq E$  be an orientation.  $F$  is transitive if  $\forall a, b, c \in V: (ab \in F \wedge bc \in F) \Rightarrow ac \in F$ .

Def.: Let  $G = (V, E)$  be an undirected graph  $G$  is an comparability graph if it admits a transitive orientation  $F$ .  
 We call  $G$  transitively orientable.

Examples: • complete graphs, paths

Observation:  $F$  is a transitive orientation  $\Leftrightarrow F^{-1}$  is a transitive orientation

Theorem:  $G$  comparability graph  $\Rightarrow G$  is perfect.

proof: (via SPGT)

- We observe that if  $G$  is a comparability graph then  $G_1$  is also a comparability graph  $\forall 1 \leq i \leq k$
- Hence we show that  $G_1, G_2$  odd  $k$  is transitively orientable.

Let  $G_1$  be an odd cycle,  $k=5$ . Take any orientation  $F$  and assume transitivity. Because of the chromatic number we assume that  $u_1 u_2 \in F$ .

$F$  transitive  $\Rightarrow v_2 v_3 \notin F \Leftrightarrow v_2 v_3 \in F$  and  $v_1 v_3 \notin F \Leftrightarrow v_1 v_3 \in F$ .

In general:  $v_i$  is even must be a sink and  $v_j$  odd a source.

But  $k$  is odd  $\Rightarrow v_1 v_2 \in F, v_1 v_3 \in F$  but  $v_2 v_3 \notin F \Rightarrow F$  is not transitive.

Let  $G_1$   $k=5$  odd. Why  $v_1 v_2 \in F$ . Since  $v_1 v_2 \notin E$ , we have  $v_1 v_2 \in F$  (for  $F$  not transitive). The same holds for  $v_3$ . Thus  $v_1$  must be a source.

Because  $u_i, v_i \in F$ ,  $v_i$  must be a sink for  $i \in \{3, \dots, k\}$ . (Because of symmetry. If  $u_3$  has an incoming edge, it has to be a source)  
 $\rightarrow u_3 v_{k-1} \in F$  and  $v_k v_3 \in F$  ( $\forall k \in S$ )

**Remark:**  $G, t$  is a c-ut-3 is comparability graph  
 More general:  $G$  bipartite  $\Rightarrow G$  comparability graph

**Observation:**

If  $F \subseteq E$  is a transitive orientation,  $ab \in F$  and  $ab' \in F$  and  $bb' \in E$  Then  $ab' \in F$  or  
 $ba \in F$  and  $ab' \in F$  and  $bb' \in E$  Then  $b'a \in F$



**Def.: Gamma-Relation**

For  $ab \in E$ ,  $a'b' \in E$  we define  $ab \Gamma a'b'$  if  $\begin{cases} a=a' \text{ and } bb' \in E \\ b=b' \text{ and } aa' \in E \end{cases}$

**Observation:** If  $F$  transitive,  $ab \in F$ ,  $ab \Gamma a'b' \Rightarrow a'b' \in F$ . 'ab implies/enforces a'b'

**Def:** a  $\Gamma$ -chain is  $a_1 b_1 a_2 b_2 \dots a_k b_k$  sequence of edges (on nonnecessarily distinct vertices) s.t.  $a_i b_i \Gamma a_{i+1} b_{i+1}$  for  $i=1, \dots, k-1$

We say  $a_1 b_1 \Gamma^* a_k b_k$  ( $\Gamma^*$  is the transitive closure of  $\Gamma$ )

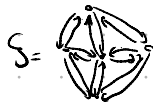
**Observation:**  $\Gamma^*$  is an equivalence relation on  $E$

- $a b \Gamma^* a' b' \Leftrightarrow a' b' \Gamma^* a b$
- $a b \Gamma^* a_2 b_2, a_2 b_2 \Gamma^* a_1 b_1 \Rightarrow a b \Gamma^* a_1 b_1$

$\Rightarrow \Gamma^*$  splits  $E$  into equivalence classes  $I(G)$  *Implication classes*

**Examples**

- $|I(C_5)| = 1$
- $|I(C_6)| = 2$
- $|I(C_7)| = 6$
- $|I(C_8)| = 6$



**Observation:**  $G$  comparability graph  $\Rightarrow |I(G)|$  even

$\Rightarrow \exists A \in I(G), ab, ba \in A \Leftrightarrow G$  is not a comparability graph

**Def.:** If  $A \in I(G)$  then  $\hat{A}$  is called a *color class* of  $G$ .  $\hat{I}(G) = \{\hat{A} | A \in I(G)\}$

**Observation:**  $A \in I(G) \Rightarrow A' \in I(G)$  since  $ab \Gamma^* a'b' \Rightarrow ba \Gamma^* b'a$

**Theorem 4.1**  $A \in I(G)$   $F$  transitive orientation of  $G \Rightarrow F \cap \hat{A} = A$  or  $F \cap \hat{A} = A'$

**10. Vorlesung**

30.06.2025

**Observation:**  $ab \Gamma^* cd \Leftrightarrow cd \Gamma^* ab \Leftrightarrow ba \Gamma^* dc$  and  $A \in I(G) \Leftrightarrow A' \in I(G)$

**Proof of Thm 4.1**

Let  $ab \in \hat{A}$ , assume wlog.  $ab \in A$ .

**Case 1:**  $ab \in F$

Then:  $ab \in F \cap \hat{A}$ . Take  $cd \in A$ . (We want to show that  $cd \in F$ )  $ab \Gamma^* cd$  Along  $\Gamma$ -relation:  $\{a_1 b_1 \Gamma^* a_2 b_2\}_{a_1 b_1 \in F} \Rightarrow a_2 b_2 \in F$  From this follows  $cd \in F$ .

$\Rightarrow A \subseteq F$

$\Rightarrow$  We know that  $F \cap F^{-1} = \emptyset$ , since  $F$  is an orientation.  $\Rightarrow A' \cap F \neq \emptyset \Rightarrow F \cap \hat{A} = A'$

**Case 2:**  $ba \in F$   $ba \in A'$

$\hookrightarrow$  Similarly  $A' \cap F \Rightarrow A \cap F = \emptyset \Rightarrow F \cap \hat{A} = A'$

**Corollary:**  $\mathcal{G}$  comparability class  $A \in \mathcal{I}(\mathcal{G}) \Rightarrow A \cap A^{-1} = \emptyset$  (and not  $A = A^{-1}$ )  
**Proof:**  $\mathcal{G}$  comparability graph. Then we have  $\exists$  transitive orientation. With Thm 4.1:  $F \cap \bar{A} = A$  or  $F \cap \bar{A} = A^{-1}$  but  $F \cap F^{-1} = \emptyset$ . Hence:  $A \cap A^{-1} = \emptyset$ .

**Triangle Lemma:** Let  $\mathcal{G}$  be a <sup>undirected</sup> graph.  $A, B, C \in \mathcal{I}(\mathcal{G})$  and triangle  $abc$  in  $\mathcal{G}$ . Consider only the edges  $ab, ac, bc$ . We assume  $ab \in C, ac \in B, bc \in A$ .  
 Let  $A \neq B, A \neq C^{-1}$



(i) Let also  $b'c' \in A \Rightarrow ab' \in C, ac' \in B$

(ii) Let also  $ab' \in C, b'c' \in A \Rightarrow ac' \in B$

**Important:**  $A=C, B=C$  are possible,  $b', c', a'$  does not have to be new vertices of

**proof:**

(i) (We do only one step of the game-chain, and the rest is rest of induction)

It is enough to consider one step in  $\Gamma$ -chain  $bc \Gamma b'c'$ . We have two cases:  $b=b', c' \notin E$  or  $c=c', b' \notin E$ .

First:  $c=c'$  since  $c' \in E$ . If  $ac' \in E$  then  $ba \Gamma b'c'$  thus  $C^{-1} = A$ . This is a contradiction to  $C^{-1} \neq A$ .

Hence  $ac' \notin E$ .

$ac \Gamma ac'$ , thus  $ac \in B$ . Because  $ac$  and  $ac'$  must be in the same orientation class.

We have  $c=c', b' \notin E$ . If  $ab' \notin E$  then  $b'c \Gamma ac$ . This is a contradiction to  $A \neq B$ .

So  $ab' \in E$  and  $ab \Gamma ab'$  thus  $ab' \in C$ .

(ii) We first apply part (i) to  $c=a$  (and  $a=b, b=c, \bar{A}=C, \bar{C}=B^{-1}, \bar{B}=A^{-1}$ ). We need to show that  $\bar{A} \neq \bar{B}, \bar{A} \neq \bar{C}^{-1}$

$$\bullet (\bar{A})^{-1} = (\bar{C})^{-1} \neq A = (A^{-1})^{-1} = (\bar{B})^{-1} \Rightarrow \bar{A} \neq \bar{B}$$

$$\bullet C = \bar{A} \neq \bar{C}^{-1} = (B^{-1})^{-1} = B$$

case  $B \neq C$  now apply part (i).

$\Rightarrow ab \in B, bc \in A$ . again part (i) to triangle  $abc$  gives  $ac' \in B$

case  $B=C$

$A \neq B, A \neq C^{-1}$ . Part (i) gives  $ab' \in C, ac' \in B$ . If  $ac' \notin E$  then  $ba \Gamma b'c'$  which is a contradiction to  $A \neq C^{-1}$ . Let  $ac' \in E$ .  
 Apply part (i) to  $c'$  in the triangle  $ab'c'$  with edges  $ab', ac', b'c'$ .  
 Hence deduce:  $B^{-1} = C^{-1} \neq D^{-1}, C^{-1} \neq A$   
 We have  $ba \in C^{-1}$ . From this follows  $ac' \in D$  but  $B=D$ .  
 assume  $D \neq B$ , then we are done

# 11. Vorlesung

07.07.2025

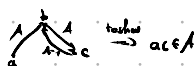
**Theorem 4.4.**  $A \in \mathcal{I}(\mathcal{G}) \Rightarrow A = A^{-1}$  or  $A \cap A^{-1} = \emptyset$  and  $A, A^{-1}$  are transitive

**proof:**

we know  $A = A^{-1}$  or  $A \cap A^{-1} = \emptyset$

**Case:**  $A \cap A^{-1}$

We show that  $A$  is transitive



If  $ac' \in E$  then  $ab \Gamma ac' \Rightarrow A = A^{-1}$ . This is a contradiction to  $A \cap A^{-1} = \emptyset$

So we have  $ac \in E$  thus  $ac \in B, B \in \mathcal{I}(\mathcal{G})$ . We show that  $A=B$ .

**Assume**  $B \neq A$  for the sake of contradiction

We use the triangle lemma. Note that  $A \neq B$  and  $A \neq C^{-1} = A^{-1}$

We apply the lemma part (i) on  $b'c' = ab$  on a new base

So, we get  $\{a, c\} \in B \Rightarrow A=B$ , which is a contradiction to our assumption.

**Def:**  $[B_1, B_2, \dots, B_k]$  is a  $\mathcal{G}$ -decomposition, if  $B_1 + \dots + B_k = E$  ( $B_1, \dots, B_k$  are disjoint color classes) and  $B_i \in \mathcal{I}(B_1 + \dots + B_k)$  for  $i=1, \dots, k$ .

**Note** Algo 7 computes a  $\mathcal{G}$ -decomposition or stops at "not a comparability graph".

**Def:** a rainbow triangle is a subgraph on  $\{a, b, c\}$  with  $\tilde{bc} \in \tilde{A}, \tilde{ac} \in \tilde{B}, \tilde{ab} \in \tilde{C}$  with  $\tilde{A}, \tilde{B}, \tilde{C} \in \mathcal{I}(\mathcal{G})$  pairwise distinct.

## Theorem 4.6

$A \in \mathcal{I}(\mathcal{G}), D \in \mathcal{I}(E-A)$  then either (i) or (ii) holds:  
 (i)  $D \in \mathcal{I}(\mathcal{G})$  and  $A \in \mathcal{I}(E-D)$  OR  
 (ii)  $D = B+C, \tilde{A}, \tilde{B}, \tilde{C}$  in a rainbow-triangle ( $B, C \in \mathcal{I}(\mathcal{G}),$  disjoint)

**Proof:**

Removing  $A$  introduces non-edges and possibly new  $\Gamma$ -relations. These new  $\Gamma$ -relations can cause implication classes to merge.  
 It follows:  $D \in \mathcal{I}(\mathcal{G}-A)$  then  $D$  is the disjoint union of some previous implication classes.

**Case 1:**  $D = B+C, \dots, B, C \in \mathcal{I}(\mathcal{G})$ .

Then  $\exists$  rainbow triangle  $\tilde{A}, \tilde{B}, \tilde{C}$

If  $D$  also merges with implication class  $X$  then  $\exists$  rainbow triangle  $\tilde{A}, \tilde{B}, \tilde{X}$ . With the second part of the triangle-lemma, we have  $\tilde{X} = \tilde{C}$ .

Thus  $D = B+C$ .

**Case 2:**  $D \in \mathcal{I}(\mathcal{G})$

By case (i) every implication class of  $\mathcal{I}(\mathcal{G}-\tilde{A})$  is a union of  $\leq 2$  implication classes of  $\mathcal{I}(\mathcal{G})$ .

If  $A$  merges with  $X$  in  $\mathcal{G}-B$  there is a rainbow-triangle  $\tilde{A}, \tilde{B}, \tilde{X}$ . But then  $D$  merges with  $X$  or  $X^*$  in  $\mathcal{G}-A$   $\Rightarrow A \in \mathcal{I}(\mathcal{G}-D)$

## Theorem 4.7

The following are equivalent

- (i)  $\mathcal{G}$  comparability graph
- (ii)  $A \cap A^* = \emptyset \quad \forall A \in \mathcal{I}(\mathcal{G})$
- (iii)  $\mathcal{G}$ -decomposition  $[B_1, \dots, B_k]$  has  $B_i \cap B_i^* = \emptyset \quad \forall i \in [k]$

**Proof:**

(i)  $\Rightarrow$  (ii) done by theorem 4.1)

(ii)  $\Rightarrow$  (i) Let  $[B_1, \dots, B_k]$  be any  $\mathcal{G}$ -decomposition.  
 We use induction on  $k$ .

$k=1$ :  $B_1 \in \mathcal{I}(\mathcal{G})$  so  $B_1 \cap B_1^* = \emptyset$  by (ii)

k.z.z. Again  $B_1 \cap B_1^* = \emptyset$  by (ii)

$[B_2, \dots, B_k]$  is a  $\mathcal{G}$ -decomposition of  $\mathcal{G}-B_1$ . We have to verify that  $D \cap D^* = \emptyset \quad \forall D \in \mathcal{I}(\mathcal{G}-B_1)$ .  
 By Theorem 4.6 we have that  $D \in \mathcal{I}(\mathcal{G})$  and  $D \cap D^* = \emptyset$  holds by (i) or  $D = B+C$  for  $B, C \in \mathcal{I}(\mathcal{G})$ .

$$D \cap D^* = (B+C) \cap (B+C)^* = (B+C) \cap (B^*+C^*) = (B \cap B^*) \cup (B \cap C^*) \cup (C \cap B^*) \cup (C \cap C^*)$$

$B \cap B^*, C \cap C^*$  are empty by assumption

$B \cap C^*, C \cap B^*$  are empty since we have a rainbow triangle  $\tilde{B}_1, \tilde{B}, \tilde{C}$ .

So (ii) holds for  $\mathcal{G}-B_1$  by induction  $B_i \cap B_i^* = \emptyset \quad \forall i \geq 2$ .

(iii)  $\Rightarrow$  (i)

Induction on  $k: [B_1, \dots, B_k]$

$k=1$ :  $B_1 \cap B_1^* = \emptyset$  By Thm 4.4  $B_1$  is transitive orientation.  $\checkmark$

k22:  $[B_1, \dots, B_k]$  is  $\mathcal{G}$ -decomposition of  $\mathcal{G} \models B_1$  and fulfills (iii)  $\Rightarrow$  by induction  $\mathcal{G} \models B_1$  has a transitive orientation  $T$ .

Claim:  $B_1 \vee T$  is transitive orientation of  $\mathcal{G}$ .

(Combination part is clear)

$$\text{as } \mathcal{G} \models B_1 \quad \text{if } ac \in E \Rightarrow ab \vee cb \Rightarrow c \in B_1 \vee b \in T$$

So  $ac \in E$  Assume that  $ca \in T \Rightarrow T$  is not transitive  $\vee$

Assume that  $ca \in B_1 \Rightarrow B_1$  is not transitive  $\vee$  ( $B_1 \cap B_1^c = \emptyset \Rightarrow B_1$  transitive)

Thus we have that  $ac \in T$  or  $ac \in B_1$  (similar for  $T \vee B_1$ )

Corollary: Algo 7 determines correctly whether  $\mathcal{G}$  is a comparability graph in  $\mathcal{O}(|E| + |V|)$ .

## 12. Vorlesung

14.07.2025

Theorem: Algorithm 8 computes correctly  $\chi(\mathcal{G}), \omega(\mathcal{G})$  for  $\mathcal{G}$  is a comparability graph.

Proof:

Claim 1:  $h$  is a proper coloring

$\forall u, v \in E(\mathcal{G})$  to show that  $h(u) \neq h(v)$

Wlog. let  $u \in F$ . Hence  $G(u) < G(v)$ . Thus  $h(u)$  is set already when  $v = G(v)$ .

$$h(u) = 1 + \max\{h(w) \mid w \in F\} \geq 1 + h(v)$$

From Claim 1 follows:  $\max_{u \in V} (h(u)) \geq \chi(\mathcal{G})$ .

Claim 2:  $C$  is a clique in  $\mathcal{G}$ .

Let  $C = \{w_1, w_2, \dots, w_k\}$  ( $w_i$  are the selected vertices in the respective iteration) Thus  $\chi = h(w_2) = 1 + \max\{h(w) \mid w \in F\}$

From this follows  $h(w_{i+1}) = \chi - 1$ ,  $w_2, \dots, w_k \in F$ . In general it holds:  $h(w_{i+1}) = \chi - i = 1 + \max\{h(w) \mid w \in F\}$ . Thus

$$h(w_{i+1}) = \chi - i - 1$$

$\Rightarrow C$  is a directed path in  $F$ . By transitivity of  $F$ ,  $C$  is a clique.

From Claim 2 follows:  $\chi = |C| \leq \omega(\mathcal{G})$ .

Claim 3: perfectness & correctness.

$$\chi(\mathcal{G}) \leq \max_{v \in V} (h(v)) = \chi = |C| \leq \omega(\mathcal{G}) \stackrel{\text{Claim 2}}{=} \chi(\mathcal{G})$$

(Claim 1, Claim 2, Claim 2, General)

Corollary: runtime of algo 8 is in  $\mathcal{O}(|V| + |E|)$ .

Theorem: Algo 9 computes  $\alpha(\mathcal{G}), k(\mathcal{G})$  for  $\mathcal{G}$  comparability graph.

Special case:  $\mathcal{G}$  is bipartite. (Every bipartite graph is a comparability graph)

Def:  $M \subseteq E(\mathcal{G})$  is a matching if  $\forall v \in V$  exists at most one  $e \in M : v \in e$

It holds that:

$$k(\mathcal{G}) \leq |V| - \max\{|M| : M \text{ matching}\}$$

Def:  $S \subseteq V_{\mathcal{G}}$  is a vertex cover if  $\forall e \in E \exists v \in S : v \in e$ .

Note:  $S$  is a vertex cover  $\Leftrightarrow V - S$  is independent

$$\alpha(\mathcal{G}) = |V| - \min\{|S| : S \text{ vertex cover}\}$$

$$k(\mathcal{G}) \geq \alpha(\mathcal{G})$$

Theorem (König): for  $\mathcal{G}$  bipartite it holds that  $|V| - \max\{|M| : M \text{ matching}\} = k(\mathcal{G}) = \alpha(\mathcal{G}) = |V| - \min\{|S| : S \text{ vertex cover}\}$

Proof:  $\alpha(\mathcal{G}) = k(\mathcal{G})$  since  $\mathcal{G}$  is a comparability graph and thus  $\mathcal{G}$  is perfect.  $k(\mathcal{G}) = |V| - \max\{|M| : M \text{ matching}\}$

Rule:  $v, w$  consecutive in clique cover  $\stackrel{\text{Rule}}{=} v'w' \in M$

Proof:

clique con  $V_1 + \dots + V_k$  of  $G$ . We have  $k$  cliques in  $G$ . Thus we find  $k$  directed paths in  $G \Rightarrow$  We have  $2k$  starts and ends of paths. Then we have  $2k$  vertices of  $B$  (Bipartite graph  $(B = V + V', E)$ ,  $V' = \{u' | u \in V\}$ ,  $V'' = \{v' | v \in V\}$ ) of  $B$  are unmatched.  $\Rightarrow |M| = |V| - k$ . The other way around also holds.

It follows that  $k(G) = |V| - \max\{|M| : M \text{ matching in } B\} \stackrel{\text{König}}{=} |V| - \min\{|S| : S \text{ vertex cover in } B\}$

We get a smallest unknown  $S$  of  $B$ . Then  $\forall v \in S: S - v$  is not a unknown

Observation:  $|S \cap \{u, v\}| \leq 1, \forall v \in V_S$

Proof Assume not. Since  $S \cup V'$  has a vertex cover of  $3|V|/4$ , wlog,  $u \in F$ . Since  $S \cup V'$  has a vertex cover of  $3|V|/4$ , wlog,

$\Rightarrow u'w \in F \Rightarrow u'w'' \in E_0$ .  $u'w''$  is not contained in  $u$  to  $S$  being a vertex cover.

Hence  $Y = \{v \in V : s \cap \{v, v'\} = \emptyset\}$  has exactly  $|V| - |S| = |V| - |M| = k(G)$  elements.

Observation:  $Y$  is an independent set in  $\mathcal{G}$

Proof:

$vuv \in E_g$  wlog:  $vw \in E \Rightarrow v'w'' \in E_g$  but  $S \cap \{v', w''\} = \emptyset$  if  $S$  being or not connected  
 Hence  $\alpha(g) \geq |V| - t(g) \geq \alpha(g) \Rightarrow |V| = \alpha(g)$   
? full graph

Algo 9:

- compute transitive closure of  $F$
- compute bipartite graph  $B$
- compute max matching  $M$
- compute min vertex cover  $S$
- derive clique cover on  $|V| - |M|$
- derive  $\pi$ -set on  $|V| - |S|$

$$\begin{aligned} & \underline{\text{runtime}} \\ & O(N + |E|) \\ & O(N + |E|) \\ & O(|E|^{1.5}) \\ & O(N + |E|) \\ & O(N + |E|) \\ & O(N + |E|) \end{aligned}$$

## 13. Verknüpfung

21.07.2025

Def: a split graph is a graph, where  $G$  and  $\bar{G}$  are chordal

**Theorem 5.3.** For every graph  $G=(V,E)$  the following are equivalent:

- (i)  $G$  is a split graph
- (ii)  $V = K \cup S$  with  $K$  is a clique and  $S$  is an independent set
- (iii)  $C_4, C_5$  free  $G$  and  $C_4$  free  $\bar{G}$

proof:

(ii)  $\Rightarrow$  (i)

$V = K \cup S$ ,  $K$  clique,  $S$  independent set. Let  $C$  be cycle of length at least 4 in  $G$ .

If  $V(G) \cap S = \emptyset$  then  $C$  has a chord, since  $K$  is a clique. If  $C = \{v_1, v_2, v_3, \dots\}$ ,  $v_2 \in S$  then  $v_1, v_3 \in K$  since  $S$  is independent. we also have  $v_1, v_3 \in E$  since  $K$  is a clique. Thus  $\bar{C}$  is a chord. By the same argumentation  $\bar{C}$  is a chord. Thus  $G$  is a split graph.

(i)  $\Rightarrow$  (ii) clear

(iii)  $\Rightarrow$  (ii)

We have to find a split  $K, S$ . Choose  $K$  a maximum clique with  $S = V - K$ ,  $G_S$  has the least number of edges.

Assume  $G_S$  has an edge  $xy$  for the sake of contradiction. We shall find  $C_4$  or  $C_5 \subseteq \text{ind } G$  or  $C_4 \subseteq \text{ind } \bar{G}$ .

Since  $K$  is maximum it exists a vertex  $u \in K$  s.t.  $ux \in E$  and  $v \in K$  s.t.  $vy \in E$ .

If  $uv \notin E$  for all choices. Then we find a new clique  $K' = K - uv + xy$ , that is larger as  $K$ . (Contradiction to maximum clique)

So  $uv \in E$ :

If  $xy, uv \in E$  then  $C_4 \subseteq \text{ind } G$  ✓

If  $uv, xy \notin E$  then  $2K_2 \subseteq \text{ind } G$  ✓ ( $C_4 \subseteq \text{ind } \bar{G}$ )

So, wlog.  $vx \notin E, uy \in E$ .

Consider  $K' = K - uv + xy$ . (we want to show that  $K'$  is a clique.)

If  $w \in K - \{u, v\}$ ,  $wy \in E$ .

If  $wx \notin E \Rightarrow G_{K', w, xy} = 2K_2$  ✓

If  $wx \in E \Rightarrow G_{K', w, xy} = C_4$  ✓

So  $\forall w \in K - \{u, v\}$   $wy \in E$ . In particular  $K'$  is a clique.  $|K| = |K'|$ .

We want to show that  $G_{S, K'}$  has fewer edges than  $G_S$ .

To show:  $|Adj(y) \cap S| > |Adj(u) \cap S|$ . (we have  $x \in Adj(y)$ ,  $x \notin Adj(u)$ )

Assume  $ts \in E, tv \notin E, ty \notin E$ .

Assume  $tx \notin E$  then  $G_{S, y, xy} = 2K_2$

So  $tx \in E$ .

If  $tu \in E$  then  $G_{S, y, uv, xy} = C_5$  ✓

If  $tu \notin E$  then  $G_{S, y, uv, xy} = C_4$  ✓



So there is no such  $t \in S$ .  $|Adj(y) \cap S| > |Adj(u) \cap S| \Rightarrow G_{S, K'}$  has fewer edges than  $G_{S, K}$ .  
Contradiction to the choice of  $K$ .

## Chapter 6 - Permutation graphs

Def.:  $G = (V, E)$  is a permutation graph, if  $G$  and  $\bar{G}$  are comparability graphs.

Theorem: For every (undirected) graph  $G = (V, E)$  the following are equivalent:

(i)  $G$  and  $\bar{G}$  are comparability graphs.

(ii) There exists a vertex ordering  $\sigma$  of  $G$ , without  and .

(iii) There is an embedding  $V \rightarrow \mathbb{R}^2$  such that  $uv \in E \Leftrightarrow (u_x < v_x \Leftrightarrow u_y < v_y)$

proof:

(i)  $\Rightarrow$  (ii)

$G$  has a transitive orientation  $(V, F_1)$  and  $\bar{G}$  has a transitive orientation  $(V, F_2)$ . So  $F = F_1 + F_2$  is an orientation of the complete graph on  $V$ .

Claim:  $F_1, F_2$  transitive  $\Rightarrow F = F_1 + F_2$  is transitive.



$F$  is an orientation of a complete graph is transitive  $\Leftrightarrow F$  is acyclic

(Not transitive  $\leadsto$  directed cycle)  
(directed triangle  $\leadsto$  not transitive)



Let  $F$  has a directed triangle. If all edges of the triangle are in  $F_1$ , then  $F_1$  is not transitive. Or two edges are in  $F_1$ , then  $F_1$  is also not transitive.



Let  $G$  be the topological ordering of  $F = F_1 + F_2$ .

  $F_1$  transitive   $F_2$  transitive

(ii)  $\Rightarrow$  (iii)

Given  $G$  without  and . We obtain a transitive orientation  $F_1$  of  $G$ ,  $F_2$  of  $G$  by orienting left-to-right.

Take  $G_x = G$  as order of  $x$ -coordinates of points for each vertex in  $V$ .

$F_1 + F_2^{-1}$  is also a transitive orientation of the complete graph of  $V$ .  $\leadsto$  We get a second edg. of  $G$  as topological ordering of  $F_1 + F_2^{-1}$ .

$uv \in E$  say wlog.  $uv \in F_1$ . Then  $u_x < v_x$  and  $u_y < v_y$ .

$uv \in E$  say wlog.  $uv \in F_2$ . Then  $u_x < v_x$  but  $v_y < u_y$ .

## 14. Vorlesung

23.07.2025

(ii)  $\Rightarrow$  (i)

Given an embedding of  $V$  in the plane. Orientate an edge  $uv \in E$  from  $u$  to  $v$  iff  $u$  is bottom-left of  $v$ . This is transitive, thus  $G$  is a comparability graph.

For the non-edge orientate them bottom-right. This is also transitive and thus  $G$  is a comparability graph. (One can choose where to use  $x_u \leq x_v$  or  $y_u \leq y_v$ ).

Given an ordering  $\pi$  of  $[n]$ .  $G = G_\pi$ ,  $V(G_\pi) = [n]$ .  $ij \in E(\Rightarrow) (\pi_i - \pi_j)(\pi_i + \pi_j) < 0$  is a permutation graph.

We have a recognition algorithm and we can find  $O(n \log n)$  for comparability graphs. We also find the a faster algorithm  $O(n \log(n))$ .

• For finding the complement, swap both axis.



(Example?)

• Given Intervals  $I_1, \dots, I_n$ ,  $I_i = (x_i, y_i)$  s.t.  $x_1 \leq x_2 \leq \dots \leq x_n$

Goal: Find the number of translations s.t.

•  $x_1 \leq x_2 \leq \dots \leq x_n$

•  $y_i \leq x_{i+1} \quad \forall i \in [n-1]$

(slides: L-graph, flip an axis)

Construction of a conflict graph  $G$  with  $V(G) = \{I_1, \dots, I_n\}$ .  $I_i, I_j \in E \Leftrightarrow x_j - y_i < \sum_{k=i+1}^j (y_k - x_k)$


•  $G$  is a permutation graph

Solution is a minimal set of intervals that are moved  $\Leftrightarrow$  maximal number of intervals that are not moved  $\Leftrightarrow$  maximum independent set in  $G$ .

## Theorem 7.1

For every graph  $G = (V, E)$  the following are equivalent:

(i)  $G$  is an interval graph

(ii)  $\exists$  vertex ordering  $\sigma$  without 

(iii)  $G$  is chordal and  $\bar{G}$  is a comparability graph

(iv)  $G$  is chordal and  $\bar{G}$  is a comparability graph

(v) There exists an ordering  $A_1, A_2$  of the inclusion maximal cliques in  $G$  such that  $\forall v \in V$  the ranks in  $\{1, \dots, |A_1| + |A_2|\}$  are consecutive in  $A_1, A_2$ .

Proof:

(i)  $\Rightarrow$  (ii)

$I = (x, y)$

Look at interval representation. Wlog, all endpoints are distinct. We define  $\sigma$  as the left to right ordering of the endpoints (y).

Let  $u \leq v \leq w$  with  $uw \in E \Rightarrow vw \in E$ .

(ii)  $\Rightarrow$  (iii)

Let  $\sigma$  be a vertex ordering without  $\hookrightarrow$ . So in particular it does not have  $\hookrightarrow$ , so  $\sigma$  is checked. It has not  $\hookrightarrow$  so  $\sigma$  does not contain  $\hookrightarrow$ , so  $\sigma$  is a comparability graph.

(iv)  $\Rightarrow$  (v)

We have that  $C_k$  is not an induced subgraph of  $\sigma$  and  $\sigma$  is a comparability graph. We also have  $2K_2$  is not an induced subgraph of  $\sigma$ . Let  $F$  be the transitive orientation of  $\sigma$ . Let  $A, B$  inclusion-maximal cliques. So we have  $a \in A, b \in B$  s.t.  $ab \in F$ . If  $ab \in F$ , then we say  $A < B$  and vice versa.

Claim: If  $A < B$  then  $B \nless A$  and vice versa.

1. Case:  $ab \in F$  and there is an edge  $ba \in F$ . Since  $\sigma$  has a transitive orientation  $aa' \in E$ , but  $A$  is a clique, so  $aa' \in E$ . If we also have the other orientation of this we

2. Case: Let  $ab \in F$  and  $ba \notin F$ .

Since we cannot in  $\sigma$  we have  $ab' \in E, a'b \in E$ . Since  $A, B$  are cliques,  $aa' \in E, bb' \in E$ . So  $a, b, a', b'$  form a  $2K_2$ , that is a contradiction.

$\hookrightarrow \sigma$  is well defined

Goal:  $<$  is acyclic

Let  $A < B < C$ . Goal:  $A < C$ .

Let  $a_1, b_1 \in F, a_1 \in A, b_1 \in B$ . Let  $a_2, b_2 \in F, a_2 \in B, b_2 \in C$ .

Case:  $b_2 \notin A$

If  $b_1 = a_2$ . Since  $F$  is transitive we also have  $a_1 b_2 \in F$ , so  $A < C$ .

Issue:  $b_1 \neq a_2$ . Then we have  $b_1 a_2 \in E$ .

We assume  $a_1 a_2 \in E, b_1 b_2 \in E$ .  $C_k$  is not an induced subgraph of  $\sigma \Rightarrow a_1 b_2 \notin E$ .

By transitivity of  $F$   $a_1 b_2 \in F$ .

There is a total ordering  $A_1 < A_2 < \dots < A_k$  on maximal cliques.

Let  $v \in A_i$  be with  $i$  is  $j$ .

Goal:  $v \in A_j$ .

Assume for the sake of contradiction that  $v \notin A_j$ , then there's  $w \in A_j$  s.t.  $vw \in E$ .

If  $yw \in F$ . Then we have  $A_i < A_j$   $\nless$  to the assumption, otherwise  $A_j < A_i$ , which is also a contradiction.

$\Rightarrow v \in A_j$ .

(iii)  $\Rightarrow$  (iv) Since  $\sigma$  is checked we have  $C_k$  is not  $\sigma$  for  $k \geq 2$ , i.e.  $C_k$  not  $\sigma$ .

(v)  $\Rightarrow$  (i)

Given  $A_1 < \dots < A_k$  on inclusion-maximal cliques.  $\{i \mid v \in A_i\}$  is an interval.

Let  $I_v$  be the smallest interval s.t.  $\{i \mid v \in A_i\} \subseteq I_v$ .  $vw \in E \Leftrightarrow \exists i \text{ s.t. } v \in A_i, w \in A_i \Leftrightarrow I_v \cap I_w \neq \emptyset$ .

# 15. Vorlesung

28.07.2025

• Lecture + problem class + exercise sheets

$\rightarrow$  little change, more focus on the problem class

$\rightarrow$  small problem to solve