

1 Flipping Non-Crossing Spanning Trees

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10 — Abstract —

11 For a set P of n points in general position in the plane, the flip graph $\mathcal{F}(P)$ has a vertex for each
12 non-crossing spanning tree on P and an edge between any two spanning trees that can be transformed
13 into each other by one edge flip, i.e., the deletion and addition of exactly one edge. The diameter
14 $\text{diam}(\mathcal{F}(P))$ of this flip graph is subject of intensive study. For points P in general position, it is
15 between $\lfloor 3/2 \cdot n \rfloor - 5$ and $2n - 4$, with no improvement for 25 years. For points P in convex position,
16 $\text{diam}(\mathcal{F}(P))$ lies between $\lfloor 3/2 \cdot n \rfloor - 5$ and $\approx 1.95n$, where the lower bound was conjectured to be
17 tight up to an additive constant and the upper bound is a very recent breakthrough improvement
18 over several previous bounds of the form $2n - o(n)$.

19 In this work, we provide new upper and lower bounds on the diameter of $\mathcal{F}(P)$ by mainly
20 focusing on points P in convex position. We improve the lower bound even for this restricted case
21 to $\text{diam}(\mathcal{F}(P)) \geq \lfloor 14/9 \cdot n \rfloor - \mathcal{O}(1)$. This disproves the conjectured upper bound of $3/2 \cdot n$ for convex
22 position, while also improving the long-standing lower bound for point sets in general position. In
23 particular, we provide pairs T, T' of trees with flip distance $\text{dist}(T, T') \geq \lfloor 14/9 \cdot n \rfloor - \mathcal{O}(1)$; in these
24 examples, both trees T, T' have three convex hull edges. We complement this by showing that if one
25 of T, T' has at most two convex hull edges, then $\text{dist}(T, T') \leq 3/2 \cdot d < 3/2 \cdot n$, where $d = |T - T'|$ is the
26 number of edges in one tree that are not in the other. This bound is tight up to additive constants.

27 Secondly, we significantly improve the upper bound on $\text{diam}(\mathcal{F}(P))$ for n points P in convex
28 position from $\approx 1.95n$ to $\lfloor 5/3 \cdot n \rfloor - 3$. To prove both our lower and upper bound improvements, we
29 introduce a new tool. Specifically, we convert the flip distance problem for given T, T' to the problem
30 of a largest acyclic subset in an associated *conflict graph* $H(T, T')$. In fact, this method is powerful
31 enough to determine the diameter of $\mathcal{F}(P)$ for points P in convex position up to lower-order terms.
32 As such, conflict graphs are likely the key to a complete resolution of this and possibly also other
33 reconfiguration problems.

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35 torics → Combinatoric problems

36 **Keywords and phrases** flip graph, reconfiguration graph, spanning tree, non-crossing/crossing-free,
37 convex point set

1 Introduction

Reconfiguration problems are important combinatorial problems with a high relevance in various settings and disciplines, e.g., robot motion planning, (multi agent) path finding, reconfiguration of data structures, sorting problems, string editing, in logistics, graph recoloring, token swapping, the Rubik’s cube, or sliding puzzles, to name just a few. Given a collection of configurations and a set of allowed reconfiguration moves, each transforming one configuration into another, we naturally obtain a (directed) graph on the space of all configurations. When reconfiguration moves are reversible (then often called *flips*), this graph is undirected and called a *flip graph* \mathcal{F} . For example, the flip graph of the Rubik’s cube has more than $43 \cdot 10^{18}$ vertices, each of degree 27.

A typical task is, for a pair A, B of input configurations, to find a sequence of flips that transform A into B – preferably fast. The distance of A and B in the flip graph \mathcal{F} is the minimum number of required flips. As computing (or even storing) the entire flip graph is usually impractical, one often resorts to the structure of \mathcal{F} to find a short flip sequence from A to B . However, even worst-case guarantees on the flip distance of A and B are mostly difficult to obtain. It took 29 years and 35 CPU-years donated by Google to determine the largest flip sequence between any two Rubik’s cubes, that is, to determine the diameter of the corresponding flip graph. This elusive number is called God’s number and equals 20 [35].

Flip graphs are a versatile structure with many potential applications. For example, they are used to obtain Markov chains to sample random configurations, or for Gray codes or reverse search algorithms to generate all configurations. We give more related work in Section 1.1, and refer to the survey articles [31, 37] for even more examples and applications of reconfiguration problems.

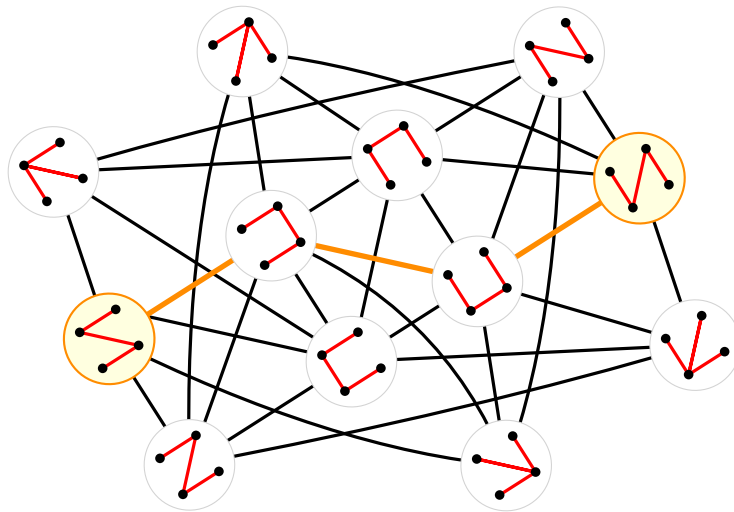


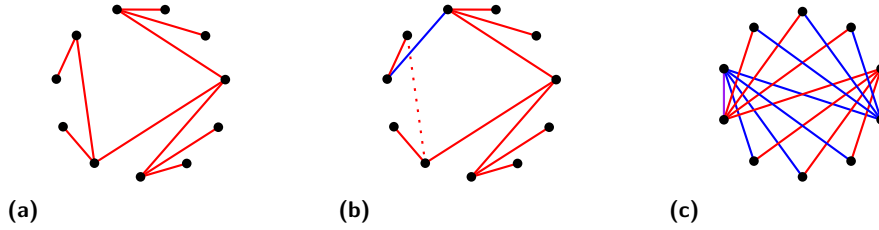
Figure 1 The flip graph $\mathcal{F}(P)$ on all non-crossing spanning trees on a set P of $n = 4$ points in convex position. A pair T, T' with $\text{dist}(T, T') = \text{diam}(\mathcal{F}(P)) = 3$ is highlighted.

A widely studied field concerns configuration of non-crossing straight-line graphs on a fixed point set in the plane. In this setting, a flip is usually the exchange of one edge with another edge. That is, two graphs A, B (i.e., configurations) are adjacent in the flip graph \mathcal{F} if $|E(A) - E(B)| = |E(B) - E(A)| = 1$. Classical examples are triangulations [14, 16, 21, 22, 25, 26, 32, 33, 38], spanning trees [1, 2, 5, 7, 9, 18, 30], spanning paths [3, 4, 13, 24, 34], polygonizations [17], and matchings [20, 21, 28] on a fixed point set $P \subset \mathbb{R}^2$. For an overview, see the survey article [6].

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67 Here, we study the flip graph of non-crossing spanning trees on a finite point set in
 68 the plane in general position. Throughout, let P denote a set of n points in \mathbb{R}^2 with no
 69 three collinear points. Consider a tree whose vertex-set is P and whose edges are pairwise
 70 non-crossing straight-line segments. Then a *tree* T on P is the edge-set of such a non-crossing
 71 spanning tree. E.g., Figures 2(a) and 2(c) show some trees on a set P in convex position.

72 Two trees T and T' on P are related by a *flip* if T can be obtained from T' by an exchange
 73 of one edge; i.e., there exist edges $e \in T$ and $e' \in T'$ such that $T' = T - e + e'$; see Figures 2(a)
 74 and 2(b) for an example. The *flip graph* $\mathcal{F}(P)$ of P has a vertex for each tree on P and
 75 an edge between any two trees that are related by a flip. A path from T to T' in $\mathcal{F}(P)$
 76 corresponds to a *flip sequence* from T to T' . The length of a shortest flip sequence is the *flip*
 77 *distance* of T and T' , denoted by $\text{dist}(T, T')$. Finally, the *diameter* of $\mathcal{F}(P)$ is the largest
 78 flip distance of any two trees on P , i.e., the smallest D such that $\text{dist}(T, T') \leq D$ for all T, T' .
 79 In addition, the *radius* of $\mathcal{F}(P)$ is $\text{rad}(\mathcal{F}(P)) = \min_T \max_{T'} \text{dist}(T, T')$.



■ **Figure 2** Some non-crossing trees on a point set in convex position.

80 The flip graph $\mathcal{F}(P)$ of trees on P has been considered since 1996, when Avis and
 81 Fukuda [5] showed that any tree T on P can be flipped to¹ any star T' on P whose central
 82 vertex lies on the boundary of the convex hull of P in $|T - T'| \leq n - 2$ steps. This implies
 83 that $\mathcal{F}(P)$ has radius at most $n - 2$ and hence diameter at most $2n - 4$. However, the exact
 84 radius and diameter of $\mathcal{F}(P)$ remain unknown to this day. Also, it is unclear how much
 85 the diameter varies between different point sets of same cardinality, or which point sets P
 86 maximize the diameter of $\mathcal{F}(P)$ among all sets of n points. In 1999, Hernando et al. [18]
 87 provided a lower bound by constructing two trees T, T' on n points in convex position (for
 88 any $n \geq 4$) with flip distance $\text{dist}(T, T') = \lfloor 3/2 \cdot n \rfloor - 5$; see Figure 2(c). In this example, each
 89 edge in $T' - T$ intersects roughly half the edges of T . Hence, every flip sequence from T to T'
 90 must flip away roughly $n/2$ edges of T before the first edge of $T' - T$ can be introduced, and
 91 thus $\text{dist}(T, T')$ is at least roughly $3/2 \cdot n$. Yet, it remained open whether there is another
 92 pair of trees with larger flip distance. As the only matching upper bound, we know that
 93 $\text{dist}(T, T') \leq \lfloor 3/2 \cdot n \rfloor - 2$ in the special case that one of T, T' is an x -monotone path [2].

94 Note that the lower bound of $\lfloor 3/2 \cdot n \rfloor - 5$ uses a point set in *convex position*. Interestingly,
 95 already this restricted setting is very challenging. The lower bound of $\lfloor 3/2 \cdot n \rfloor - 5$ has not
 96 been improved for decades and the upper bound of $2n - 4$ only was gradually improved in
 97 recent years. In 2023, Bousquet et al. [8] showed that $\text{dist}(T, T') \leq 2n - \Omega(\sqrt{n})$ for any two
 98 trees T, T' on n points in convex position and conjectured that $3/2 \cdot n$ flips always suffice.

99 ► **Conjecture 1** (Bousquet et al. [8]).

100 *For any set P of n points in convex position, the flip graph $\mathcal{F}(P)$ has diameter at most $3/2 \cdot n$.*

101 Conjecture 1 claims that every pair T, T' of trees on a convex point set P admits a flip

¹ We use terms like “flipping to a tree” or “flipping edges” in the (hopefully) natural way.

102 sequence from T to T' of length at most $\frac{3}{2} \cdot n$. This is confirmed only for special cases,
 103 namely when one of T, T' is a path [2] or a so-called *separated caterpillar* [8] (defined below).

104 It is also natural to compare the flip distance $\text{dist}(T, T')$ of two trees with the trivial
 105 lower bound given by the number of edges in which T and T' differ, formally defined as
 106 $d = d(T, T') = |T - T'|$. If P is in convex position, it is easy to show that $d \leq \text{dist}(T, T') \leq 2d - 4$.
 107 In 2022, Aichholzer et al. [2] showed that in fact $\text{dist}(T, T') \leq 2d - \Omega(\log d)$. Recently, Bousquet
 108 et al. [7] broke the barrier of 2 in the leading coefficient by showing that $\text{dist}(T, T') \leq 1.96d <$
 109 $1.96n$. They also give a pair T, T' with flip distance $\text{dist}(T, T') \approx \frac{5}{3} \cdot d$. However, as their
 110 pair T, T' has $d = |T - T'| \approx \frac{n}{2}$, this is not a counterexample to Conjecture 1.

111 **Our contribution.** We consider non-crossing trees on sets P of n points in convex position.
 112 Our main results are significantly improved lower and upper bounds on the diameter of the
 113 corresponding flip graph $\mathcal{F}(P)$ in terms of n . As all n -element convex point sets P give the
 114 same flip graph $\mathcal{F}(P)$, let us denote it by \mathcal{F}_n for brevity. Recall that it is known that the
 115 diameter $\text{diam}(\mathcal{F}_n)$ of \mathcal{F}_n lies between roughly $1.5n$ [18] and $1.95n$ [7].

116 We improve the upper bound to $\frac{5}{3} \cdot n = 1.\bar{6}n$.

117 **► Theorem 2.** *For any set P of $n \geq 2$ points in convex position, the flip graph $\mathcal{F}(P)$ of*
 118 *non-crossing spanning trees on P has diameter at most $\frac{5}{3} \cdot n - 3$. That is, $\text{diam}(\mathcal{F}_n) \leq \frac{5}{3} \cdot n - 3$.*

119 Secondly, we improve the known lower bound to roughly $\frac{14}{9} \cdot n = 1.\bar{5}n$.

120 **► Theorem 3.** *There is a constant C such that for any $n \geq 2$, there are non-crossing trees*
 121 *T_n, T'_n on n points in convex position with $\text{dist}(T_n, T'_n) \geq \frac{14}{9} \cdot n - C$. That is, $\text{diam}(\mathcal{F}_n) \geq$*
 122 *$\frac{14}{9} \cdot n - C$.*

123 Theorem 3 is the first improvement over $\text{diam}(\mathcal{F}_n) \geq \lfloor \frac{3}{2} \cdot n \rfloor - 5$, as given 25 years ago by
 124 the example of Hernando et al. [18] depicted in Figure 2(c). Moreover, Theorem 3 disproves
 125 Conjecture 1 and also improves the lower bound on the largest diameter of $\mathcal{F}(P)$ among all
 126 point sets P in general (not necessarily convex) position.

127 The trees T_n, T'_n in Theorem 3 have three boundary edges. On the other hand, every
 128 non-crossing tree contains at least two boundary edges (provided $n \geq 3$), and trees on a convex
 129 point set P with exactly two boundary edges are called *separated caterpillars*. Complementing
 130 Theorem 3, we show that if at least one of T, T' is a separated caterpillar, then their flip
 131 distance $\text{dist}(T, T')$ is at most $\frac{3}{2} \cdot d(T, T')$. This improves on the recent upper bound of
 132 $\text{dist}(T, T') \leq \frac{3}{2} \cdot n$ for the same setting in [8]. Further, the bound is tight up to an additive
 133 constant since the construction from [18] in Figure 2(c) consists of two separated caterpillars.

134 **► Theorem 4.** *Let T, T' be non-crossing trees on $n \geq 3$ points in convex position. Let T be a*
 135 *separated caterpillar and $d := |T - T'|$. Then $\text{dist}(T, T') \leq \frac{3}{2} \cdot d$. Moreover, there exists a flip*
 136 *sequence from T to T' of length at most $\frac{3}{2} \cdot d$ in which no common edges are flipped.*

137 Concerning sets P of n points in general (not necessarily convex) position, Aichholzer et
 138 al. [2, Open Problem 3] ask for the radius of the flip graph $\mathcal{F}(P)$, in particular for a lower
 139 bound of the form $n - C$ for some small constant C . Avis and Fukuda [5] show that the
 140 radius is at most $n - 2$. In fact, a matching lower bound is easily obtained.

141 **► Theorem 5.** *For any set P of n points in general position, the flip graph $\mathcal{F}(P)$ of*
 142 *non-crossing trees on P has radius at least (and thus exactly) $n - 2$.*

143 **Proof.** Let T be any tree on P , v be a leaf of T , and S_v be the star on P with central vertex v .
 144 Then $\text{dist}(T, S_v) \geq d(T, S_v) = |T - S_v| = n - 2$. As T was arbitrary, the result follows. ◀

145 **Organization of the paper.** We give an outline of our approach in Section 2; in particular
 146 we explain our strategy of reducing the task of determining the diameter of \mathcal{F}_n to finding
 147 largest acyclic subsets of an associated conflict graph. Our main tool is Theorem 6 (stated
 148 below). In Section 3, we define the conflict graphs and show how to derive Theorems 2 and 3
 149 from Theorem 6. Then, Section 4 is devoted to the proof of Theorem 6. In Section 5 we refine
 150 our tools to obtain an improved upper bound on $\text{dist}(T, T')$ depending $d(T, T') = |T - T'|$
 151 and the number of boundary edges in $T \cap T'$. In Section 6, we study the case where one tree
 152 is a separated caterpillar and show Theorem 4. We conclude with a list of interesting open
 153 problems in Section 7.

154 1.1 Related Work

155 First, let us mention further graph properties of the flip graphs $\mathcal{F}(P)$ of non-crossing trees
 156 on point set P that have been investigated. For P in convex position, Hernando et al. [18]
 157 show that $\mathcal{F}(P)$ has radius $n - 2$ and minimum degree $2n - 4$, and that $\mathcal{F}(P)$ is Hamiltonian
 158 and $2n - 4$ -connected [18]. For point sets P in general (not necessarily convex) position,
 159 Felsner et al. [16] show that their flip graphs $\mathcal{F}(P)$ have so-called r -rainbow cycles for all
 160 $r = 1, \dots, n - 2$, which generalize Hamiltonian cycles.

161 **Restricted variants of flips for spanning trees.** Besides the general edge exchange flip (that
 162 we consider here), several more restricted flip operations have been investigated. There is
 163 the *compatible edge exchange* (where the exchanged edges are non-crossing), the *rotation*
 164 (where the exchanged edge are adjacent), and the *edge slide* (where the exchanged edges
 165 together with some third edge form an uncrossed triangle). Nichols et al. [30] provide a nice
 166 overview of the best known bounds for five studied flip types. Let us remark that for all five
 167 flip types, the best known lower bound in terms of n (in the convex setting) corresponds to
 168 the general edge exchange. Consequently, our Theorem 3 translates to all these settings. In
 169 terms of $d = |T - T'|$, Bousquet et al. [7] show a tight bound of $2d$ for point sets P in convex
 170 position. For a variant with edge labels (which are transferred in edge exchanges), Hernando
 171 et al. [19] show that the flip graph remains connected for any set P in general position.

172 Lastly, let us mention reconfiguration of spanning trees in combinatorial (instead of
 173 geometric) settings, such as with leaf constraints [10], or degree and diameter constraints [11].

174 **Spanning paths.** Much less is known when restricting $\mathcal{F}(P)$ only to the spanning paths on
 175 P . In fact, it is open for more than 16 years [4, 6] whether this subgraph $\mathcal{F}'(P)$ of $\mathcal{F}(P)$ is
 176 connected. Akl et al. [4] conjecture the answer to be positive, while confirming it if P is in
 177 convex position. In fact, Chang and Wu [13] prove that for n points P in convex position,
 178 $\mathcal{F}'(P)$ has diameter $2n - 5$ for $n = 3, 4$ and $2n - 6$ for all $n \geq 5$. It is also known that $\mathcal{F}'(P)$
 179 is Hamiltonian [34] and has chromatic number $\chi(\mathcal{F}'(P)) = n$ [29].

180 For P in general position, $\mathcal{F}'(P)$ is known to be connected for so-called generalized double
 181 circles [3], and its diameter is at least $2n - 4$ if P is a wheel of size n [3]. Kleist, Kramer, and
 182 Rieck [24] show that so-called *suffix-independent paths* induce a large connected subgraph in
 183 $\mathcal{F}'(P)$, and confirmed connectivity of $\mathcal{F}'(P)$ if P has at most two convex layers.

184 **Triangulations.** For (non-crossing) inner triangulations on a set P of n points in general
 185 position, a flip replaces a diagonal of a convex quadrilateral spanned by two adjacent inner
 186 faces by the other diagonal. When points in P are in convex position, the corresponding flip
 187 graph $\mathcal{T}(P)$ is the 1-skeleton of the $(n - 3)$ -dimensional associahedron. In fact, the vertices
 188 of $\mathcal{T}(P)$ are in bijection with binary trees and the flip operation with rotations of these trees.

189 The diameter of $\mathcal{T}(P)$ is known to be in $\Omega(n^2)$ for P in general position [22], and at
 190 most $2n - 10$ (for $n \geq 9$) for P in convex position [36], where the latter is in fact tight [33].

191 Computing the flip distance of two triangulation on P is known to be NP-complete [26, 32],
 192 also in the more general setting of graph associahedra [23]. Many further properties of the
 193 associahedron have been investigated, such as geometric realizations [12], Hamiltonicity [27],
 194 rainbow cycles [16], and expansion and mixing properties [15].

195 2 Outline of Our Approach

196 Let us outline our approach to tackle the diameter $\text{diam}(\mathcal{F}_n)$ of the flip graph \mathcal{F}_n for n
 197 points in convex position. Together, Theorems 2 and 3 state that

$$198 \quad 14/9 \cdot n - \mathcal{O}(1) \leq \text{diam}(\mathcal{F}_n) \leq 5/3 \cdot n = 15/9 \cdot n,$$

199 narrowing the gap from roughly $1/2 \cdot n$ to only $1/9 \cdot n$. We obtain both, the upper and the lower
 200 bound, by transferring the question for the diameter of the flip graph into a more approachable
 201 question about largest acyclic subsets in certain conflict graphs. Our corresponding result is
 202 stated in Theorem 6 below. While we defer the precise definitions to Section 3, let us provide
 203 here some background needed to understand Theorem 6 and explain how Theorem 6 could
 204 be used to determine $\text{diam}(\mathcal{F}_n)$ exactly up to lower-order terms.

205 Given a pair T, T' of trees on a set P of n points in convex position, we define a canonical
 206 bijection between the edges in T and the edges in T' , formalized as a set \mathcal{P} of pairs (e, e')
 207 with $e \in T$ and $e' \in T'$. So, each $e \in T$ has a unique partner $e' \in T'$, and vice versa. We then
 208 restrict our attention to flip sequences from T to T' that respect this bijection in the sense
 209 that every $e \in T$ is flipped to its partner $e' \in T'$ in at most two steps. That is, either e is
 210 flipped to e' directly (a *direct* flip), or e is flipped to e' in two steps via one intermediate
 211 boundary edge (an *indirect* flip). The length of a flip sequence of this form is then $\#\text{direct}$
 212 $\text{flips} + 2 \cdot \#\text{indirect}$ flips, and our task is to minimize the number of indirect flips.

213 We associate a directed *conflict graph* $H = H(T, T')$ whose vertices correspond to a subset
 214 of the pairs in \mathcal{P} . A directed edge $(e_1, e'_1) \rightarrow (e_2, e'_2)$ in H expresses that the direct flip
 215 $e_2 \rightarrow e'_2$ cannot occur before the direct flip $e_1 \rightarrow e'_1$, as otherwise it would create a cycle or
 216 a crossing. Let $\text{ac}(H)$ denote the size of a largest subset of $V(H)$ that induces an acyclic
 217 subgraph. We then construct a flip sequence from T to T' with $\text{ac}(H)$ direct flips. So, if
 218 $\text{ac}(H)$ is large, then $\text{dist}(T, T')$ is small. On the other hand, if $\text{ac}(H)$ is small, we can derive
 219 a good asymptotic lower bound on $\text{diam}(\mathcal{F}_n)$. The precise statements go as follows.

220 ► **Theorem 6.** *Let T, T' be two non-crossing trees on linearly ordered vertices p_1, \dots, p_n with*
 221 *corresponding conflict graph $H = H(T, T')$.*

222 (i) *If H is non-empty, then $\text{dist}(T, T') \leq \max\left\{\frac{3}{2}, 2 - \frac{\text{ac}(H)}{|V(H)|}\right\} (n - 1)$.*

223 *If H is empty, then $\text{dist}(T, T') \leq \frac{3}{2}(n - 1)$.*

224 (ii) *If H is non-empty, then there is a constant C such that for all $N \geq n$, we have*
 225 $\text{diam}(\mathcal{F}_N) \geq \left(2 - \frac{\text{ac}(H)}{|V(H)|}\right) N - C$.

226 Theorem 6 implies that there exists a constant $\gamma \in [3/2, 2]$ such that

$$227 \quad \lim_{n \rightarrow \infty} \frac{\text{diam}(\mathcal{F}_n)}{n} = \gamma = \sup_H \left(2 - \frac{\text{ac}(H)}{|V(H)|}\right), \quad (1)$$

228 where the supremum is taken over all non-empty conflict graphs H arising from pairs of
 229 non-crossing trees. In the light of (1), the task of finding γ looks quite different. But, as

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230 evidenced by our own results, this is a major simplification: With Theorem 6(ii) at hand, we
 231 can prove a lower bound on $\text{diam}(\mathcal{F}_n)$ for all n quite easily. It is enough to construct a single
 232 example of two trees T, T' on a point set P in convex position, and to compute a largest
 233 acyclic subset of the corresponding conflict graph H . In fact, all we do to prove Theorem 3 is
 234 exhibit an example of two trees on 13 vertices, compute their conflict graph H on 9 vertices,
 235 and write a two-line proof that $\text{ac}(H) \leq 4$; see Lemma 11. To further improve on our lower
 236 bound (if possible), one simply needs to do the same with a better example pair of trees.

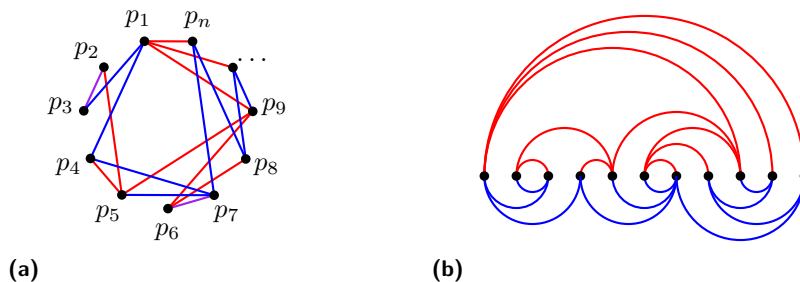
237 And with Theorem 6(i) at hand, we also prove our upper bound in Theorem 2 through
 238 conflict graphs. We divide the vertices of the conflict graph H arising from an arbitrary pair
 239 T, T' of trees into three sets, and show that each set induces an acyclic subgraph of H ; see
 240 Lemma 9. Also showing this acyclicity requires only a short argument.

241 Thus, Theorem 6 allows succinct proofs of upper and lower bounds on $\text{diam}(\mathcal{F}_n)$. Any
 242 improved lower bounds on $\frac{\text{ac}(H)}{|V(H)|}$, or examples of conflict graphs H with $\frac{\text{ac}(H)}{|V(H)|} < 4/9$, will give
 243 improved bounds on $\text{diam}(\mathcal{F}_n)$. This is a promising avenue towards determining the exact
 244 value of γ . Moreover, the conflict graph might be useful in other reconfiguration problems.

245 3 Conflict Graphs, Acyclic Subsets, and the Diameter of \mathcal{F}_n

246 Throughout this section, let P be a set of n points in the plane in convex position. As the
 247 flip graph $\mathcal{F}(P) = \mathcal{F}_n$ only depends on n , we can imagine the points in P to lie equidistant
 248 on a circle and are circularly labeled as p_1, \dots, p_n . Given a tree T with vertex-set P , we can
 249 represent T as a straight-line drawing on P . If this drawing has no crossing edges, then T
 250 is non-crossing and we simply call T a *tree on P* . For convenience, we treat each tree T as
 251 its set of edges. Let \mathcal{T}_n denote the set of all trees on P . If for two trees T, T' on P we have
 252 $|T - T'| = 1$, then T and T' are related by a *flip*. The *flip graph* \mathcal{F}_n has vertex-set \mathcal{T}_n and an
 253 edge for any two trees on P that are related by a flip. Given two trees $T, T' \in \mathcal{T}_n$, the *flip*
 254 *distance* $\text{dist}(T, T')$ is the length of a shortest path from T to T' in \mathcal{F}_n .

255 Consider two fixed trees T, T' on P . We work with a *linear representation* as illustrated
 256 in Figure 3(b) with an example. Intuitively speaking, we cut open the circle between p_1 and
 257 p_n and unfold the circle into a horizontal line segment, usually called the *spine*. Each edge
 258 in $T \cup T'$ was a straight-line chord of the circle and can now be thought of as a semi-circle
 259 above or below the spine. For better readability, we usually put the edges of T above and
 260 the edges of T' below the spine, see again Figure 3.



261 **Figure 3** (a) Two non-crossing trees T, T' on a circularly labeled point sets in convex position
 262 and (b) its linear representation with T above and T' below the horizontal spine.

261 By the linear order p_1, \dots, p_n we have also a natural notion of the length of an edge.
 262 That is, if edge e has endpoints p_i and p_j , then the *length* of e is $|i - j|$. Moreover, we say
 263 that an edge e with endpoints p_i and p_j , $i < j$, *covers* a vertex p_k if $i \leq k \leq j$. Especially, each

264 edge covers both of its endpoints. An edge e covers an edge f if e covers both endpoints of f .
 265 If no edge $e \in T - f$ covers the edge $f \in T$, then we say that f is an *uncovered edge* in T .

266 The linear order of the n points also defines $n - 1$ gaps g_1, \dots, g_{n-1} , where gap g_i simply is
 267 the (open) segment along the spine with endpoints p_i and p_{i+1} . To introduce a few crucial
 268 properties, let us consider any set S of non-crossing edges on p_1, \dots, p_n (not necessarily
 269 forming a tree). For each gap g that is covered by at least one edge of S , let $\rho_S(g)$ be the
 270 shortest edge of S covering g . Spanning trees cover all gaps. The following lemma shows
 271 that ρ_S forms a bijection between gaps and edges in S if and only if S is a spanning tree.

272 ► **Lemma 7.** *Let S be a set of non-crossing edges on a linearly labeled point set with each*
 273 *gap covered by at least one edge in S . Then ρ_S defines a bijection from the set of gaps to S*
 274 *if and only if S is a tree.*

275 **Proof.** Suppose first that ρ_S is a bijection. We argue by induction on $|S|$ that S is a tree.
 276 Pick an edge $e \in S$ that is not covered by any other edge in S , and let $g = \rho_S^{-1}(e)$ be the
 277 corresponding gap. Then $S - e$ has two connected components, one to the left of gap g , and
 278 one to the right. Restricted to either side, ρ is again a bijection, and hence by induction we
 279 have a tree on either side of g . Now, e connects the two trees, showing the S is a tree itself.

280 Now suppose ρ_S is not a bijection. We shall show that S is not a tree. This clearly holds
 281 if $|S| \neq n - 1$. And if $|S| = n - 1$, then ρ_S is not injective. Hence we have $e = \rho_S(g) = \rho_S(g')$
 282 for different gaps g and g' and some $e \in S$. But then the vertices between g and g' form one
 283 or more separate connected components and S is not connected, i.e., not a tree. ◀

284 For a non-crossing tree T on a linearly ordered point set p_1, \dots, p_n , we define $e_i := \rho_T(g_i)$
 285 and categorize the edges of T into three types, depending on how many endpoints of an
 286 edge e_i are also endpoints of its corresponding gap g_i . For each $i \in [n - 1]$, we say that the
 287 edge $e_i = \{u, v\}$ of T is a

- 288 ■ *short edge* if $\{u, v\} = \{p_i, p_{i+1}\}$,
- 289 ■ *near edge* if $|\{u, v\} \cap \{p_i, p_{i+1}\}| = 1$, and
- 290 ■ *wide edge* if $\{u, v\} \cap \{p_i, p_{i+1}\} = \emptyset$.

291 The set of all short, near, and wide edges of T is denoted by T_S , T_N , and T_W , respectively.
 292 Note that the short edges of T are the boundary edges of T different from $p_n p_1$, or in other
 293 words, the edges of length 1. Symmetrically, for the tree T' , we denote the edge corresponding
 294 to gap g_i by e'_i .

295 **Pairing.** Given T, T' and a linear representation, we define $\mathcal{P} = \{(e_i, e'_i) \mid i = 1, \dots, n - 1\}$ to
 296 be the natural pairing of the edges in T with those in T' according to their corresponding
 297 gap. That is, $(e, e') \in \mathcal{P}$ for $e \in T$ and $e' \in T'$ if and only if $\rho_T^{-1}(e) = \rho_{T'}^{-1}(e')$. Note that e_i and
 298 e'_i might coincide, i.e., $e_i = e'_i$; particularly, this happens if e_i is a short edge in $T \cap T'$. Next
 299 we partition the set \mathcal{P} of edge pairs as follows:

$$300 \quad \mathcal{P}_= = \{(e, e') \in \mathcal{P} \mid e = e'\},$$

$$301 \quad \mathcal{P}_N = \{(e, e') \in \mathcal{P} \mid e \neq e' \text{ and } e \in T_N \text{ and } e' \in T'_N\},$$

$$302 \quad \mathcal{P}_R = \mathcal{P} - (\mathcal{P}_= \cup \mathcal{P}_N).$$

304 Clearly, $|\mathcal{P}_=| + |\mathcal{P}_N| + |\mathcal{P}_R| = |\mathcal{P}| = n - 1$. As it turns out, we will spend no flips on pairs in
 305 $\mathcal{P}_=$ and it will be enough to spend in total at most $\frac{3}{2}|\mathcal{P}_R| + |\mathcal{P}_=|$ flips on pairs in \mathcal{P}_R . The
 306 more difficult part will be the pairs in \mathcal{P}_N , namely, the near-near pairs. The aim is to find a
 307 large subset of \mathcal{P}_N which only needs one flip per edge.

308 **Conflict graph.** We want to find a large set of near-near pairs that can be flipped directly.
 309 However, two near-near pairs (e_i, e'_i) and (e_j, e'_j) could be so interlocked that it is impossible
 310 to have both as direct flips in any flip sequence from T to T' . This is for example the case
 311 if e_i crosses e'_j and e_j crosses e'_i . To capture all these dependencies we define a directed
 312 auxiliary graph which we call the *conflict graph* H of T, T' . Let I_-, I_R, I_N denote the subsets
 313 of gaps corresponding to $\mathcal{P}_=, \mathcal{P}_R, \mathcal{P}_N$, respectively.

314 **Definition 8 (Conflict graph).**

315 The conflict graph $H = H(T, T')$ is the directed graph defined by

316 $\blacksquare V(H) := I_N$; i.e., the vertices are the gaps corresponding to near-near pairs, and

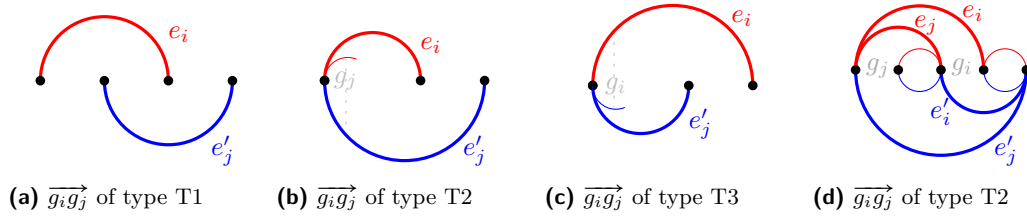
317 \blacksquare there is a directed edge in $E(H)$ from g_i to g_j , denoted $\overrightarrow{g_i g_j}$, if

318 **T1:** e_i crosses e'_j , or

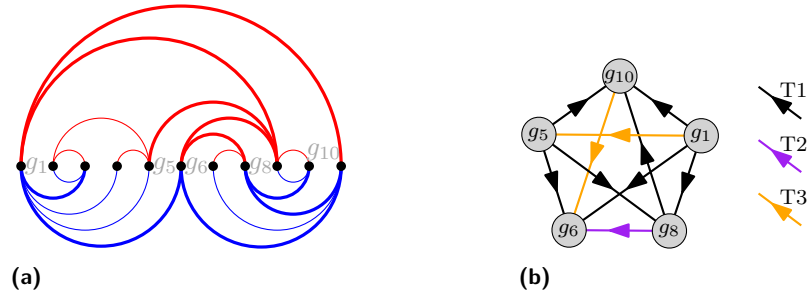
319 **T2:** e'_j covers e_i and e_i covers g_j , or

320 **T3:** e_i covers e'_j and e'_j covers g_i .

321 Figure 4 illustrates the three types of edges in H . Figure 5 depicts a full example of a linear
 322 representation of a pair T, T' and the corresponding conflict graph $H(T, T')$. Observe that
 323 $H(T', T)$ is obtained from $H(T, T')$ by reversing the direction of all edges.



■ **Figure 4** Examples of directed edges in the conflict graph: (a) type T1 (b) type T2 (c) type T3. Mirroring the examples in (a)-(c) horizontally gives a complete list of all possibilities. (d) Example of a possible conflict of type T2: the direct flip $e_j \rightarrow e'_j$ in T (above, red) does not yield a tree.



■ **Figure 5** (a) The pair T, T' from Figure 3 with pairs in \mathcal{P}_N in fat and (b) their conflict graph H .

324 A direct flip $e_j \rightarrow e'_j$ for a near-near pair (e_j, e'_j) may be invalid for two reasons, either
 325 because the introduced edge crosses an existing edge e_i (this corresponds in H to an edge
 326 $\overrightarrow{g_i g_j}$ of type T1) or because the new graph is not a tree (this is captured by incoming edges
 327 at g_j in H of type T2 and T3). In fact, we claim (and prove later) that a near-near edge pair
 328 (e_j, e'_j) admits a direct flip $e_j \rightarrow e'_j$ (after flipping all pairs of $\mathcal{P}_= \cup \mathcal{P}_R$ to the boundary) if
 329 and only if the according gap g_j has no incoming edge in H . In the remainder of this section,
 330 we show how Theorem 6 can be used to prove $^{14}/9 \cdot n - O(1) \leq \text{diam}(\mathcal{F}_n) \leq ^{5}/3(n - 1)$.

3.1 Upper bound on the flip distance via Theorem 6(i)

In this subsection, we assume that Theorem 6(i) holds, and show how to derive Theorem 2 from it. That is, we prove an upper bound of $\frac{5}{3}(n-1)$ on the flip distance of two non-crossing trees T and T' on n vertices by finding a large acyclic subset of the corresponding conflict graph H . In fact, by Theorem 6(i) we have $\text{dist}(T, T') \leq \max\left\{\frac{3}{2}, 2 - \frac{\text{ac}(H)}{|V(H)|}\right\}(n-1)$. So we seek to prove that $\text{ac}(H) \geq \frac{1}{3} \cdot |V(H)|$ whenever H is non-empty.

Recall that a near edge is incident to exactly one vertex at its corresponding gap. We can think of a near edge e_i (or e'_i) to “start” at gap i (either at p_i or p_{i+1}) and then “go” either left or right. Clearly, each near-near pair (e, e') starts at the same gap. Moreover, observe that e and e' go in the same direction if and only if e and e' are adjacent, i.e., have a common endpoint (which is then necessarily at the gap).

In order to prove a lower bound on $\text{ac}(H)$, and hence an upper bound on $\text{dist}(T, T')$, let us inspect the gaps more closely. We partition the set I_N of all near-near gaps into three subsets, distinguishing for each gap with a corresponding near-near pair, whether these two edges are adjacent, and (in case they are) which edge is longer. For each gap $g_i \in I_N$ with near-near pair $(e_i, e'_i) \in \mathcal{P}_N$, we say that

- g_i is *above* if e_i and e'_i are adjacent and e_i is longer than e'_i ,
- g_i is *below* if e_i and e'_i are adjacent and e_i is shorter than e'_i , and
- g_i is *crossing* if e_i and e'_i are not adjacent.

We denote the set of all above (respectively below, crossing) gaps in I_N by A (respectively B, C). By definition, A, B, C are pairwise disjoint, and hence $|A| + |B| + |C| = |I_N| \leq n - 3$.

► **Lemma 9.** *Each of A, B, C is an acyclic subset of H . In particular, $\text{ac}(H) \geq \frac{1}{3} \cdot |V(H)|$.*

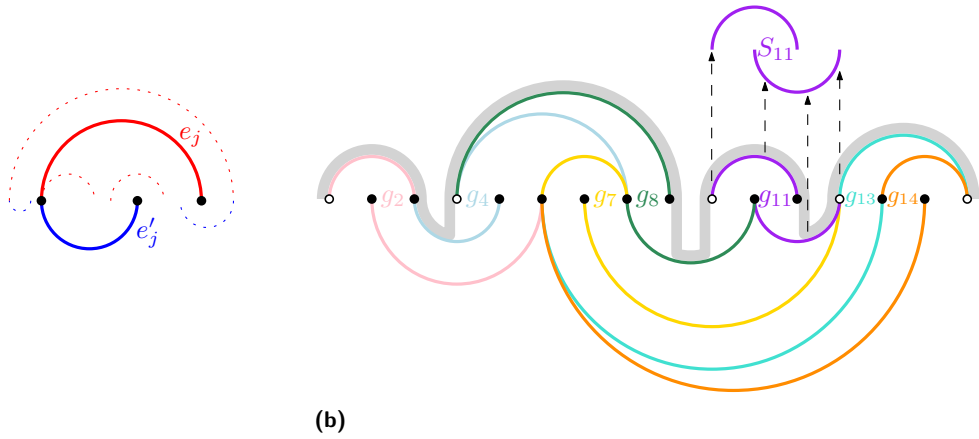
Proof. To prove that $Y \in \{A, B, C\}$ is acyclic, we show that there is some gap $g^* \in Y$ without incoming edges in $H[Y]$. By removing g^* from Y and repeating the argument, it follows that Y is acyclic. We separately consider the three possible choices of Y .

Case $Y = A$: Consider a gap $g_j \in A$ such that the length of e_j is minimal. We claim that the gap g_j has no incoming edge in $H[A]$. Without loss of generality, we assume that e_j and e'_j share their left endpoint as illustrated in Figure 6(a); otherwise consider the mirror image. We show that g_j has no incoming edge in $H[A]$ in any of the three types T1, T2, T3. By the choice of g_j , e_j is not covering any edge e_i ; otherwise e_i is shorter. This excludes incoming edges of type T1 and T2. For any $g_i \in A$ with e_i covering e_j and e'_j , then the gap g_i cannot be covered by e'_j . This excludes incoming edges of type T3.

Case $Y = B$: This is symmetric to the previous case by exchanging the roles of T and T' .

In particular, the vertex $g^* = \arg \min_{g_j \in B} \{\text{length of } e'_j\}$ has no incoming edges in $H[B]$.

Case $Y = C$: Consider the linear representation of T and T' with horizontal spine, but restricted only to the edges corresponding to gaps in C . For each $g_i \in C$ with pair (e_i, e'_i) let $S_i \subseteq \mathbb{R}^2$ be the union of the two open semicircles for e_i and e'_i (without their endpoints). Clearly, the $\{S_i \mid g_i \in C\}$ are pairwise disjoint. Now let us try to move one S_j vertically up towards $(0, +\infty)$. Observe from Figures 4(a)–4(c) that if we could move S_j upwards without colliding with another S_i , then $g_j \in C$ has no incoming directed edges in $H[C]$. It remains to prove that at least one S_j can be moved upwards like this, i.e., is “fully visible from above”. To this end, consider the upper envelope \mathcal{E} of the S_i ’s; see the gray-shaded silhouette in Figure 6. Let the *left end* and *right end* of each S_i be its leftmost and rightmost point, respectively. Some left ends and right ends are on \mathcal{E} . Note that in Figure 6 the right end of S_7 is not on \mathcal{E} , as the right end of S_{11} is vertically above



■ **Figure 6** Illustration for the proof of Lemma 9. (a) Case $Y = A$. (b) Case $Y = C$. Gaps $g_2, g_4, g_7, g_8, g_{11}, g_{13}, g_{14}$ in C . On the upper envelope (gray) left-to-right we have left end S_2 , left end S_8 , left end S_{11} , right end S_{11} , right end S_{13} . Hence S_{11} can be moved vertically up and g_{11} has no incoming edges in $H[C]$.

376 it. Now consider the left and right ends on \mathcal{E} from left to right. There is at least one
 377 right end on \mathcal{E} ; at p_n at the latest.

378 Say the leftmost right end on \mathcal{E} belongs to S_j . We claim that immediately to the left
 379 there is the left end of S_j and hence S_j is unobstructed to be moved upwards. Indeed, if
 380 some S_i would cover parts of S_j , then either S_i would cover also the right end of S_j or the
 381 right end of S_i would be further left than the right end of S_j ; both being a contradiction
 382 to the choice of S_j . ◀

383 Together, Lemma 9 and Theorem 6(i) immediately imply an upper bound on $\text{diam}(\mathcal{F}_n)$.

384 ▶ **Corollary 10.** *Let T, T' be any pair of two non-crossing trees on a convex set of n points.*
 385 *Then the flip distance $\text{dist}(T, T')$ is at most $\frac{5}{3}(n-1)$. In other words, $\text{diam}(\mathcal{F}_n) \leq \frac{5}{3}(n-1)$.*

386 **Proof.** If H is empty, then Theorem 6(i) guarantees a flip distance of at most $\frac{3}{2}(n-1)$. If
 387 H is non-empty, then Lemma 9 states that $\frac{\text{ac}(H)}{|V(H)|} \geq \frac{1}{3}$ and Theorem 6(i) implies an upper
 388 bound of $\max\{\frac{3}{2}, 2 - \frac{1}{3}\}(n-1) = \frac{5}{3}(n-1)$. ◀

389 3.2 Lower bound on the flip distance via Theorem 6(ii)

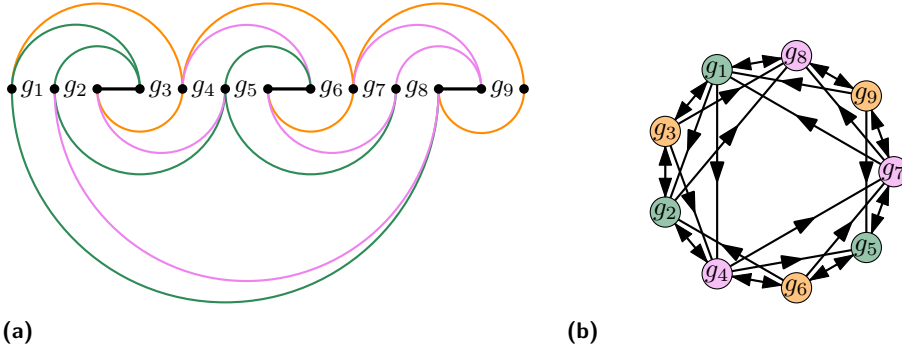
390 We present an example of two trees T, T' where the largest acyclic subset in the corresponding
 391 conflict graph H is comparatively small. By Theorem 6(ii) this then improves the lower
 392 bound on the diameter of \mathcal{F}_n from $1.5n$ to $\frac{14}{9} \cdot n - \mathcal{O}(1) = 1.\bar{5}n - \mathcal{O}(1)$.

393 ▶ **Lemma 11.** *There exist trees T, T' on linearly ordered points p_1, \dots, p_{13} such that for their*
 394 *conflict graph H we have $\text{ac}(H) \leq 4$ and $|V(H)| = 9$.*

395 **Proof.** Let T, T' be the trees depicted in Figure 7(a). Their conflict graph H is depicted in
 396 Figure 7(b) and contains a cycle of length 9 with all edges bi-directed. Consequently, any
 397 acyclic subset may contain at most every other gap and thus $\text{ac}(H) \leq \lfloor \frac{9}{2} \rfloor = 4$. ◀

398 Together, Lemma 11 and Theorem 6(ii) imply Theorem 3:

399 ▶ **Corollary (Theorem 3).** *There is a constant C such that for any $n \geq 1$, there are non-*
 400 *crossing trees T_n and T'_n on n vertices in convex position with $\text{dist}(T_n, T'_n) \geq \frac{14}{9} \cdot n - C$. That*
 401 *is, $\frac{14}{9} \cdot n - C \leq \text{diam}(\mathcal{F}_n)$ for all $n \geq 1$.*



■ **Figure 7** A linear representation of two trees T, T' (a) and their conflict graph H (b). The coloring of edge pairs in (a) and gaps in (b) is according to the partition A, B, C (above, below, crossing) in Section 3.1.

4 Proof of Theorem 6

We now prove Theorem 6, which relates the size of acyclic subsets of conflict graphs with upper and lower bounds for $\text{diam}(\mathcal{F}_n)$, and is the key ingredient to Theorems 2, 3, and 25. We prove Theorem 6(i) in Section 4.1 and Theorem 6(ii) in Section 4.2.

4.1 Upper bound

Recall that we want a flip sequence that transforms T into T' . With each flip we remove an edge and replace it by a new edge. This way, we can trace each edge from its initial to its final position. In particular, every flip sequence naturally pairs the edges of T with those of T' . Our approach is to let \mathcal{P} be this pairing, i.e., to convert each edge e of T into the edge e' of T' with $(e, e') \in \mathcal{P}$. For each pair $(e, e') \in \mathcal{P}$ we will do at most two flips. More precisely, in our flip sequence, every gap g_i , $i \in [n-1]$ and the corresponding pair $(e_i, e'_i) \in \mathcal{P}$ shall have exactly one of the following properties.

- 0-flip:** $e_i = e'_i$ and the edge e_i is never replaced, keeping $e_i = e'_i$ in every intermediate tree.
- 1-flip:** $e_i \neq e'_i$ and the edge e_i is replaced by e'_i in a single, direct flip.
- 2-flip:** $e_i \neq e'_i$, the edge e_i is replaced by the boundary edge $p_i p_{i+1}$ in one flip, and $p_i p_{i+1}$ is replaced by e'_i in a later flip.

Clearly, the total number of flips in our flip sequence is then the number of 1-flips plus two times the number of 2-flips. Our goal is to have as few 2-flips as possible.

Recall the partition of \mathcal{P} into $\mathcal{P}_=, \mathcal{P}_N, \mathcal{P}_R$. As mentioned before, we shall spend no flips on pairs in $\mathcal{P}_=$ and in total at most $\frac{3}{2}|\mathcal{P}_R| + |\mathcal{P}_=|$ flips on pairs in \mathcal{P}_R . For the pairs in \mathcal{P}_N , we shall do a 1-flip for those corresponding to an acyclic subset of the conflict graph H , and spend a 2-flip for the remaining pairs in \mathcal{P}_N . But first, let us present sufficient conditions for the validity of these flip.

Recall that every non-crossing edge-set S on linearly ordered vertices p_1, \dots, p_n that covers all gaps has a corresponding map $\rho_S: \{g_1, \dots, g_{n-1}\} \rightarrow S$, and that by Lemma 7 S is a tree if and only if ρ_S is a bijection.

► **Lemma 12.** *Let T_1 be a non-crossing tree on linearly ordered vertices p_1, \dots, p_n , and let $e_k = \rho_{T_1}(g_k)$ for $k = 1, \dots, n-1$.*

Fix an edge $e_j \in T_1$ and consider an edge $e' = p_x p_y$ with $e' \notin T_1$, such that e' covers g_j , and e' does not cross any edge in $T_1 - e_j$, and there is no $e_i \in T_1 - e_j$ such that

432 (a) e' covers e_i , and e_i covers g_j , or

433 (b) e_i covers e' , and e' covers g_i .

434 Then, for $T_2 := (T_1 - e_j) + e'$, each of the following holds.

435 (i) T_2 is a non-crossing tree, i.e., ρ_{T_2} is a bijection.

436 (ii) Each edge $e \in T_1 \cap T_2 = T_1 - e_j$ we have $\rho_{T_1}^{-1}(e) = \rho_{T_2}^{-1}(e)$, i.e., e corresponds to the same
437 gap in T_1 and T_2 , while $e' = \rho_{T_2}(g_j)$, i.e., e' corresponds to g_j in T_2 .

438 (iii) Each edge $e \in T_1 \cap T_2 = T_1 - e_j$ is short (respectively near, wide) in T_1 if and only if e is
439 short (respectively near, wide) in T_2 .

440 **Proof.** We first show (i). Clearly, T_2 is non-crossing as e' crosses no edge in $T_1 - e_j$ by
441 assumption. To show that T_2 is a tree, by Lemma 7, it suffices to show every gap is covered
442 and $\rho_{T_2}: \{g_1, \dots, g_{n-1}\} \rightarrow T_2$ is a bijection. In fact, gap g_j is covered by e' and each $g_i \neq g_j$ is
443 still covered by $e_i \in T_1 - e_j$. As $|T_2| = n - 1$, it suffices to show that ρ_{T_2} is injective. By (a),
444 $\rho_{T_2}(g_j) = e'$, and by (b), $\rho_{T_2}(g_i) = e_i$ for all $i \neq j$. Thus, ρ_{T_2} is a bijection and T_2 a tree.

445 In fact, we already know ρ_{T_2} explicitly, and can also conclude (ii). And (iii) follows from
446 (ii), since the type of an edge e depends only on e and its associated gap. ◀

447 We use Lemma 12 in particular for two special cases, namely in 2-flips when e' is a
448 boundary edge, and in 1-flips when the gap of e' has no incoming edges in a subgraph $H[Y]$
449 of H . For convenience, we show that the preconditions are fulfilled in these two cases.

450 ▶ **Lemma 13.** *The preconditions of Lemma 12 are fulfilled if we choose e' as the boundary*
451 *edge $p_j p_{j+1}$.*

452 **Proof.** Clearly, a boundary edge does not cross any edge of T_1 . Moreover, e' is a short
453 edge that covers no edge of T_1 and covers only one gap, namely g_j , proving that no edge
454 $e_i \in T_1 - e_j$ has property (a) or (b). ◀

455 ▶ **Lemma 14.** *The preconditions of Lemma 12 are fulfilled if $\mathcal{P}_R = \emptyset$, g_j has no incoming*
456 *edge in H and e' is chosen such that $(e_j, e') \in \mathcal{P}_N$, i.e., we flip e_j for $e' = e'_j$.*

457 **Proof.** If e' crosses an edge e_i of $T_1 - e_j$, then there is the incoming edge $\overrightarrow{g_i g_j}$ of type T1 at
458 g_j in H . Secondly, if an edge e_i of $T_1 - e_j$ has property (a), respectively (b), then there is
459 the incoming edge $\overrightarrow{g_i g_j}$ of type T2, respectively T3, at g_j in H . ◀

460 Recall that for a gap g_i and non-crossing tree T , the edge $e_i = \rho_T(g_i)$ is wide if e_i is
461 neither incident to p_i nor p_{i+1} . We next bound the number $|T_W|$ of wide edges of T in terms
462 of the number $|T_S|$ of short edges in T .

463 ▶ **Lemma 15.** *Let T be a non-crossing tree on linearly ordered vertices p_1, \dots, p_n . Let $k \geq 1$*
464 *be the number of edges of T that are not covered by any other edge of T . Then $|T_S| \geq k$ and*
465 *$|T_W| \leq |T_S| - k$.*

466 **Proof.** Consider the cover relation of the edges of T (with respect to the given linear order).
467 Let us write $e \leq f$ whenever e is covered by f . Trivially, every edge $e \in T$ covers itself, i.e.,
468 $e \leq e$. Since $e \leq e'$ and $e' \leq e''$ implies $e \leq e''$, we have that (T, \leq) forms a partial order.
469 Moreover, since T is non-crossing, it holds that for every $e \in T$, its upset $\{e' \in T \mid e \leq e'\}$ is
470 totally ordered, implying that the Hasse diagram of (T, \leq) is a rooted forest R . The roots
471 of R are the uncovered edges of T . The leaves of R are the short edges of T . We will show
472 that any wide edge of T has at least two children in R , which clearly implies the lemma.

473 Let $e = p_i p_j$ be a wide edge. Say $e = e_k = \rho_T(g_k)$ for the gap g_k between p_k and p_{k+1} .
474 Because e is wide, we have $i < k$ and $k + 1 < j$. Let $e_i = \rho_T(g_i)$ be the edge with gap g_i , and let

475 $e_{j-1} = \rho_T(g_{j-1})$ be the edge with gap g_{j-1} . The edges e_k , e_i , and e_{j-1} are all different, since
 476 they have pairwise different gaps. Since $i < k$ and $k + 1 < j$, we have $e_i \leq e_k$ and $e_{j-1} \leq e_k$.
 477 Further, any edge $f \neq e$ that covers both e_i and e_{j-1} also covers the vertices p_k and p_{k+1} ,
 478 and since g_k is the gap of e_k , it follows that f also covers e_k . It follows that e_k is the join
 479 of e_i and e_{j-1} , which means that $e = e_k$ has at least two children in R . To be specific, two
 480 children of e are the maximum of the totally ordered set $\{e' \in T \mid e' \neq e_k \text{ and } e_i \leq e' \leq e_k\}$
 481 and the maximum of the totally ordered set $\{e' \in T \mid e' \neq e_k \text{ and } e_{j-1} \leq e' \leq e_k\}$. ◀

482 Recall that we plan to flip some edges e_i of T to the boundary edge $p_i p_{i+1}$ corresponding
 483 to the gap g_i of e_i . In general, for a subset I of gaps, let us denote by T_I the graph obtained
 484 from T by replacing, for each gap $g_i \in I$, the edge e_i of T by the corresponding boundary
 485 edge $p_i p_{i+1}$. If e_i is already short, then $e_i = p_i p_{i+1}$; i.e., this replacement does not change
 486 anything. Otherwise, $e_i \rightarrow p_i p_{i+1}$ always constitutes a valid flip by Lemma 13. In particular,
 487 Lemmas 12 and 13 assert that T_I is a non-crossing tree and that there is a valid flip sequence
 488 $T \rightarrow \dots \rightarrow T_I$. The length of this flip sequence is the number of gaps in I not corresponding
 489 to short edges of T .

490 Recall that I_-, I_R, I_N are the subsets of gaps corresponding to $\mathcal{P}_-, \mathcal{P}_R, \mathcal{P}_N$, respectively.

491 ▶ **Proposition 16.** *Let T, T' be two non-crossing trees on linearly ordered vertices p_1, \dots, p_n ,
 492 and $X \subseteq I_N$ be any (possibly empty) subset of the gaps corresponding to the near-near pairs.
 493 Then there are flip sequences $T \rightarrow \dots \rightarrow T_{I_R \cup X}$ and $T'_{I_R \cup X} \rightarrow \dots \rightarrow T'$ with in total at most
 494 $\frac{3}{2}|I_R| + |I_-| + 2|X| - 1$ flips.*

495 **Proof.** Consider any $g_i \in I_R \cup X$ and the corresponding pair (e_i, e'_i) . If $g_i \in I_R$, at most one
 496 of e_i, e'_i is short. If $g_i \in X \subseteq I_N$, none of e_i, e'_i is short. Let $S = \{g_i \in I_R \mid e_i \text{ is short}\}$ and
 497 $S' = \{g_i \in I_R \mid e'_i \text{ is short}\}$. Then $S \cap S' = \emptyset$, since short-short pairs are in I_- only. For the
 498 first flip sequence $T \rightarrow \dots \rightarrow T_{I_R \cup X}$ we do (in any order) for every $g_i \in (I_R \cup X) - S$ a flip that
 499 replaces e_i by $p_i p_{i+1}$. This is a valid flip sequence by Lemma 13, and clearly transforms T
 500 into $T_{I_R \cup X}$. It uses $|(I_R \cup X) - S| = |I_R - S| + |X| = |I_R| - |S| + |X|$ flips. Similarly, there is a
 501 valid flip sequence $T' \rightarrow \dots \rightarrow T'_{I_R \cup X}$ that uses $|I_R| - |S'| + |X|$ flips. Its reverse is the desired
 502 flip sequence $T'_{I_R \cup X} \rightarrow \dots \rightarrow T'$.

503 In total, both flip sequences have $2|I_R| - (|S| + |S'|) + 2|X|$ flips. It remains to prove that
 504 $|S| + |S'| \geq \frac{1}{2}|I_R| - |I_-| + 1$. To this end, note that every gap in $I_R - (S \cup S')$ involves at
 505 least one wide edge. Recall that T_W and T_S denote the set of all wide and all short edges
 506 in T , respectively. By Lemma 15, we have $|T_W| \leq |T_S| - 1$ and $|T'_W| \leq |T'_S| - 1$. Moreover,
 507 $|T_S| + |T'_S| \leq |S| + |S'| + 2|I_-|$. Together we conclude

$$508 \quad |I_R| \leq |S| + |S'| + |T_W| + |T'_W| \leq |S| + |S'| + |T_S| + |T'_S| - 2 \leq 2(|S| + |S'| + |I_-|) - 2,$$

509 which gives the desired $|S| + |S'| \geq \frac{1}{2}|I_R| - |I_-| + 1$. ◀

510 Proposition 16 works for any subset $X \subseteq I_N$ of the near-near gaps. We content ourselves
 511 with spending a 2-flip on each gap in X (reflected by the $2|X|$ term in the bound of
 512 Proposition 16), but aim to do a direct 1-flip on each gap in $Y = I_N - X$. For larger $|Y|$
 513 we obtain an overall shorter flip sequence. So we want a large set of near-near pairs that
 514 can all be done as 1-flips. These flips shall form a valid flip sequence $T_{I_R \cup X} \rightarrow \dots \rightarrow T'_{I_R \cup X}$,
 515 connecting the two sequences obtained by Proposition 16. As all the edges for gaps in
 516 $I_R \cup X$ are flipped to boundary edges in $T_{I_R \cup X}$ and $T'_{I_R \cup X}$, we can “safely ignore” all pairs
 517 corresponding to gaps in $I_- \cup I_R \cup X$ and focus on the near-near pairs corresponding to Y .

518 ► **Proposition 17.** *Let T, T' be two non-crossing trees on linearly ordered vertices p_1, \dots, p_n ,*
 519 *and $Y \subseteq I_N$ be an acyclic subset in $H = H(T, T')$, and $X = I_N - Y$. Then there is a flip*
 520 *sequence $T_{I_R \cup X} \rightarrow \dots \rightarrow T'_{I_R \cup X}$ with $|Y|$ flips.*

521 **Proof.** Let $T_1 := T_{I_R \cup X}$ and $T_2 := T'_{I_R \cup X}$. Lemma 12 guarantees that near-near pairs of T, T'
 522 corresponding to gaps in Y are still near-near pairs of T_1, T_2 . Moreover, we have $\mathcal{P}_R = \emptyset$ for
 523 T_1, T_2 . In particular, the conflict graph of T_1, T_2 is $H[Y]$. Because $H[Y]$ is acyclic, there
 524 exists a topological ordering $<$ of $H[Y]$. The first gap g in $<$ has no incoming edges in $H[Y]$,
 525 and Lemmas 12 and 14 ensure that the direct flip of the corresponding pair (e, e') of g is
 526 valid and maintains all gap-assignments and types of edges. We repeat with direct flips for
 527 all pairs corresponding to Y in the order given by $<$, until we reach T_2 . As we spent one flip
 528 per pair, the resulting flip sequence has length $|Y|$. ◀

529 4.1.1 Putting things together – Proof of Theorem 6(i)

530 Now, we show how to obtain a short flip sequence from a large acyclic subset.

531 ► **Theorem** (corresponding to Theorem 6(i)).

532 *Let T, T' be two non-crossing trees on linearly ordered vertices p_1, \dots, p_n with conflict graph*
 533 *$H = (V(H), E(H))$. Then the flip distance $\text{dist}(T, T')$ is at most $\max\left\{\frac{3}{2}, 2 - \frac{\text{ac}(H)}{|V(H)|}\right\}(n-1)$*
 534 *if H is non-empty, and at most $\frac{3}{2}(n-1)$ if H is empty.*

535 **Proof.** First assume that H is non-empty. Let $Y \subseteq I_N = V(H)$ be an acyclic subset of H
 536 with $|Y| = \text{ac}(H)$. Let $<$ be a topological ordering of $H[Y]$. Denoting $X = I_N - Y$, our flip
 537 sequence F from T to T' is composed of three parts:

538 F_1 : $T \rightarrow \dots \rightarrow T_{I_R \cup X}$ replaces (in any order) each non-short edge $e_i \in T$ with $g_i \in I_R \cup X$ by
 539 the boundary edge $p_i p_{i+1}$.

540 F_2 : $T_{I_R \cup X} \rightarrow \dots \rightarrow T'_{I_R \cup X}$ replaces in order according to $<$ each edge $e_i \in T$ with $g_i \in Y$ by the
 541 edge $e'_i \in T'$.

542 F_3 : $T'_{I_R \cup X} \rightarrow \dots \rightarrow T'$ replaces (in any order) each boundary edge $p_i p_{i+1}$ with $g_i \in I_R \cup X$ and
 543 $p_i p_{i+1} \notin T'$ by the non-short edge $e'_i \in T'$.

544 By Proposition 16, the sequences F_1 and F_3 are valid and have a total length of $|F_1| + |F_3| =$
 545 $\frac{3}{2}|I_R| + |I_-| + 2|X| - 1$. Proposition 17 ensures that F_2 is valid and has length $|Y| = |I_N| - |X|$.
 546 With $|Y| = \text{ac}(H)$ and $I_N = V(H)$ we conclude that

$$\begin{aligned}
 547 \quad \text{dist}(T, T') &\leq |F_1| + |F_2| + |F_3| \leq \frac{3}{2}|I_R| + |I_-| + |I_N| + |X| = \frac{3}{2}|I_R| + |I_-| + 2|I_N| - |Y| \\
 548 \quad &\leq \frac{3}{2}(|I_R| + |I_-|) + \left(2 - \frac{|Y|}{|I_N|}\right)|I_N| = \frac{3}{2}(|I_R| + |I_-|) + \left(2 - \frac{\text{ac}(H)}{|V(H)|}\right)|I_N| \\
 549 \quad &\leq \max\left\{\frac{3}{2}, 2 - \frac{\text{ac}(H)}{|V(H)|}\right\}(|I_R| + |I_-| + |I_N|) \leq \max\left\{\frac{3}{2}, 2 - \frac{\text{ac}(H)}{|V(H)|}\right\}(n-1). \\
 550
 \end{aligned}$$

551 If H is empty, then $\mathcal{P}_N = \emptyset$, and hence, $|I_N| = |X| = 0$. Then the above with only F_1 and F_3
 552 gives $\text{dist}(T, T') \leq \frac{3}{2}|I_R| + |I_-| \leq \frac{3}{2}(n-1)$. ◀

553 4.2 Lower bound

554 In this section, we show that a single example of a pair T, T' of trees gives rise to a lower
 555 bound for $\text{diam}(\mathcal{F}_n)$ for all n through properties of the conflict graph H of T, T' . To be
 556 precise, we show the following statement, which corresponds to Theorem 6(ii).

557 ► **Theorem 18.** *Let T, T' be non-crossing trees on linearly ordered vertices p_1, \dots, p_n with*
 558 *non-empty conflict graph $H = H(T, T')$. Then there is a constant C depending only on T*
 559 *and T' , such that for all $N \geq n$ we have $\text{diam}(\mathcal{F}_N) \geq (2 - \frac{\text{ac}(H)}{|V(H)|})N - C$.*

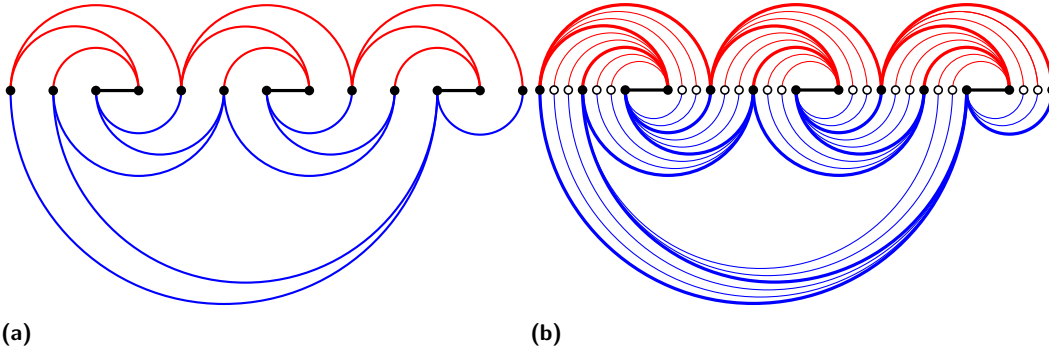
560 To this end, let us consider a pair of trees T and T' on n vertices with conflict graph H .
 561 We will construct a sequence of tree pairs $(T_k, T'_k)_{k \in \mathbb{N}}$ on n_k vertices each such that

$$562 \quad \text{dist}(T_k, T'_k) \geq \left(2 - \frac{\text{ac}(H)}{|V(H)|}\right)n_k - \mathcal{O}(1).$$

563 We now explain how to construct these trees. We consider the edge pairs \mathcal{P} of T and T'
 564 as before. Recall that \mathcal{P}_N is the set of near-near pairs (e, e') of T and T' with $e \neq e'$, and
 565 that this set is assumed to be nonempty. Let N and N' be the sets of edges of T and T' ,
 566 respectively, appearing in pairs in \mathcal{P}_N . For $k \geq 1$, we define the k -blowups T_k and T'_k of T
 567 and T' by doing the following for each $(e, e') \in \mathcal{P}_N$ (for an illustration consider Figure 8).

- 568 ■ Insert a set $V(e)$ of k new vertices in the gap g associated to (e, e') .
- 569 ■ In T_k , add an edge from each $v \in V(e)$ to the endpoint of e that is not adjacent to g , and
 570 similarly for T' and e' .
- 571 ■ Let $\Lambda(e)$ denote the set of the k edges added to T_k , and let $\Lambda(e')$ denote the set of the k
 572 edges added to T'_k .

573 This way, for each edge e appearing in a pair in \mathcal{P}_N we add next to e a fan $\Lambda(e)$ of k edges
 574 ending at leaves. By construction, the blowups have $n_k := n + k|\mathcal{P}_n|$ vertices and $n_k - 1$ edges.



■ **Figure 8** A pair of trees (T, T') and their 2-blowup (T_2, T'_2) .

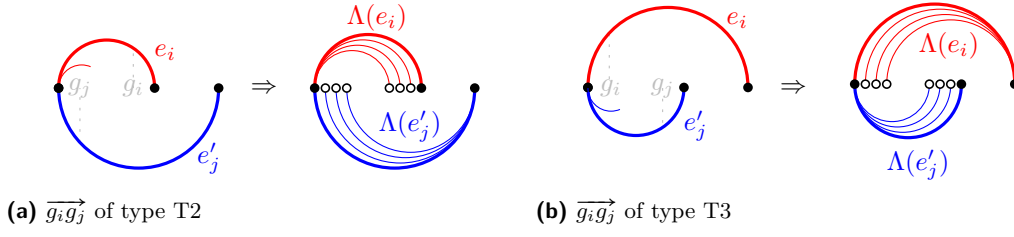
575 Here is the crucial connection between k -blowups and the conflict graph H of T, T' .

576 ► **Observation 19.** *If $\overrightarrow{g_i g_j}$ is a directed edge in the conflict graph H of T, T' , then in the*
 577 *k -blowups T_k, T'_k every edge in $\Lambda(e_i)$ crosses every edge in $\Lambda(e'_j)$.*

578 **Proof.** Indeed, this is clear if $\overrightarrow{g_i g_j}$ is of type T1, as then already e_i and e'_j cross. For $\overrightarrow{g_i g_j}$ of
 579 type T2 look at Figure 9(a), for type T3 look at Figure 9(b). ◀

580 Now, we consider any flip sequence F from T_k to T'_k and denote the intermediate trees
 581 by $T_k = T[0], T[1], \dots, T[\ell] = T'_k$. For each $(e, e') \in \mathcal{P}_N$, let $\text{gone}(e)$ be the smallest index
 582 a such that $T[a]$ contains no edge in $\Lambda(e)$. Since T'_k contains no edge in $\Lambda(e)$, $\text{gone}(e)$ is
 583 well-defined. Evidently, in F there is a flip $T[\text{gone}(e) - 1] \rightarrow T[\text{gone}(e)]$ that replaces the
 584 last remaining edge in $\Lambda(e)$ by an edge not in $\Lambda(e)$. We say that the pair (e, e') is *direct* if
 585 there is an $a \leq \text{gone}(e)$ such that $T[a]$ contains an edge in $\Lambda(e')$, and *indirect* otherwise.

586 ► **Lemma 20.** *Let $(e_i, e'_i) \neq (e_j, e'_j)$ be direct pairs in \mathcal{P}_N . If the conflict graph H of T, T'*
 587 *contains the directed edge $\overrightarrow{g_i g_j}$, then $\text{gone}(e_i) < \text{gone}(e_j)$.*



■ **Figure 9** A directed edge $\overrightarrow{g_i g_j}$ of type T2 (a) or type T3 (b), makes $\Lambda(e_i)$ crossing $\Lambda(e'_j)$.

588 **Proof.** We show that if $\text{gone}(e_i) \geq \text{gone}(e_j)$, then there is no edge from g_i to g_j in H .

589 Since $\text{gone}(e_i) \geq \text{gone}(e_j)$, $T[\text{gone}(e_j) - 1]$ contains an edge of $\Lambda(e_i)$. The edge that is
 590 flipped away from $T[\text{gone}(e_j) - 1]$ in the flip $T[\text{gone}(e_j) - 1] \rightarrow T[\text{gone}(e_j)]$ is in $\Lambda(e_j)$, so
 591 since $\Lambda(e_j) \cap \Lambda(e_i) = \emptyset$ by construction, $T[\text{gone}(e_j)]$ also contains an edge in $\Lambda(e_i)$. Thus,
 592 $\text{gone}(e_i) > \text{gone}(e_j)$.

593 Now choose any $a \leq \text{gone}(e_j)$ such that $T[a]$ contains an edge in $f' \in \Lambda(e'_j)$. Since
 594 $a \leq \text{gone}(e_j) < \text{gone}(e_i)$, $T[a]$ contains at least one edge of $f \in \Lambda(e_i)$. But $T[a]$ is non-crossing,
 595 so we have found edges $f \in \Lambda(e_i)$ and $f' \in \Lambda(e'_j)$ that do not cross. By Observation 19 it
 596 follows that $\overrightarrow{g_i g_j}$ is not an edge in H . ◀

597 Let δ and $\bar{\delta}$ denote the number of direct and indirect pairs in \mathcal{P}_N induced by the flip
 598 sequence F , respectively. Clearly, $\delta + \bar{\delta} = |\mathcal{P}_N|$. Lemma 20 implies the following crucial
 599 property.

600 ► **Corollary 21.** *The conflict graph H has an acyclic subset of size δ , i.e., $\text{ac}(H) \geq \delta$.*

601 **Proof.** Let $(e_{i_1}, e'_{i_1}), \dots, (e_{i_s}, e'_{i_s})$ be the direct pairs, sorted so that $\text{gone}(e_{i_1}) \leq \dots \leq$
 602 $\text{gone}(e_{i_s})$. By Lemma 20, every edge of H between the gaps of two direct pairs points
 603 forward in that ordering. Hence, the subgraph of H corresponding to (the gaps of) direct
 604 pairs is acyclic. ◀

605 Now, we aim to show a lower bound on the flip sequence in terms of the largest acyclic
 606 subset in H . Intuitively speaking, we show that for each indirect pair (e, e') , the process of
 607 removing the k edges in $\Lambda(e)$ and adding the k edges in $\Lambda(e')$ in the flip sequence F must
 608 involve introducing almost k “intermediate” edges that are neither in T_k nor in T'_k , which
 609 then increases the length of F . Lemma 22 below shows that a single indirect pair gives rise
 610 to many intermediate edges, i.e., costs additional flips. Lemma 23 further below shows that
 611 costs for different indirect pairs add up. That is, we cannot “reuse” intermediate edges to
 612 reduce the cost in any effective way.

613 ► **Lemma 22.** *Let $(e, e') \in \mathcal{P}_N$ be an indirect pair. Then there is a subgraph S of the tree
 614 $T[\text{gone}(e)]$ that contains $V(e)$, does not contain any edges in T_k or T'_k , and has at most
 615 $2n - 1$ connected components.*

616 **Proof.** Let $a = \text{gone}(e)$. Because (e, e') is indirect, $T[a] \cap \Lambda(e)$ and $T[a] \cap \Lambda(e')$ are empty.
 617 We first find a subset S' of $T[a]$ by doing the following for every edge $f \in T[a] \cap (T_k \cup T'_k)$:

- 618 ■ Since $f \notin \Lambda(e) \cup \Lambda(e')$, all the vertices of $V(e)$ lie on the same side of f .
- 619 ■ Delete from $T[a]$ all the vertices (and their incident edges) that are on the other side
 620 (without $V(e)$) of f , keeping the endpoints of f .

621 Note that these deletions do not disconnect $T[a]$, so the remaining subset $S' \subseteq T[a]$ is still
 622 connected. Further note that for every $f \in T \cup T'$ and its fan $\Lambda(f)$ in T_k or T'_k , no two edges

623 of $f \cup \Lambda(f)$ lie in S' . Indeed, otherwise $V(e)$ lies on the same side of both these edges and
 624 one would be deleted when considering the other. Consequently, S' has at most $n - 1$ edges
 625 of T_k and at most $n - 1$ edges of T'_k , i.e., $|S' \cap (T_k \cup T'_k)| \leq 2n - 2$. Then $S = S' - (T_k \cup T'_k)$ is
 626 the desired subgraph of $T[a]$. ◀

627 ▶ **Lemma 23.** *The flip sequence F from T_k and T'_k has length at least $(k - 2n)(\bar{\delta} + |\mathcal{P}_N|)$.*

628 **Proof.** For each indirect pair $(e, e') \in \mathcal{P}_N$, let $S(e, e')$ be the corresponding subgraph of
 629 $T[\text{gone}(e)]$ guaranteed by Lemma 22. Let U be the union of all $S(e, e')$ over all indirect
 630 pairs $(e, e') \in \mathcal{P}_N$. By Lemma 22, U has at most $(2n - 1)\bar{\delta}$ connected components and at least
 631 $k\bar{\delta}$ vertices, because it contains the vertices $V(e)$ for all indirect pairs (e, e') , and $|V(e)| = k$.
 632 Thus, U has at least $k\bar{\delta} - (2n - 1)\bar{\delta} \geq (k - 2n)\bar{\delta}$ edges, none of which is in T_k or T'_k .

633 Consequently, the flip sequence F has at least $|U| \geq (k - 2n)\bar{\delta}$ flips that introduce an
 634 edge of U , as well as $|T'_k \setminus T_k|$ additional flips that introduce an edge of $T'_k \setminus T_k$. For each
 635 $(e, e') \in \mathcal{P}_N$, there are k edges in $\Lambda(e')$ that do not appear in T_k . Thus, $|T'_k \setminus T_k| \geq k|\mathcal{P}_N|$.
 636 Adding all together, F has length at least $(k - 2n)\bar{\delta} + k|\mathcal{P}_N| \geq (k - 2n)(\bar{\delta} + |\mathcal{P}_N|)$. ◀

637 ▶ **Lemma 24.** *For $k \rightarrow \infty$, we have*

$$638 \quad \text{dist}(T_k, T'_k) \geq \left(2 - \frac{\text{ac}(H)}{|V(H)|}\right) n_k - \mathcal{O}(1).$$

639 **Proof.** By Lemma 23, any flip sequence from T_k to T'_k with $\bar{\delta}$ indirect flips has length at
 640 least $(k - 2n)(\bar{\delta} + |\mathcal{P}_N|)$. By construction, T_k (and also T'_k) has $n_k = n + k|\mathcal{P}_N|$ vertices. We
 641 get

$$642 \quad \text{dist}(T_k, T'_k) \geq (k - 2n)(\bar{\delta} + |\mathcal{P}_N|) \geq k(\bar{\delta} + |\mathcal{P}_N|) - \mathcal{O}(1) \geq n_k \frac{\bar{\delta} + |\mathcal{P}_N|}{|\mathcal{P}_N|} - \mathcal{O}(1). \quad (2)$$

643 The vertices of H are in bijection with the pairs in \mathcal{P}_N , and by Corollary 21, the number δ
 644 of direct pairs in \mathcal{P}_N is at most $\text{ac}(H)$. Thus,

$$645 \quad \bar{\delta} = |\mathcal{P}_N| - \delta \geq |\mathcal{P}_N| - \text{ac}(H) = |V(H)| - \text{ac}(H).$$

646 Plugging this with $|V(H)| = |\mathcal{P}_N|$ into Equation (2), we get

$$647 \quad \text{dist}(T_k, T'_k) \geq n_k \frac{2|V(H)| - \text{ac}(H)}{|V(H)|} - \mathcal{O}(1) = n_k \left(2 - \frac{\text{ac}(H)}{|V(H)|}\right) - \mathcal{O}(1). \quad \blacktriangleleft$$

648 With Lemma 24 at hand, we are finally ready to prove Theorem 18, which corresponds
 649 to Theorem 6(ii), i.e., our tool to prove a lower bound on $\text{diam}(\mathcal{F}_n)$.

650 ▶ **Theorem 18.** *Let T, T' be non-crossing trees on linearly ordered vertices p_1, \dots, p_n with
 651 non-empty conflict graph $H = H(T, T')$. Then there is a constant C depending only on T
 652 and T' , such that for all $N \geq n$ we have $\text{diam}(\mathcal{F}_N) \geq (2 - \frac{\text{ac}(H)}{|V(H)|})N - C$.*

653 **Proof.** By Lemma 24, we have a family of pairs of trees $(T_k, T'_k)_{k \geq 1}$ showing the desired
 654 lower bound on $\text{diam}(\mathcal{F}_N)$ for each N of the form $N = n_k := n + k|V(H)|$ for some $k \geq 1$. Since
 655 $n_{k+1} - n_k$ is constant, it suffices to show that $\text{diam}(\mathcal{F}_N) \geq \text{diam}(\mathcal{F}_{n_k})$ for $n_k \leq N < n_{k+1}$. We
 656 will show the slightly stronger statement that $\text{diam}(\mathcal{F}_N) \geq \text{diam}(\mathcal{F}_{N'})$ for any $N \geq N'$.

657 To this end, let (T, T') be any pair of trees on N' vertices $p_1, \dots, p_{N'}$ with $\text{dist}(T, T') =$
 658 $\text{diam}(\mathcal{F}_{N'})$. We construct T_N and T'_N by adding vertices $p_{N'+1}, \dots, p_N$ and edges $\{p_i, p_{i+1}\}$
 659 to T and T' for $i = N', \dots, N - 1$. By [2, Corollary 18], there is a shortest flip sequence
 660 F from T_N to T'_N that does not flip any of the added edges $\{p_i, p_{i+1}\}$ (since they are in
 661 both T_N and T'_N). By collapsing all the vertices $p_{N'}, \dots, p_N$ to one and removing the
 662 edges between them, we get a flip sequence from T to T' that is not longer than F . Thus,
 663 $\text{dist}(T_N, T'_N) \geq \text{dist}(T, T') = \text{diam}(\mathcal{F}_{N'})$, so $\text{diam}(\mathcal{F}_N) \geq \text{diam}(\mathcal{F}_{N'})$. ◀

664 **5 Keeping common edges and improving the upper bound**

665 In this section, we aim to further improve the upper bound of $\frac{5}{3}(n-1)$ from Corollary 10 to
 666 also depend on the number of edges that the two trees share. Moreover, we want to obtain a
 667 flip sequence that avoids flipping any edge that is already in both trees.

668 We distinguish two different types of edges in a tree on a convex point set P , namely,
 669 *boundary edges*, which are edges connecting two consecutive points along the convex hull
 670 of P , and *chords*, which are edges connecting two non-consecutive points along the convex
 671 hull of P . For a pair T, T' of trees on P let $b = b(T, T')$ denote the number of *common edges*
 672 (edges in $T \cap T'$) that are also boundary edges. Clearly $d + b \leq n - 1$. Theorem 2 is implied
 673 by the following stronger statement.

674 **► Theorem 25.** *Let T, T' be two non-crossing spanning trees on a set of $n \geq 2$ points in*
 675 *convex position. Let $d = |T - T'|$ and let b be the number of common boundary edges of T*
 676 *and T' . Then $\text{dist}(T, T') \leq \frac{5}{3} \cdot d + \frac{2}{3} \cdot b - \frac{4}{3}$. Moreover, there exists a flip sequence from T*
 677 *to T' of at most that length in which no common edges are flipped.*

678 The high level proof idea for Theorem 25 is the following: We will “cut” the instance
 679 along common chords, by this obtaining sub-instances where all common edges are boundary
 680 edges and which we handle independently. For each sub-instance, we will identify a “good”
 681 linear order by the following observation.

682 **► Observation 26.** *Let T and be a non-crossing spanning tree on a set P of n points in*
 683 *convex position. Then for any edge $p_1 p_n$ of the convex hull of P that is not a boundary edge*
 684 *of T , the tree T with linear order p_1, \dots, p_n has at least two uncovered edges.*

685 The order obtained by Observation 26 will avoid flipping common edges and will facilitate
 686 obtaining the upper bound of Theorem 25 for each sub-instance as well as in total.

687 To use Observation 26, we need to identify a gap that is not a boundary edge in any
 688 of the trees. Note that, in particular after cutting along common edges, it is easy to see
 689 that one can perform a flip which introduces a boundary edge from $T' - T$ (or $T - T'$) and
 690 removes a non-boundary edge, unless both trees consist of boundary edges only, see also
 691 Bousquet et al. [8, Claim 2]. Hence we have the following observation.

692 **► Observation 27.** *Consider two non-crossing spanning trees T, T' on a convex point set. If*
 693 *$T \cup T'$ contain all boundary edges, then there exists a flip sequence of length $|T \setminus T'|$.*

694 We are now ready to prove Theorem 25.

695 **Proof of Theorem 25.** Let $B = B(T, T')$ be the set of common boundary edges of T and T' ,
 696 let $C = C(T, T')$ be the set of common chords of T and T' , and let $D(T) = T - T'$ (resp. $D(T') =$
 697 $T' - T$) be the edges that are only in T (resp. T'). Then $c := |C| = n - 1 - b - d$.

698 Assume first that $C(T, T') = \emptyset$, that is, that T and T' do not have any common chords.

699 By Observation 27, we may choose an arbitrary edge $p_1 p_n$ of the convex hull of P that
 700 is neither a boundary edge of T nor of T' to cut the cyclic order of the points of P into a
 701 linear order p_1, \dots, p_n . With this linear order, each of T and T' has at least two uncovered
 702 edges by Observation 26.

703 Consider the set \mathcal{P} of pairs of edges of T and T' that are induced by the gaps, its partition
 704 into $\mathcal{P}_=, \mathcal{P}_N, \mathcal{P}_R$, and the according sets $I_=, I_N, I_R$ of gaps. Because the set $I_=$ consists of all
 705 gaps that correspond to short-short pairs, we have $|I_|= |B| = b$.

706 The set I_R contains the gaps corresponding to all other pairs that contain at least
 707 one short or wide edge. Hence $|I_R| \leq |T'_W| + |T'_S| + |T_S| + |T'_S| - 2b$. By Lemma 15, we

708 have $|T_W| + |T'_W| \leq |T_S| - 2 + |T'_S| - 2 = |T_S| + |T'_S| - 4$ and hence $|I_R| \leq 2|T_S| + 2|T'_S| - 2b - 4$.
 709 We remark that exactly $|T_S| + |T'_S| - 2b$ of the gaps in $|I_R|$ correspond to pairs with one short
 710 edge and hence require only one flip.

711 Recall that the set I_N consists exclusively of gaps with near-near pairs and consider the
 712 conflict graph H with vertex set $V(H) = I_N$. By Lemma 9, a maximum acyclic subset Y of
 713 H has size at least $\frac{1}{3}|V(H)|$. Let \prec be a topological ordering of $H[Y]$ and let $X = I_N \setminus Y$.

714 We use one flip for each of the $|T_S| + |T'_S| - 2b$ gaps in $|I_R|$ corresponding to pairs with one
 715 short edge, two flips for all other each edge pairs corresponding to a gaps $X \cup I_R$ and one
 716 flip for each pair corresponding to a gap in Y . To ease the counting, we split the total flip
 717 sequence $T \rightarrow \dots \rightarrow T'$ into five parts:

- 718 ■ $T \rightarrow \dots \rightarrow T_{I_R}$ replaces (in any order) each edge $e_i \in T$ with $g_i \in I_R$ by $p_i p_{i+1}$.
- 719 ■ $T_{I_R} \rightarrow \dots \rightarrow T_{I_R \cup X}$ replaces (in any order) each edge $e_i \in T$ with $g_i \in X$ by $p_i p_{i+1}$.
- 720 ■ $T_{I_R \cup X} \rightarrow \dots \rightarrow T'_{I_R \cup X}$ replaces (in order according to \prec) each edge $e_i \in T$ with $g_i \in Y$ by
 721 the edge $e'_i \in T'$.
- 722 ■ $T'_{I_R \cup X} \rightarrow \dots \rightarrow T'_{I_R}$ replaces (in any order) each edge $p_i p_{i+1}$ with $g_i \in X$ by the edge $e'_i \in T'$.
- 723 ■ $T'_{I_R} \rightarrow \dots \rightarrow T'$ replaces (in any order) each edge $p_i p_{i+1}$ with $g_i \in I_R$ by the edge $e'_i \in T'$.

724 Note that the flip sequence is valid by Lemmas 12 and 13 and Proposition 17.

725 It remains to compute the total length of the flip sequence. Let $d_1 = |T_S| + |T'_S| - 2b$ be the
 726 number of 1-flips for I_R , let $d_2 = |I_R| - d_1$ be the number of 2-flips for I_R and let $d_3 = |I_N|$.
 727 Note that $d = d_1 + d_2 + d_3$.

728 The first and last step of the sequence require a total of $d_1 + 2d_2$ flips. The middle three
 729 steps together require $2d_3 - |Y| \leq \frac{5}{3} \cdot d_3$ flips.

730 Since $|I_R| \leq |T_S| + |T'_S| - 4 + |T_S| + |T'_S| - 2b = 2d_1 + 2b - 4$, we have $d_2 \leq d_1 + 2b - 4$.

731 Altogether we obtain

$$\begin{aligned}
 732 \quad \text{dist}(T, T') &\leq d_1 + 2d_2 + \frac{5}{3}d_3 = d_1 + \frac{5}{3}d_2 + \frac{1}{3}d_2 + \frac{5}{3}d_3 \\
 733 \quad &\leq d_1 + \frac{5}{3}d_2 + \frac{1}{3}(d_1 + 2b) - \frac{4}{3} + \frac{5}{3}d_3 \\
 734 \quad &= \frac{4}{3}d_1 + \frac{5}{3}(d_2 + d_3) + \frac{2}{3}b - \frac{4}{3} \leq \frac{5}{3}d + \frac{2}{3}b - \frac{4}{3}. \\
 735
 \end{aligned}$$

736 We now turn to the case $C(T, T') \neq \emptyset$. Consider the $c + 1$ bounded cells F_0, \dots, F_c of the
 737 convex hull of P that are induced by the set $C(T, T')$. For each closed cell F_i with n_i points
 738 of P , $T_i = T \cap F_i$ and $T'_i = T' \cap F_i$ are non-crossing spanning trees on the n_i points of F_i ,
 739 with $C(T_i, T'_i) = \emptyset$ and $b_i = |B(T_i, T'_i)|$ common boundary edges.

740 Consider again the edges of T and T' . Every edge of $B(T, T')$ contributes to exactly one
 741 of the b_i 's and every edge of $C(T, T')$ to exactly two of them. Hence $\sum_{i=0}^c b_i = b + 2c$. On
 742 the other hand, every edge of $D(T)$ (resp. $D(T')$) lies in exactly one cell F_i . Thus, with
 743 $d_i = |D(T_i)| = |D(T'_i)|$, we have that $\sum_{i=0}^c d_i = d$. Applying the above flip process to each of
 744 the tree pairs (T_i, T'_i) independently, we obtain the first part of the theorem.

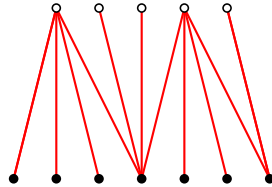
$$\begin{aligned}
 745 \quad \text{dist}(T, T') &\leq \sum_{i=0}^c \text{dist}(T_i, T'_i) \leq \sum_{i=0}^c \left(\frac{5}{3}d_i + \frac{2}{3}b_i - \frac{4}{3} \right) = \frac{5}{3}d + \frac{2}{3}(b + 2c) - \frac{4}{3}(c + 1) \\
 746 \quad &= \frac{5}{3}d + \frac{2}{3}b - \frac{4}{3}. \\
 747
 \end{aligned}$$

748 Since $d + b \leq n - 1$, the second part then follows directly. ◀

749 **6 Separated Caterpillars – Proof of Theorem 4**

750 In this section, we improve the upper bound for the case where one tree has a special
 751 structure, namely, if it is a separated caterpillar.

752 We call a tree T on a convex point set a *separated caterpillar* if the weak dual graph of T
 753 and all convex hull edges forms a path. For an example, consider Figure 10.



754 ■ **Figure 10** A separated caterpillar.

754 In fact, there are a few equivalent definitions.

755 ► **Observation 28.** *Let T be a tree on a convex point set (with $n \geq 3$ points) with a valid
 756 2-coloring of the vertices. Then the following statements are equivalent.*

- 757 ■ *T is a separated caterpillar.*
- 758 ■ *The weak dual graph of T and the convex hull edges is a path.*
- 759 ■ *Each color class forms a consecutive interval (along the boundary of the convex hull).*
- 760 ■ *The color classes can be separated by a line.*
- 761 ■ *T contains exactly two convex hull edges.*
- 762 ■ *There is linear vertex labeling such that poset defined by the edge cover relation of the
 763 edges is a total order.*
- 764 ■ *For every linear vertex labeling, the poset defined by the edge cover relation consists of (at
 765 most) two chains.*
- 766 ■ *For every linear vertex labeling, T has no wide edge.*

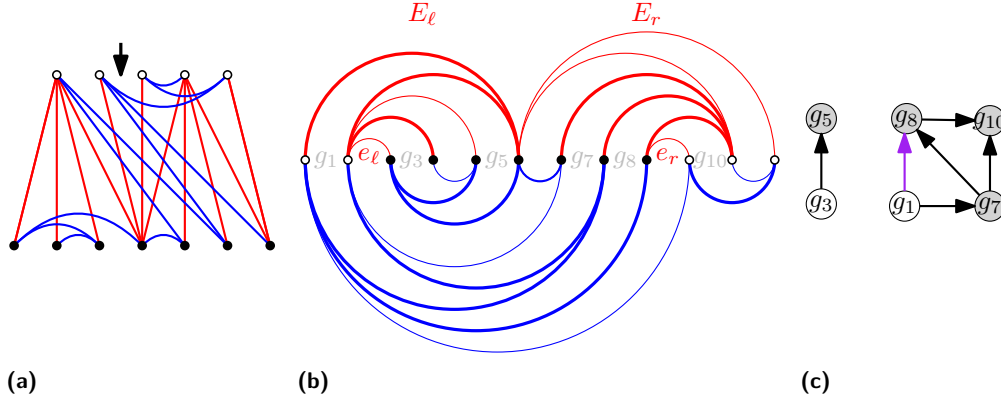
767 We note that Bousquet et al. [8] have considered separated caterpillars (under the name
 768 of *nice caterpillars*). They show that if one of two trees is a nice caterpillar, then their flip
 769 distance is at most $3/2 \cdot n$. We show that this even holds in terms of d . Note that the lower
 770 bound examples, illustrated in Figure 2(c), are in fact separated caterpillars. Thus, the
 771 bound is tight up to additive constants.

772 ► **Theorem 4.** *Let T, T' be non-crossing trees on $n \geq 3$ points in convex position. Let T be a
 773 separated caterpillar and $d := |T - T'|$. Then $\text{dist}(T, T') \leq 3/2 \cdot d$. Moreover, there exists a flip
 774 sequence from T to T' of length at most $3/2 \cdot d$ in which no common edges are flipped.*

775 In the following, we assume without loss of generality that T and T' have no common
 776 chords. To this end, note that we can split an instance at a common chord into two
 777 subinstances where the common chord will turn into a common boundary edge in each part.
 778 By repeated application, we obtain a collection of subinstances T_i, T'_i without common chords.
 779 Defining $d_i := |T_i - T'_i|$, we clearly have $\sum_i d_i = d$. Hence, when guaranteeing at most $3/2 \cdot d_i$
 780 in each subinstance, the claim follows.

781 By Observation 27, we may label the vertices by p_1, \dots, p_n such that neither T nor T'
 782 has the edge $p_1 p_n$ and consider the linear representation of T and T' ; otherwise, d flips
 783 suffice and we are done. It follows that both trees have at least two maximal edges, so by
 784 Lemma 15, both T and T' have at least two more wide than short edges.

785 Now we use the fact that T is a separated caterpillar, and thus has a special structure. In
 786 particular, by Observation 28, its edges form two chains by the cover relation. We define E_ℓ as
 787 the set of all edges covering the leftmost short edge e_ℓ and E_r as the set of all edges covering
 788 the rightmost short edge e_r ; we have $e_\ell \in E_\ell$ and $e_r \in E_r$. Clearly, we have $T = E_\ell \cup E_r$. For
 789 an illustration, consider Figure 11. Moreover, note that T , besides the two short edges e_ℓ
 790 and e_r , has only near edges.



■ **Figure 11** Illustration for the proof of Theorem 4.

791 We pair the edges of T and T' via the shortest edge covering a gap as explained in
 792 Section 3 and partition the pairs into the sets $\mathcal{P}_=, \mathcal{P}_N, \mathcal{P}_R$. For the gaps I_N corresponding to
 793 near-near pairs, we define $A, B \subseteq I_N$ as follows: For a gap g with associated pair $(e, e') \in \mathcal{P}_N$
 794 where $e \in E_\ell$, we let $g \in A$ if e covers e' , and $g \in B$ otherwise. If $e \in E_r$, then $g \in B$ if e covers
 795 e' , and $g \in A$ otherwise. Clearly, $A \cup B = I_N$.

796 ► **Lemma 29.** $H[A]$ and $H[B]$ are acyclic.

797 **Proof.** By left-right symmetry, it suffices to show that $H[A]$ is acyclic. We say that a gap
 798 $g_i \in A$ with pair (e_i, e'_i) comes *before* a gap g_j with pair $(e_j, e'_j) \in A$ if

- 799 (i) $e_i \in E_\ell$ and $e_j \in E_r$, or
- 800 (ii) $e_i, e_j \in E_\ell$ and e_j covers e_i , or
- 801 (iii) $e_i, e_j \in E_r$ and e_i covers e_j .

802 This gives a total order on A .

803 For $g_i, g_j \in A$, we show that if g_i comes before g_j , then there is no edge in the conflict
 804 graph H from g_j to g_i . We consider the cases (i)-(iii) separately. In case (i), since $g_i \in A$,
 805 e'_i and e_j do not intersect, nor does one cover the other. Thus, there is no edge $g_j \rightarrow g_i$ in
 806 H . In case (ii), e_j covers e_i , which covers e'_i . This immediately excludes cases 1 and 2 in
 807 Definition 8. Moreover, since e_j covers e_i , e_i does not cover g_j , so neither can e'_i , which
 808 excludes case 3. In case (iii), we have that e_i does not cover e'_i . Both e_i and e'_i cover g_i ,
 809 which means that either (a) e'_i covers e_i , or (b) e_i and e'_i cross. If (a), e'_i covers e_i , which
 810 covers e_j , so cases 1 and 3 of Definition 8 are excluded. Since e_i covers e_j , e_j does not cover
 811 g_i , so also case 2 is excluded. If (b), then since e_i and e'_i are near, the only gap covered by
 812 both is g_i . This gap is not covered by e_j , so there is no gap covered by both e'_i and e_j . It

813 follows that there is no edge $g_j \rightarrow g_i$ in H in either of the cases (i)-(iii) and thus $H[A]$ is
 814 acyclic. \blacktriangleleft

815 We now have all tools to present the flip sequence.

816 **► Lemma 30.** *There exists a flip sequence F from T to T' of length at most $3/2 \cdot d$.*

817 **Proof.** We start by describing our flip sequence F which consists of four parts. Choose
 818 Y as a largest acyclic subset of H among A and B and let $X = I_N - Y$. Recall that
 819 $|T' \cap T| = |\{e_\ell, e_r\}| = 2$. Hence, we have $d = n - 3$.

820 F_1 : For each $(e_i, e'_i) \in \mathcal{P}_R$ with gap g_i where e' is short or wide, flip e to $p_i p_{i+1}$. Clearly,
 821 $|F_1| = |T'_S| + |T'_W| - 2$; recall that T' contains the two short edges e_ℓ, e_r which belong to
 822 pairs in \mathcal{P}_\pm .

823 F_2 : For each $g_i \in X$, let $(e_i, e'_i) \in \mathcal{P}_N$ denote the corresponding pair. We flip e to $p_i p_{i+1}$.
 824 Clearly, $|F_2| = 2|X|$.

825 F_3 : For each $g_i \in Y$, let $(e_i, e'_i) \in \mathcal{P}_N$ denote the corresponding pair. We flip e_i to e'_i . Clearly,
 826 $|F_3| = |Y|$.

827 F_4 : For each $e' \in T'_W$ with corresponding gap g_k , perform flip $p_k p_{k+1} \rightarrow e'$. Clearly, $|F_4| = |T'_W|$.

828 The validity of the flip sequences in F_1, F_2 , and F_4 follow from Lemma 13 as we introduce
 829 a boundary edge or remove a boundary edge covering the same gap as its partner edge. Let
 830 us denote the tree resulting from applying F_1 and F_2 to T by T_1 and F_4 to T' by T_2 ; note
 831 that all these flips can be applied in any order, but you might think about applying F_4 ,
 832 reversely. Then, for T_1 and T_2 , $\mathcal{P}_R = \emptyset$, \mathcal{P}_N corresponds to Y , and $H(T_1, T_2)[Y]$ is acyclic.
 833 Hence, Proposition 17 guarantees a flip sequence of length $|Y|$.

834 It remains to discuss the total length. By Lemma 15 and the fact that T' has at least
 835 two uncovered edges, we have $|T'_W| \leq |T'_S| - 2$. Hence,

836
$$|F_1| + |F_4| = |T'_S| + 2|T'_W| - c \leq 3/2(|T'_S| + |T'_W| - 2).$$

837 By Lemma 29, we have $|Y| \geq 1/2|I_N| = 1/2|T'_N|$ and thus $|F_2| + |F_3| = 2|X| + |Y| = 3/2|T'_N|$.
 838 Therefore, we obtain the following bound

839
$$|F| = |F_1| + |F_2| + |F_3| + |F_4| \leq 3/2(|T'_S| + |T'_W| + |T'_N| - 2) = 3/2(n - 3) = 3/2 \cdot d,$$

841 which concludes the proof. \blacktriangleleft

842 7 Discussion and open problems

843 In this work, we improved the lower and upper bounds on the diameter of \mathcal{F}_n . Together,
 844 Theorems 3 and 25 yield

845
$$14/9 \cdot n - \mathcal{O}(1) \leq \text{diam}(\mathcal{F}_n) \leq 5/3 \cdot n - 3 = 15/9 \cdot n - 3.$$

846 Thus, the gap between the upper and lower bounds on $\text{diam}(\mathcal{F}_n)$ has been tightened from
 847 about $0.45n$ to just $1/9 \cdot n + \mathcal{O}(1)$. With Theorem 6 at hand, closing the gap can be achieved
 848 by improving the lower bound $\frac{\text{ac}(H)}{|V(H)|} \geq 1/3$ for all conflict graphs H , or by presenting a conflict
 849 graph H with $\frac{\text{ac}(H)}{|V(H)|} < 4/9$. We therefore believe that our techniques have potential to help
 850 determining $\text{diam}(\mathcal{F}_n)$ completely.

851 Let us note that the new lower bound of $14/9 \cdot n$ for the convex setting actually improves
 852 upon the best known lower bounds not only for points in general position, but also for more

853 restricted flip operations, e.g., the *compatible edge exchange* (where the exchanged edges
 854 are non-crossing), the *rotation* (where the exchanged edge are adjacent), and the *edge slide*
 855 (where the exchanged edges together with some third edge form an uncrossed triangle). For
 856 an overview of best known bounds for five studied flip types, we refer to Nichols et al. [30].

857 We also considered bounding the flip distance $\text{dist}(T, T')$ of two trees T, T' in terms of
 858 $d = |T, T'|$. Our Theorem 25 is somewhat halfway between an upper bound on $\text{dist}(T, T')$
 859 in terms of n and one in terms of d : common chords do not contribute at all, and common
 860 boundary edges (their number is b) contribute less than the edges in the symmetric difference.
 861 If $2/3 \cdot b - 4/3$ can be removed from the bound in Theorem 25, then this would give a tight
 862 upper bound in terms of d . In fact, Bousquet et al. [7, Theorem 4], present graphs T_d and
 863 T'_d with symmetric difference $2d$ and $\text{dist}(T_d, T'_d) = 5/3 \cdot d$ (for all d divisible by 3).

864 Besides determining the maximum flip distance in terms of n or d for the mentioned
 865 settings, it is also interesting to investigate the computational complexity of computing a
 866 shortest flip sequence for two given non-crossing trees. Is it NP-complete or polynomial-time
 867 solvable? The question is open for both settings of convex and general position.

868 Moreover, is it true that for any two trees T, T' there exists a flip sequence of length
 869 $\text{dist}(T, T')$, such that common edges (so called *happy edges*) are not flipped. Aichholzer et
 870 al. [2, Conjecture 16] conjecture that this is the case for the convex setting.

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