



February 14, 2007

The k -center problem

- Input is set of cities with intercity distances
($G = (V, V \times V)$)
- Select k cities to place warehouses
- Goal: minimize **maximum distance** of a city to a warehouse

Other application: placement of ATMs in a city



Results

- NP-hardness
- Greedy algorithm, approximation ratio 2
- Technique: parametric pruning
- Second algorithm with approximation ratio 2
- Generalization of Algorithm 2 to weighted problem



Theorem 1. *It is NP-hard to approximate the general k -center problem within any factor α .*

Proof. Reduction from Dominating Set ...



Dominating set = subset S of vertices such that every vertex which is **not in S** is **adjacent** to a vertex **in S** .

Finding a dominant set of minimal size is NP-hard

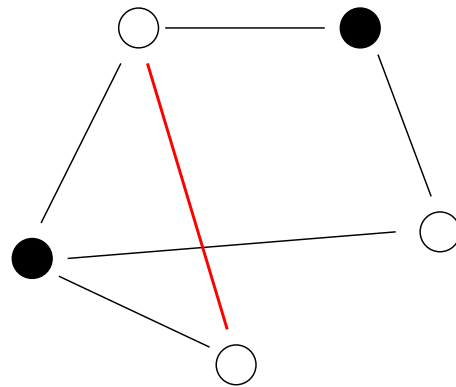
For a graph G , $\text{dom}(G)$ is the size of the smallest possible dominating set

Dominating set is similar to but not the same as vertex cover!



Dominating set and vertex cover

Vertex cover = subset S of vertices such that every **edge** has at least one endpoint in S



The black vertices form a dominating set but not a vertex cover.

Also, not every vertex cover is a dominating set.



Proof We want to find a Dominating Set in $G = (V, E)$.

Consider $G' = (V, V \times V)$ and the weight function

$$d(u, v) = \begin{cases} 1 & \text{if } (u, v) \in E \\ 2\alpha & \text{else} \end{cases}$$



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Then there is a k -center of cost 1 in G'

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If there is **no such dominating set** in G , every k -center has weight $\geq 2\alpha > \alpha$.



Proof (continued)

Assume that there exists an α -approximation algorithm **for the k -center problem.**

Decision algorithm: Run α -approx algorithm on G'

Solution has weight $\leq \alpha \rightarrow$ **dominating set** of size at most k exists

Else there is no such dominating set.

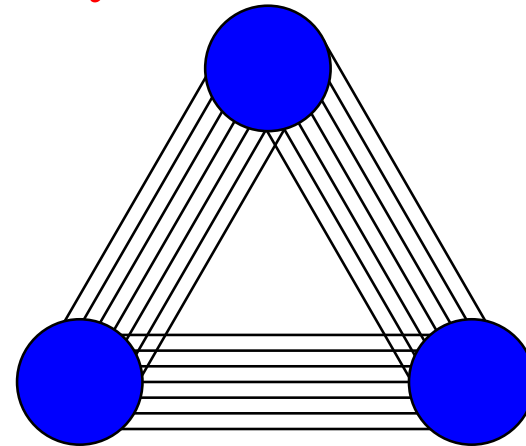




Metric k -center

G is **undirected** and obeys the **triangle inequality**

$$\forall u, v, w \in V : d(u, w) \leq d(u, v) + d(v, w)$$

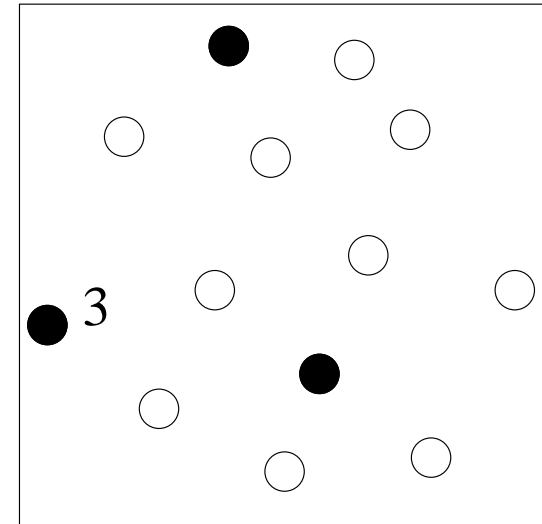
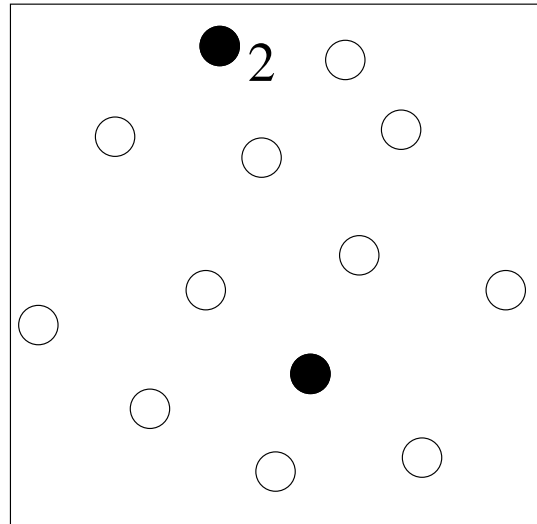
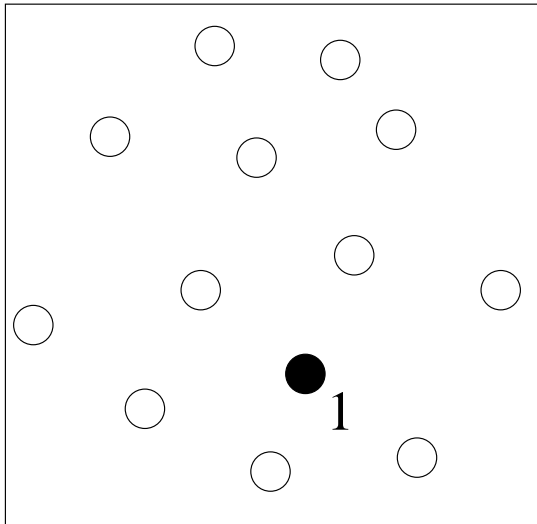


We show two **2-approximation algorithms** for this problem.



The Greedy algorithm

- Choose the first center arbitrarily
- At every step, choose the vertex that is furthest from the current centers to become a center
- Continue until k centers are chosen





Analysis

- The sequence of distances from a new chosen center to the closest center to it (among previously chosen centers) is **non-increasing**
- Consider the point that is furthest from the k chosen centers
- We need to show that the distance from this point to the closest center is at most $2 \cdot \text{OPT}$
- Assume by negation that it is $> 2 \cdot \text{OPT}$



Analysis

- We assumed that the distance from the furthest point to all centers is $> 2 \cdot \text{OPT}$
- This means that distances **between** all centers are also $> 2 \cdot \text{OPT}$
- We have **$k + 1$ points** with distances $> 2 \cdot \text{OPT}$ between every pair



Analysis

- For each point in the input, a center of the **optimal solution** within distance $\leq \text{OPT}$ must exist
- There exists a pair of points with the same center X in the optimal solution (pigeonhole principle: k optimal centers, $k + 1$ points)
- The distance between them is at most $2 \cdot \text{OPT}$ (triangle inequality)
- Contradiction!



Technique: parametric pruning

Idea: remove **irrelevant** parts of the input

- Suppose $\text{OPT} = t$
- We want to show a 2-approximation
- Any edges of cost **more than $2t$** are useless: if two vertices are connected by such an edge, and one of them gets a warehouse, the other one is still too far away
- We can **remove edges that are too expensive**

Of course, we do not know OPT . But we can **guess**.



Technique: parametric pruning

- We can order the edges by cost: $\text{cost}(e_1) \leq \dots \leq \text{cost}(e_m)$
- Let $G_i = (V, E_i)$ where $E_i = \{e_1, \dots, e_i\}$
- The k -center problem is equivalent to finding the **minimal i** such that

G_i has a **dominating set** of size k

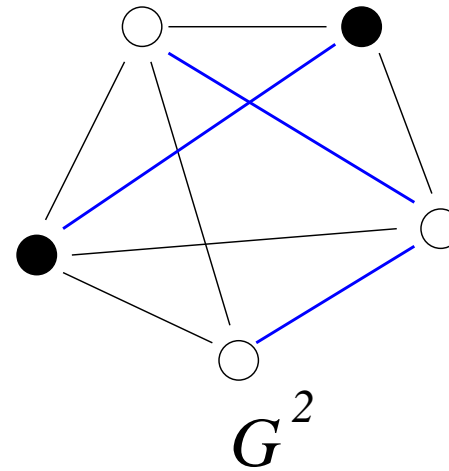
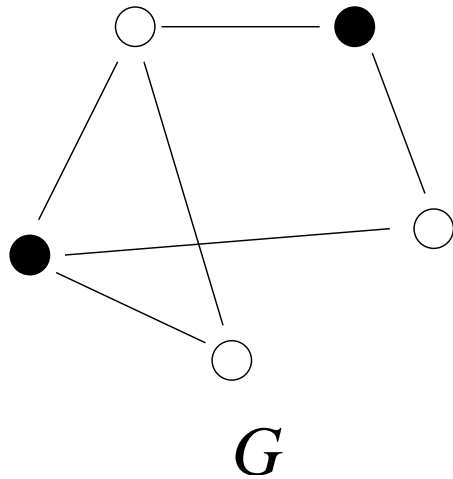
(we only need to cover all the points, not all the edges!)

- Let i^* be this minimal i
- Then, $\text{OPT} = \text{cost}(e_{i^*})$



Graph squaring

For a graph G , the **square** $G^2 = (V, E')$ where $(u, v) \in E'$ if there is a path of length **at most 2** between u and v in G (and $u \neq v$)





Lemma 2. *For any independent set I in G^2 , we have $|I| \leq \text{dom}(G)$.*

Proof. Let D be a minimum dominating set in G .

(The size of D is $\text{dom}(G)$.)



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A star in G becomes a clique in G^2 : every two endpoints of the star become connected



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So G^2 contains $|D| = \text{dom}(G)$ **cliques** spanning all vertices.

There can only be **one vertex of each clique** in I . □



Algorithm

We use that **maximal** independent sets can be found in polynomial time.

- Construct $G_1^2, G_2^2, \dots, G_m^2$
- Find a **maximal independent set** M_i in each graph G_i^2
- Determine the **smallest** i such that $|M_i| \leq k$, call it j
- Return M_j .

Lemma 3. *For this j , $\text{cost}(e_j) \leq \text{OPT}$.*

Lemma 4. *This algorithm gives a 2-approximation.*



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Proof. For every $i < j$...

- $|M_i| > k$ by the definition of our algorithm
- $\text{dom}(G_i) \geq |M_i| > k$ by Lemma 2
- Then $i^* > i$ (i^* is minimal i such that G_i has a dominating set of size k)

Since $i^* > i$ for all $i < j$, we find $i^* \geq j$.





Lemma 4. *This algorithm gives a 2-approximation.*

Proof.

- Any **maximal independent** set I in G_j^2 is also a **dominating** set (if some vertex v were not dominated, $I \cup v$ were also independent)



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- These stars **cover all the vertices**



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- In G_j^2 , we have $|M_j|$ stars centered on the vertices in M_j
- These stars **cover all the vertices**
- Each edge used in constructing these stars in G_j^2 has cost at most $2 \cdot \text{cost}(e_j) \leq 2 \cdot \text{OPT}$

The last inequality follows from Lemma 3. □



Lemma 5. *If $P \neq NP$, no approximation algorithm gives a $(2 - \varepsilon)$ -approximation for any $\varepsilon > 0$.*

- We again use a reduction from Dominating Set
- This time, the graph must satisfy the triangle inequality
- We define G' as follows:

$$d(u, v) = \begin{cases} 1 & \text{if } (u, v) \in E \\ 2 & \text{else} \end{cases}$$

This graph satisfies the triangle inequality (proof?)



Suppose G has a dominating set of size **at most k** .

Then there is a k -center of cost 1 in G'

→ a $(2 - \varepsilon)$ -approx. algorithm delivers one with **weight < 2**

If there is **no such dominating set** in G , every k -center has **weight $\geq 2 > 2 - \varepsilon$** .

Thus, a $(2 - \varepsilon)$ -approximation algorithm for the k -center problem can be used to determine whether or not there is a **dominating set** of size k .

This is an NP-hard problem.



Weighted k-center problem

- Input is set of cities with intercity distances
($G = (V, V \times V)$)
- Each city has a **cost**
- Select cities of **cost at most W** to place warehouses
- Goal: minimize **maximum distance** of a city to a warehouse



Ideas

- We use the same graphs G_1, \dots, G_m as before
- Let $\text{wdom}(G)$ be the weight of a **minimum weight** dominating set in G
- We look for the smallest index i such that $\text{wdom}(G_i) \leq W$
- We also use graph squaring again



The set of light neighbors

- Let I be an independent set in G^2
- For any node u , let $s(u)$ be the **lightest** neighbor of u
- Here, we also consider u to be a neighbor of itself
- Let $S_I = \{s(u) \mid u \in I\}$

We claim $w(S_I) \leq w_{\text{dom}}(G)$

(Compare the unweighted problem, where we had $|I| \leq \text{dom}(G)$)



Lemma 6. $w(S_I) \leq w_{\text{dom}}(G)$

Proof. Let D be a minimum **weight** dominating set in G .

Then G contains $|D|$ **stars** spanning all vertices (the nodes of D are the centers of the stars).

A star in G becomes a clique in G^2 .

So G^2 contains $|D|$ **cliques** spanning all vertices.

There can only be **one vertex of each clique** in I .

For each vertex in I , the center of the corresponding star is available as a neighbor in G (this might not be the lightest neighbor).

Therefore $w(S_I) \leq w(D) = w_{\text{dom}}(G)$.

□



Algorithm for weighted k -center

Let $s_i(u)$ denote a lightest neighbor of u in G_i .

- Construct G_1^2, \dots, G_m^2
- Compute a maximal independent set M_i in each graph G_i^2
- Compute $S_i = \{s_i(u) \mid u \in M_i\}$
- Find the minimum index i such that $w(S_i) \leq W$, say j
- Return S_j



Lemma 7. *This algorithm achieves a 3-approximation.*

□ As before we have $\text{OPT} \geq \text{cost}(e_j)$

For every $i < j$...

□ $w(S_i) > W$ by the definition of our algorithm

□ $\text{wdom}(G_i) > W$ by Lemma 6

□ Then $i^* > i$

Therefore, $i^* \geq j$.



Lemma 7. *This algorithm achieves a 3-approximation.*

- As before we have $\text{OPT} \geq \text{cost}(e_j)$
- M_j is a dominating set in G_j^2

It is a maximal independent set



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- As before we have $\text{OPT} \geq \text{cost}(e_j)$
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- We can cover V with stars of G_j^2 centered in vertices of M_j



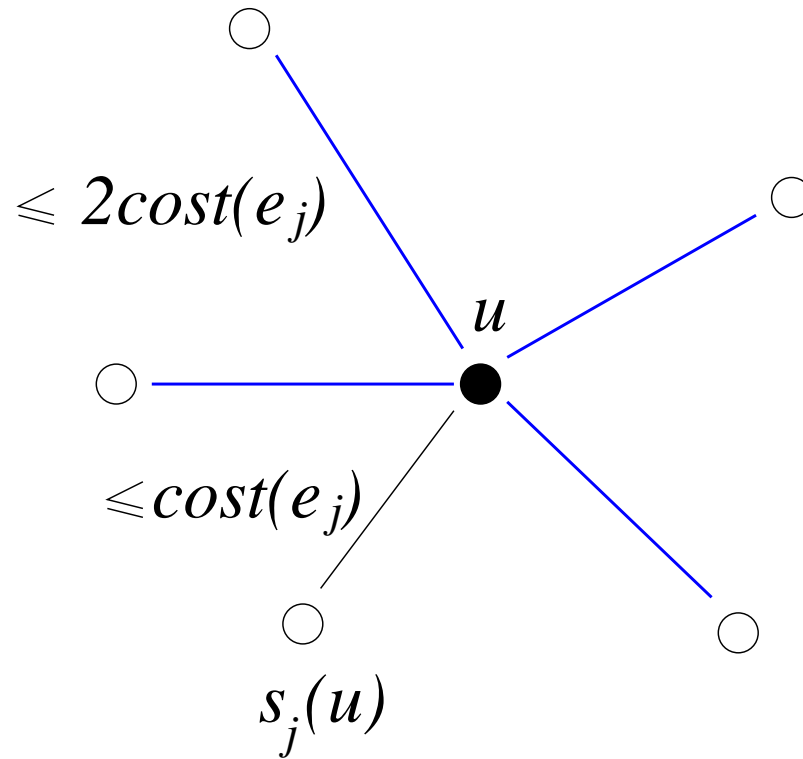
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(triangle inequality)

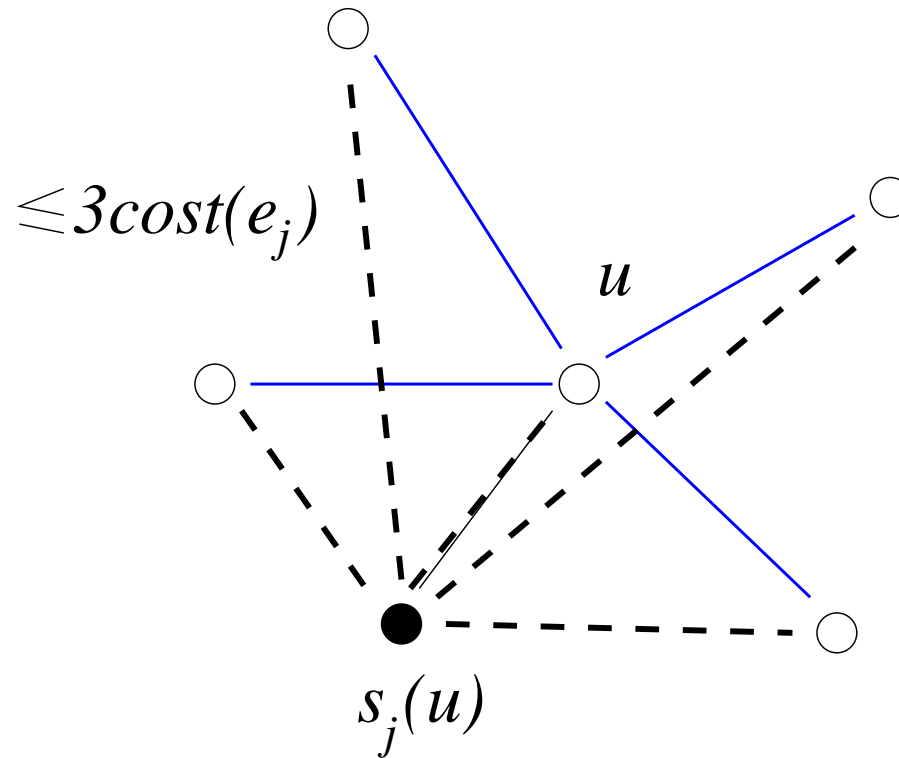


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(triangle inequality)
- Each star **center** is adjacent to a vertex in S_j , using an edge of cost at most $\text{cost}(e_j)$



A star in G_j^2

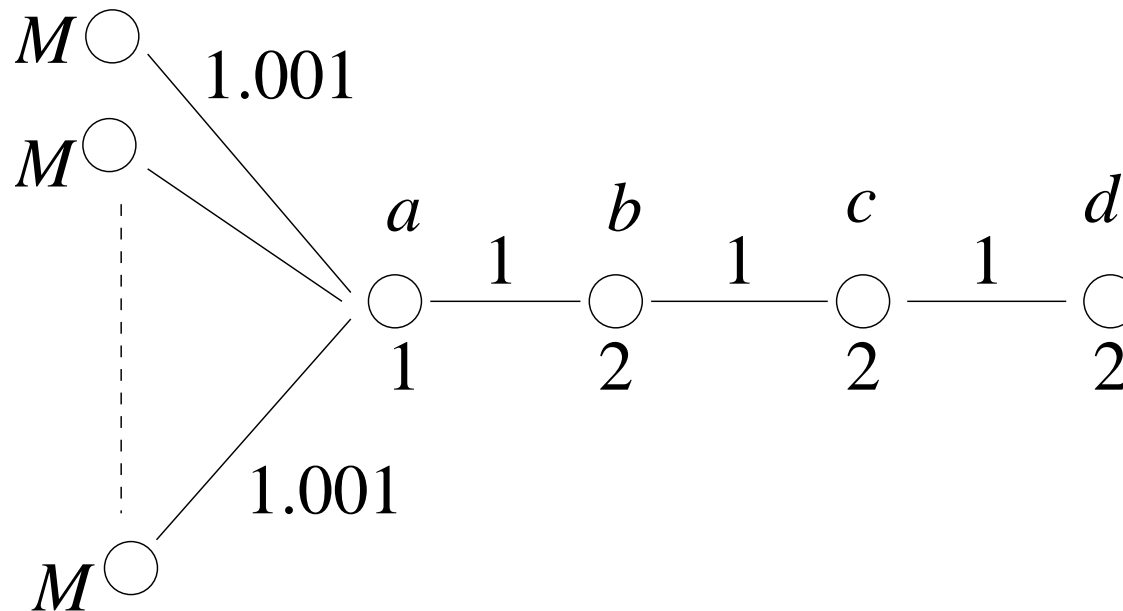


A star in G_j^2 with redefined centers

Thus every node in G_j can be reached at cost at most $3 \cdot \text{cost}(e_j)$ from some vertex in S . This completes the proof.



Lower bound for this algorithm



There are n nodes of weight M . The bound $W = 3$.

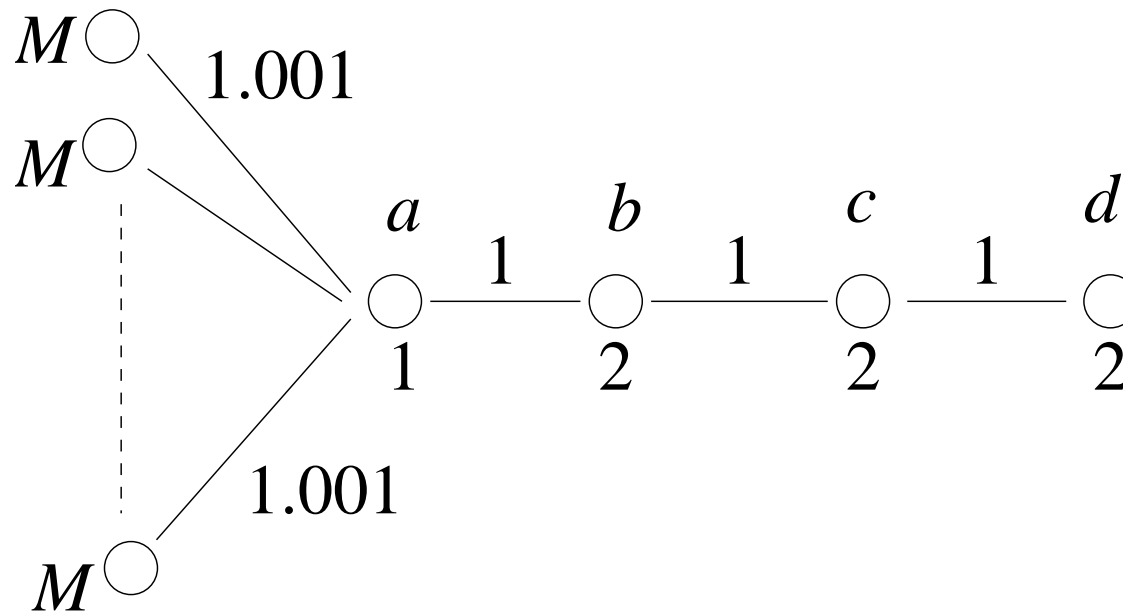
All edges not shown have weight equal to the length of the shortest path in the graph that is shown

For $i < n + 3$, G_i is missing at least one edge of weight 1.001.

One vertex will be isolated (also in G_i^2) so it will be in S_i



Lower bound for this algorithm



There are n nodes of weight M . The bound $W = 3$.

All edges not shown have weight equal to the length of the shortest path in the graph that is shown

For $i = n + 3$, $\{b\}$ is a maximal independent subset

If our algorithm chooses $\{b\}$, it outputs $S_{n+3} = \{a\}$. Cost is 3.